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STATE OF RHODE ISLAND

IN GENERAL ASSEMBLY

JANUARY SESSION, A.D. 2022

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A N A C T

RELATING TO HUMAN SERVICES -- PROFESSIONAL RESPONSIBILITY -- CRIMINAL  
RECORDS REVIEW

Introduced By: Representatives Bennett, Craven, Costantino, Hull, Casey, McNamara,  
Williams, and Cassar

Date Introduced: January 06, 2022

Referred To: House Health & Human Services

It is enacted by the General Assembly as follows:

1 SECTION 1. Sections 12-1.6-1 and 12-1.6-2 of the General Laws in Chapter 12-1.6 entitled  
2 "National Criminal Records Check System" are hereby amended to read as follows:

3 **12-1.6-1. Automated fingerprint identification system database.**

4 The department of attorney general may establish and maintain an automated fingerprint  
5 identification system database that would allow the department to store and maintain all fingerprints  
6 submitted in accordance with the national criminal records check system. The automated  
7 fingerprint identification system database would provide for an automatic notification if, and when,  
8 a subsequent criminal arrest fingerprint card is submitted to the system that matches a set of  
9 fingerprints previously submitted in accordance with a national criminal records check. If the  
10 aforementioned arrest results in a conviction, the department shall immediately notify those  
11 individuals and entities with which that individual is associated and who are required to be notified  
12 of disqualifying information concerning national criminal records checks as provided in chapters  
13 17, 17.4, 17.7.1 of title 23 or § 23-1-52, [7.2 of title 42 or §§ 42-7.2-18.2 and 42-7.2-18.4](#). The  
14 information in the database established under this section is confidential and not subject to  
15 disclosure under chapter 38-2.

16 **12-1.6-2. ~~Long-term healthcare workers~~ Long-term healthcare workers, high-risk**  
17 **Medicaid providers, and personal care attendants.**

18 The department of attorney general shall maintain an electronic, web-based system to assist

1 facilities, licensed under chapters 17, 17.4, 17.7.1 of title 23 or § 23-1-52 and the executive office  
2 of health and human services (EOHHS) under §§ 42-7.2-18.1 and 42-7.2-18.3, required to check  
3 relevant registries and conduct national criminal records checks of routine contact patient  
4 employees, personal care attendants and high-risk providers. The department of attorney general  
5 shall provide for an automated notice, as authorized in § 12-1.6-1, to those facilities or to EOHHS  
6 if a routine-contact patient employee, personal care attendant or high-risk provider is subsequently  
7 convicted of a disqualifying offense, as described in the relevant licensing statute or in §§ 42-7.2-  
8 18.2 and 42-7.2-18.4. The department of attorney general may charge a facility a one-time, set-up  
9 fee of up to one hundred dollars (\$100) for access to the electronic web-based system under this  
10 section.

11 SECTION 2. Chapter 42-7.2 of the General Laws entitled "Office of Health and Human  
12 Services" is hereby amended by adding thereto the following section:

13 **42-7.2-18.1. Professional responsibility -- Criminal records check for high-risk**  
14 **providers.**

15 (a) As a condition of enrollment and/or continued participation as a Medicaid provider,  
16 applicants to become and/or remain a provider shall be required to undergo a criminal records check  
17 including a national criminal records check supported by fingerprints by the level of screening  
18 based on risk of fraud, waste or abuse as determined by the executive office of health and human  
19 services (EOHHS) for that category of Medicaid provider.

20 (b) Establishment of risk categories. EOHHS, in consultation with the department of  
21 attorney general, shall establish through regulation, risk categories for Medicaid providers and  
22 provider categories who pose an increased financial risk of fraud, waste or abuse to the  
23 Medicaid/CHIP program, in accordance with § 42 C.F.R. §§ 455.434 and 455.450.

24 (c) High risk categories, as determined by EOHHS, may include:

25 (1) Newly enrolled home health agencies that have not been Medicare certified;

26 (2) Newly enrolled durable medical equipment providers;

27 (3) New or revalidating providers that have been categorized by EOHHS as high risk;

28 (4) New or revalidating providers with payment suspension histories;

29 (5) New or revalidating providers with office of inspector general exclusion histories;

30 (6) New or revalidating providers with qualified overpayment histories; or

31 (7) New or revalidating providers applying for enrollment post debarment or moratorium  
32 (federal or state-based).

33 (d) Upon the state Medicaid agency determination that a provider or an applicant to become  
34 a provider, or a person with a five percent (5%) or more direct or indirect ownership interest in the

1 provider, meets EOHHS's criteria for criminal records checks as a high risk to the Medicaid program,  
2 EOHHS shall require that each such provider or applicant to become a provider, undergo a national  
3 criminal records check supported by fingerprints.

4 (e) EOHHS shall require such a high risk Medicaid provider or applicant to become a  
5 provider, or any person with a five percent (5%) or more direct or indirect ownership interest in the  
6 provider, to submit to a national criminal records check supported by fingerprints within thirty (30)  
7 days upon request from the Centers for Medicare and Medicaid or EOHHS.

8 (f) The Medicaid providers requiring the national criminal records check shall apply to the  
9 department of attorney general, bureau of criminal identification (BCI) to be fingerprinted. The  
10 fingerprints shall be transmitted to the federal bureau of investigation (FBI) for a national criminal  
11 records check. The results of the national criminal records check shall be made available to the  
12 applicant undergoing a record check and submitting fingerprints.

13 (g) Upon the discovery of any disqualifying information, as defined in § 42-7.2-18.2 and  
14 as in accordance with the regulations promulgated by EOHHS, the BCI unit of the department of  
15 attorney general will inform the applicant, in writing, of the nature of the disqualifying information,  
16 and, without disclosing the nature of the disqualifying information, will notify the EOHHS, in  
17 writing, that disqualifying information has been discovered.

