

STATE OF RHODE ISLAND

IN GENERAL ASSEMBLY

JANUARY SESSION, A.D. 2023

A N A C T

RELATING TO BUSINESSES AND PROFESSIONS -- PHYSICIAN ASSISTANCE
LICENSING COMPACT ACT

Introduced By: Senators Valverde, Lauria, Ujifusa, Miller, DiMario, Sosnowski, and
Lawson

Date Introduced: February 16, 2023

Referred To: Senate Health & Human Services

It is enacted by the General Assembly as follows:

1 SECTION 1. Title 5 of the General Laws entitled "BUSINESSES AND PROFESSIONS"
2 is hereby amended by adding thereto the following chapter:

3 CHAPTER 93

4 PHYSICIAN ASSISTANCE LICENSING COMPACT ACT

5 **5-93-1. Short title.**

6 This chapter shall be known and may be cited as the "Physicians Assistance Licensing
7 Compact Act."

8 **5-93-2. Definitions.**

9 (1) "Adverse Action" means any administrative, civil, equitable, or criminal action
10 permitted by a state's laws which is imposed by a licensing board or other authority against a
11 physician assistant license or license application or compact privilege such as license denial,
12 censure, revocation, suspension, probation, monitoring of the licensee, or restriction on the
13 licensee's practice.

14 (2) "Compact Privilege" means the authorization granted by a remote state to allow a
15 licensee from another participating state to practice as a physician assistant to provide medical
16 services and other licensed activity to a patient located in the remote state under the remote state's
17 laws and regulations.

18 (3) "Conviction" means a finding by a court that an individual is guilty of a felony or

1 misdemeanor offense through adjudication or entry of a plea of guilt or no contest to the charge by
2 the offender

3 (4) “Criminal Background Check” means the submission of fingerprints or other biometric -
4 based information for a License applicant for the purpose of obtaining that applicant’s
5 criminal history record information, as defined in 28 C.F.R. § 20.3(d), from the state’s criminal
6 history record repository as defined in 28 C.F.R. § 20.3(f).

7 (5) “Data System” means the repository of information about licensees, including, but not
8 limited to, license status and adverse actions, which is created and administered under the terms of
9 this compact.

10 (6) “Executive Committee” means a group of directors and ex-officio individuals elected
11 or appointed pursuant to § 5-93-7

12 (7) “Impaired Practitioner” means a physician assistant whose practice is adversely
13 affected by health-related condition(s) that impact their ability to practice.

14 (8) “Investigative Information” means information, records, or documents received or
15 generated by a licensing board pursuant to an investigation.

16 (9) “Jurisprudence Requirement” means the assessment of an individual’s knowledge of
17 the laws and rules governing the practice of a physician assistant in a state.

18 (10) “License” means current authorization by a state, other than authorization pursuant to
19 a compact privilege, for a physician assistant to provide medical services, which would be unlawful
20 without current authorization.

21 (11) “Licensee” means an individual who holds a license from a state to provide medical
22 services as a physician assistant.

23 (12) “Licensing Board” means any state entity authorized to license and otherwise regulate
24 physician assistant’s.

25 (13) “Medical Services” means health care services provided for the diagnosis, prevention,
26 treatment, cure or relief of a health condition, injury, or disease, as defined by a state’s laws and
27 regulations.

28 (14) “Model Compact” means the model for the physician assistant licensure compact on
29 file with the council of state governments or other entity as designated by the commission.

30 (15) “Participating State” means a state that has enacted this compact.

31 (16) “Physician Assistant” means an individual who is licensed as a physician assistant in
32 a state. For purposes of this compact, any other title or status adopted by a state to replace the term
33 “physician assistant” shall be deemed synonymous with “physician assistant” and shall confer the
34 same rights and responsibilities to the licensee under the provisions of this compact at the time of

1 its enactment.

2 (17) “Physician Assistant Licensure Compact Commission,” “Compact Commission,” or
3 “Commission” means the national administrative body created pursuant to § 5-93-7(a) of this
4 compact.

5 (18) “Qualifying License” means an unrestricted license issued by a participating state to
6 provide medical services as a physician assistant.

7 (19) “Remote State” means a participating state where a licensee who is not licensed as a
8 physician assistant is exercising or seeking to exercise the compact privilege.

9 (20) “Rule” means a regulation promulgated by an entity that has the force and effect of
10 law.

11 (21) “Significant Investigative Information” means investigative information that a
12 licensing board, after an inquiry or investigation that includes notification and an opportunity for
13 the physician assistant to respond if required by state law, has reason to believe is not groundless
14 and, if proven true, would indicate more than a minor infraction.

15 (22) “State” means any state, commonwealth, district, or territory of the United States.

16 **5-93-3. State participation in this compact.**

17 (a) To participate in this compact, a participating state shall:

18 (1) License physician assistant.

19 (2) Participate in the compact commission’s data system.

20 (3) Have a mechanism in place for receiving and investigating complaints against licensees
21 and license applicants.

22 (4) Notify the commission, in compliance with the terms of this compact and commission
23 rules, of any adverse action against a licensee or license applicant and the existence of significant
24 investigative information regarding a licensee or license applicant.

25 (5) Fully implement a criminal background check requirement, within a time frame
26 established by commission rule, by its licensing board receiving the results of a criminal
27 background check and reporting to the commission whether the license applicant has been granted
28 a license.

29 (6) Comply with the rules of the compact commission.

30 (7) Utilize passage of a recognized national exam such as the NCCPA PANCE as a
31 requirement for physician assistance licensure.

32 (8) Require continuing education for license renewal.

33 (9) Grant the compact privilege to a holder of a qualifying license in a participating state.

34 (B) Nothing in this compact prohibits a participating state from charging a fee for granting

1 the compact privilege.

2 **5-93-4. Compact privilege.**

3 (a) To exercise the compact privilege, a Licensee must:

4 (1) Have graduated from a physician assistant program accredited by the Accreditation
5 Review Commission on Education for the Physician Assistant, Inc. or other programs authorized
6 by commission rule.

7 (2) Hold current NCCPA certification.

8 (3) Have no felony or misdemeanor conviction.

9 (4) Have never had a controlled substance license, permit, or registration suspended or
10 revoked by a state or by the United States Drug Enforcement Administration.

11 (5) Have a unique identifier as determined by commission rule.

12 (6) Hold a qualifying license.

13 (7) Have had no revocation of a license or limitation or restriction on any license currently
14 held due to an adverse action.

15 (8) If a licensee has had a limitation or restriction on a license or compact privilege due to
16 an adverse action, two (2) years must have elapsed from the date on which the license or compact
17 privilege is no longer limited or restricted due to the adverse action.

18 (9) If a compact privilege has been revoked or is limited or restricted in a participating state
19 for conduct that would not be a basis for disciplinary action in a participating state in which the
20 licensee is practicing or applying to practice under a compact privilege, that participating state shall
21 have the discretion not to consider such action as an adverse action requiring the denial or removal
22 of a compact privilege in that state.

23 (10) Notify the compact commission that the licensee is seeking the compact privilege in
24 a remote state.

25 (11) Meet any jurisprudence requirement of a remote state in which the licensee is seeking
26 to practice under the compact privilege and pay any fees applicable to satisfying the jurisprudence
27 requirement.

28 (12) Report to the commission any adverse action taken by a non-participating state within
29 thirty (30) days after the action is taken.

30 (b) The compact privilege is valid until the expiration or revocation of the qualifying
31 license unless terminated pursuant to an adverse action. The licensee must also comply with all of
32 the requirements of subsection (a) of this section to maintain the compact privilege in a remote
33 state. If the participating state takes adverse action against a qualifying license, the licensee shall
34 lose the compact privilege in any remote state in which the licensee has a compact privilege until

1 all of the following occur:

2 (1) The license is no longer limited or restricted; and

3 (2) Two (2) years have elapsed from the date on which the License is no longer limited or
4 restricted due to the adverse action.