18 (h) In those situations in which no disqualifying information has been found, the BCI unit  
19 of the department of attorney general shall inform the applicant and EOHHS, in writing, of this  
20 fact.

21 (i) The applicant shall be responsible for the cost of conducting the national criminal  
22 records check through the BCI unit of the department of attorney general.

23 **42-7.2-18.2. Professional responsibility -- Criminal records check disqualifying**  
24 **information for high-risk providers.**

25 (a) Information produced by a national criminal records check pertaining to conviction, for  
26 the following crimes will result in notification to EOHHS, disqualifying the applicant from being  
27 a Medicaid provider: murder, voluntary manslaughter, involuntary manslaughter, first degree  
28 sexual assault, second degree sexual assault, third degree sexual assault, assault on persons sixty  
29 (60) years of age or older, assault with intent to commit specified felonies (murder, robbery, rape,  
30 burglary, or the abominable and detestable crime against nature), felony assault, patient abuse,  
31 neglect or mistreatment of patients, burglary, first degree arson, robbery, felony drug offenses,  
32 felony larceny, felony banking law violations, felony obtaining money under false pretenses, felony  
33 embezzlement, abuse, neglect and/or exploitation of adults with severe impairments, exploitation  
34 of elders, or a crime under section 1128a of the Social Security Act (42 U.S.C. 1320a-7(a)). An

1 applicant against whom disqualifying information has been found, for purposes of appeal, may  
2 provide a copy of the national criminal records check to EOHHS, who shall make a judgment  
3 regarding the approval of or the continued status of that person as a provider.

4 (b) For purposes of this section, “conviction” means, in addition to judgments of conviction  
5 entered by a court subsequent to a finding of guilty or a plea of guilty, those instances where the  
6 defendant has entered a plea of nolo contendere and has received a sentence of probation and those  
7 instances where a defendant has entered into a deferred sentence agreement with the attorney  
8 general.

9 **42-7.2-18.3. Professional responsibility -- Criminal records check for personal care**  
10 **aides.**

11 ((a) Any person seeking employment to provide care to elderly or individuals with  
12 disabilities who is, or may be required to be, licensed, registered, trained or certified with the office  
13 of Medicaid if that employment involves routine contact with elderly or individuals with disabilities  
14 without the presence of other employees, shall undergo a national criminal records check supported  
15 by fingerprints. The applicant will report to the department of attorney general, BCI unit to submit  
16 their fingerprints. The fingerprints shall be submitted to the FBI by the BCI unit of the department  
17 of attorney general. The national criminal records check shall be initiated prior to, or within one  
18 week of, employment.

19 (b) The director of the office of Medicaid may, by rule, identify those positions requiring  
20 criminal records checks. The identified employee, through EOHHS, shall apply to the BCI unit of  
21 the department of attorney general for a national criminal records check. Upon the discovery of any  
22 disqualifying information, as defined in § 42-7.2-18.4 and in accordance with the rule promulgated  
23 by the director of Medicaid, the BCI unit of the department of attorney general will inform the  
24 applicant, in writing, of the nature of the disqualifying information, and, without disclosing the  
25 nature of the disqualifying information, will notify EOHHS in writing, that disqualifying  
26 information has been discovered.

27 (c) An applicant against whom disqualifying information has been found, for purposes of  
28 appeal, may provide a copy of the national criminal history check to EOHHS who shall make a  
29 judgment regarding the approval of the applicant.

30 (d) In those situations, in which no disqualifying information has been found, the BCI unit  
31 of the department of attorney general shall inform the applicant and EOHHS, in writing, of this  
32 fact.

33 (e) EOHHS shall maintain on file evidence that criminal records checks have been initiated  
34 on all applicants subsequent to July 1, 2022.

1 (f) The applicant shall be responsible for the cost of conducting the national criminal  
2 records check through the BCI unit of the department of attorney general.

3 **42-7.2-18.4. Professional responsibility -- Criminal records check disqualifying**  
4 **information for personal care aides.**

5 (a) Information produced by a national criminal records check pertaining to conviction for  
6 the following crimes will result in a letter to the applicant and the executive office of health and  
7 human services (EOHHS), disqualifying the applicant: murder, voluntary manslaughter,  
8 involuntary manslaughter, first degree sexual assault, second degree sexual assault, third degree  
9 sexual assault, assault on persons sixty (60) years of age or older, assault with intent to commit  
10 specified felonies (murder, robbery, rape, burglary, or the abominable and detestable crime against  
11 nature), felony assault, patient abuse, neglect or mistreatment of patients, burglary, first degree  
12 arson, robbery, felony drug offenses, felony larceny, or felony banking law violations, felony  
13 obtaining money under false pretenses, felony embezzlement, abuse, neglect and/or exploitation of  
14 adults with severe impairments, exploitation of elders, or a crime under section 1128a of the Social  
15 Security Act (42 U.S.C. 1320a-7(a)).

16 (b) For purposes of this section, "conviction" means, in addition to judgments of conviction  
17 entered by a court subsequent to a finding of guilty or a plea of guilty, those instances where the  
18 defendant has entered a plea of nolo contendere and has received a sentence of probation and those  
19 instances where a defendant has entered into a deferred sentence agreement with the attorney  
20 general.

21 SECTION 3. This act shall take effect upon passage.

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EXPLANATION  
BY THE LEGISLATIVE COUNCIL  
OF

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1           This act would require patient contact employees, personal care attendants and high-risk  
2 providers to undergo a national criminal records check and would disqualify those people from  
3 such employment if they have a criminal record for crimes of violence or other offenses listed.

4           This act would take effect upon passage.

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