5 (c) Once a restricted or limited license satisfies the requirements of subsection B.1 and 2,
6 the licensee must meet the requirements of subsection A to obtain a compact privilege in any remote
7 state.

8 (d) For each remote state in which a physician assistant seeks authority to prescribe
9 controlled substances, the physician assistant shall satisfy all requirements imposed by such state
10 in granting or renewing such authority.

11 **5-93-5. Designation of the state from which licensee is applying for a compact**
12 **privilege.**

13 (a) Upon a licensee's application for a compact privilege, the licensee shall identify to the
14 commission the participating state from which the licensee is applying, in accordance with
15 applicable rules adopted by the commission, and subject to the following requirements:

16 (1) When applying for a compact privilege, the licensee shall provide the commission with
17 the address of the licensee's primary residence and thereafter shall immediately report to the
18 commission any change in the address of the licensee's primary residence.

19 (2) When applying for a compact privilege, the licensee is required to consent to accept
20 service of process by mail at the licensee's primary residence on file with the commission with
21 respect to any action brought against the licensee by the commission or a participating state,
22 including a subpoena, with respect to any action brought or investigation conducted by the
23 commission or a participating state.

24 **5-93-6. Adverse actions.**

25 (a) A Participating state in which a licensee is licensed shall have exclusive power to
26 impose adverse action against the qualifying license issued by that participating state.

27 (b) In addition to the other powers conferred by state law, a remote state shall have the
28 authority, in accordance with existing state due process law, to do all of the following:

29 (1) Take adverse action against a physician assistant's compact privilege within that state
30 to remove a licensee's compact privilege or take other action necessary under applicable law to
31 protect the health and safety of its citizens.

32 (2) Issue subpoenas for both hearings and investigations that require the attendance and
33 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board
34 in a participating state for the attendance and testimony of witnesses or the production of evidence

1 from another participating state shall be enforced in the latter state by any court of competent
2 jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in
3 proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses,
4 mileage and other fees required by the service statutes of the state in which the witnesses or
5 evidence are located.

6 (3) Notwithstanding subsection (b)(1) of this section, subpoenas may not be issued by a
7 participating state to gather evidence of conduct in another state that is lawful in that other state for
8 the purpose of taking adverse action against a licensee's compact privilege or application for a
9 compact privilege in that participating state. Nothing in this compact authorizes a participating state
10 to impose discipline against a physician assistant's compact privilege or to deny an application for
11 a compact privilege in that participating state for the individual's otherwise lawful practice in
12 another state.

13 (c) For purposes of taking adverse action, the participating state which issued the qualifying
14 license shall give the same priority and effect to reported conduct received from any other
15 participating state as it would if the conduct had occurred within the participating state which issued
16 the qualifying license. In so doing, that participating state shall apply its own state laws to determine
17 appropriate action.

18 (d) A participating state, if otherwise permitted by state law, may recover from the affected
19 physician assistant the costs of investigations and disposition of cases resulting from any adverse
20 action taken against that physician assistant.

21 (e) A participating state may take adverse action based on the factual findings of a remote
22 state, provided that the participating state follows its own procedures for taking the adverse action.

23 (f) Joint Investigations.

24 (1) In addition to the authority granted to a participating state by its respective state
25 physician assistant laws and regulations or other applicable state law, any participating state may
26 participate with other participating states in joint investigations of licensees.

27 (2) Participating states shall share any investigative, litigation, or compliance materials in
28 furtherance of any joint or individual investigation initiated under this compact.

29 (g) If an adverse action is taken against a physician assistant's qualifying license, the
30 physician assistant's compact privilege in all remote states shall be deactivated until two (2) years
31 have elapsed after all restrictions have been removed from the state license. All disciplinary orders
32 by the participating state which issued the qualifying license that impose adverse action against a
33 physician assistant's license shall include a statement that the physician assistant's compact
34 privilege is deactivated in all participating states during the pendency of the order.

1 (h) If any participating state takes adverse action, it promptly shall notify the administrator
2 of the data system.

3 **5-93-7. Establishment of the physician assistant licensure compact commission.**

4 (a) The participating states hereby create and establish a joint government agency and
5 national administrative body known as the physician assistant licensure compact commission. The
6 commission is an instrumentality of the compact states acting jointly and not an instrumentality of
7 any one state. The commission shall come into existence on or after the effective date of the
8 compact as set forth in section.

9 (b) Membership, Voting, and Meetings.

10 (1) Each participating state shall have and be limited to one delegate selected by that
11 participating state's licensing board or, if the state has more than one licensing board, selected
12 collectively by the participating state's licensing boards.

13 (2) The delegate shall be either:

14 (i) A current physician assistant, physician or public member of a licensing board or
15 physician assistant council/committee; or

16 (ii) An administrator of a licensing board.

17 (3) Any delegate may be removed or suspended from office as provided by the laws of the
18 state from which the delegate is appointed.

19 (4) The participating state licensing board shall fill any vacancy occurring in the
20 commission within sixty (60) days.

21 (5) Each delegate shall be entitled to one vote on all matters voted on by the commission
22 and shall otherwise have an opportunity to participate in the business and affairs of the commission.
23 A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may
24 provide for delegates' participation in meetings by telecommunications, video conference, or other
25 means of communication.

26 (6) The commission shall meet at least once during each calendar year. Additional meetings
27 shall be held as set forth in this compact and the bylaws.

28 (7) The commission shall establish by rule a term of office for delegates.

29 (c) The commission shall have the following powers and duties:

30 (1) Establish a code of ethics for the commission;

31 (2) Establish the fiscal year of the commission;

32 (3) Establish fees;

33 (4). Establish bylaws;

34 (5). Maintain its financial records in accordance with the bylaws;

- 1 (6) Meet and take such actions as are consistent with the provisions of this compact and
2 the bylaws;
- 3 (7) Promulgate rules to facilitate and coordinate implementation and administration of this
4 compact. The rules shall have the force and effect of law and shall be binding in all participating
5 states;
- 6 (8) Bring and prosecute legal proceedings or actions in the name of the commission,
7 provided that the standing of any state licensing board to sue or be sued under applicable law shall
8 not be affected;
- 9 (9) Purchase and maintain insurance and bonds;
- 10 (10) Borrow, accept, or contract for services of personnel, including, but not limited to,
11 employees of a participating state;
- 12 (11) Hire employees and engage contractors, elect or appoint officers, fix compensation,
13 define duties, grant such individuals appropriate authority to carry out the purposes of this compact,
14 and establish the commission's personnel policies and programs relating to conflicts of interest,
15 qualifications of personnel, and other related personnel matters;
- 16 (12) Accept any and all appropriate donations and grants of money, equipment, supplies,
17 materials and services, and receive, utilize and dispose of the same; provided that at all times the
18 Commission shall avoid any appearance of impropriety or conflict of interest;
- 19 (13) Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold,
20 improve or use, any property, real, personal or mixed; provided that at all times the commission
21 shall avoid any appearance of impropriety;
- 22 (14) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any
23 property real, personal, or mixed;
- 24 (15) Establish a budget and make expenditures;
- 25 (16) Borrow money;
- 26 (17) Appoint committees, including standing committees composed of members, state
27 regulators, state legislators or their representatives, and consumer representatives, and such other
28 interested persons as may be designated in this compact and the bylaws;
- 29 (18) Provide and receive information from, and cooperate with, law enforcement agencies;
- 30 (19) Elect a chair, vice chair, secretary and treasurer and such other officers of the
31 commission as provided in the commission's bylaws.
- 32 (20) Reserve for itself, in addition to those reserved exclusively to the commission under
33 the compact, powers that the executive committee may not exercise;
- 34 (21) Approve or disapprove a state's participation in the compact based upon its

1 determination as to whether the state's compact legislation departs in a material manner from the
2 model compact language;

3 (22) Prepare and provide to the participating states an annual report; and

4 (23) Perform such other functions as may be necessary or appropriate to achieve the
5 purposes of this compact consistent with the state regulation of physician assistant licensure and
6 practice.

7 (d) Meetings of the commission.

8 (1) All meetings of the commission that are not closed pursuant to this section shall be
9 open to the public. Notice of public meetings shall be posted on the commission's website at least
10 thirty (30) days prior to the public meeting.

11 (2) Notwithstanding subsection (d)(1) of this section, the commission may convene a
12 public meeting by providing at least twenty-four (24) hours prior notice on the commission's
13 website, and any other means as provided in the commission's rules, for any of the reasons it may
14 dispense with notice of proposed rulemaking under § 5-93-9.

15 (3) The commission may convene in a closed, non-public meeting or non-public part of a
16 public meeting to receive legal advice or to discuss:

17 (i) Non-compliance of a participating state with its obligations under this compact;

18 (ii) The employment, compensation, discipline or other matters, practices or procedures
19 related to specific employees or other matters related to the commission's internal personnel
20 practices and procedures;

21 (iii) Current, threatened, or reasonably anticipated litigation;

22 (iv) Negotiation of contracts for the purchase, lease, or sale of goods, services, or real
23 estate;

24 (v) Accusing any person of a crime or formally censuring any person;

25 (vi) Disclosure of trade secrets or commercial or financial information that is privileged or
26 confidential;

27 (vii) Disclosure of information of a personal nature where disclosure would constitute a
28 clearly unwarranted invasion of personal privacy;

29 (viii) Disclosure of investigative records compiled for law enforcement purposes;

30 (ix) Disclosure of information related to any investigative reports prepared by or on behalf
31 of or for use of the commission or other committee charged with responsibility of investigation or
32 determination of compliance issues pursuant to this compact;

33 (x) Legal advice; or

34 (xi) Matters specifically exempted from disclosure by federal or participating states'

1 statutes.

2 (4) If a meeting, or portion of a meeting, is closed pursuant to this provision, the chair of
3 the meeting or the chair's designee shall certify that the meeting or portion of the meeting may be
4 closed and shall reference each relevant exempting provision.

5 (5) The commission shall keep minutes that fully and clearly describe all matters discussed
6 in a meeting and shall provide a full and accurate summary of actions taken, including a description
7 of the views expressed. All documents considered in connection with an action shall be identified
8 in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject
9 to release by a majority vote of the commission or order of a court of competent jurisdiction.

10 (e) Financing of the Commission.

11 (1) The commission shall pay, or provide for the payment of, the reasonable expenses of
12 its establishment, organization, and ongoing activities.

13 (2) The commission may accept any and all appropriate revenue sources, donations, and
14 grants of money, equipment, supplies, materials, and services.

15 (3) The commission may levy on and collect an annual assessment from each participating
16 state and may impose compact privilege fees on licensees of participating states to whom a compact
17 privilege is granted to cover the cost of the operations and activities of the commission and its staff,
18 which must be in a total amount sufficient to cover its annual budget as approved by the commission
19 each year for which revenue is not provided by other sources. The aggregate annual assessment
20 amount levied on participating states shall be allocated based upon a formula to be determined by
21 commission rule.

22 (i) A compact privilege expires when the licensee's qualifying license in the participating
23 state from which the licensee applied for the compact privilege expires.

24 (ii) If the licensee terminates the qualifying license through which the licensee applied for
25 the compact privilege before its scheduled expiration, and the licensee has a qualifying license in
26 another participating state, the licensee shall inform the commission that it is changing to that
27 participating state the participating state through which it applies for a compact privilege and pay
28 to the commission any compact privilege fee required by commission rule.

29 (4) The commission shall not incur obligations of any kind prior to securing the funds
30 adequate to meet the same; nor shall the commission pledge the credit of any of the participating
31 states, except by and with the authority of the participating state.

32 (5) The commission shall keep accurate accounts of all receipts and disbursements. The
33 receipts and disbursements of the commission shall be subject to the financial review and
34 accounting procedures established under its bylaws. All receipts and disbursements of funds

1 handled by the commission shall be subject to an annual financial review by a certified or licensed
2 public accountant, and the report of the financial review shall be included in and become part of
3 the annual report of the commission.

4 (f) The Executive Committee

5 (1) The executive committee shall have the power to act on behalf of the commission
6 according to the terms of this compact and commission rules.

7 (2) The executive committee shall be composed of nine (9) members:

8 (i) Seven (7) voting members who are elected by the commission from the current
9 membership of the Commission;

10 (ii) One ex-officio, nonvoting member from a recognized national physician assistant
11 professional association; and

12 (iii) One ex-officio, nonvoting member from a recognized national physician assistant
13 certification organization.

14 (3) The ex-officio members will be selected by their respective organizations.

15 (4) The commission may remove any member of the executive committee as provided in
16 its bylaws.

17 (5) The executive committee shall meet at least annually.

18 (6) The executive committee shall have the following duties and responsibilities:

19 (i) Recommend to the commission changes to the commission's rules or bylaws, changes
20 to this compact legislation, fees to be paid by compact participating states such as annual dues, and
21 any commission compact fee charged to licensees for the compact privilege;

22 (ii) Ensure compact administration services are appropriately provided, contractual or
23 otherwise;

24 (iii) Prepare and recommend the budget;

25 (iv) Maintain financial records on behalf of the commission;

26 (v) Monitor compact compliance of participating states and provide compliance reports to
27 the commission;

28 (vi) Establish additional committees as necessary;

29 (vii) Exercise the powers and duties of the commission during the interim between
30 commission meetings, except for issuing proposed rulemaking or adopting commission rules or
31 bylaws, or exercising any other powers and duties exclusively reserved to the commission by the
32 commission's rules; and

33 (viii) Perform other duties as provided in the commission's rules or bylaws.

34 (7) All meeting of the executive committee at which it votes or plans to vote on matters in

1 exercising the powers and duties of the commission shall be open to the public and public notice
2 of such meetings shall be given as public meetings of the commission are given.

3 (8) The executive committee may convene in a closed, non-public meeting for the same
4 reasons that the commission may convene in a non-public meeting as set forth in subsection (d)(3)
5 of this section and shall announce the closed meeting as the commission is required to under
6 subsection (d)(4) of this section and keep minutes of the closed meeting as the commission is
7 required to under subsection (d)(5) of this section.

8 (g) Qualified Immunity, Defense, and Indemnification.

9 (1) The members, officers, executive director, employees and representatives of the
10 commission shall be immune from suit and liability, both personally and in their official capacity,
11 for any claim for damage to or loss of property or personal injury or other civil liability caused by
12 or arising out of any actual or alleged act, error, or commission that occurred, or that the person
13 against whom the claim is made had a reasonable basis for believing occurred within the scope of
14 commission employment, duties or responsibilities; provided that nothing in this paragraph shall
15 be construed to protect any such person from suit or liability for any damage, loss, injury, or liability
16 caused by the intentional or willful or wanton misconduct of that person. The procurement of
17 insurance of any type by the commission shall not in any way compromise or limit the immunity
18 granted hereunder.

19 (2) The commission shall defend any member, officer, executive director, employee, and
20 representative of the Commission in any civil action seeking to impose liability arising out of any
21 actual or alleged act, error, or omission that occurred within the scope of commission employment,
22 duties, or responsibilities, or as determined by the commission that the person against whom the
23 claim is made had a reasonable basis for believing occurred within the scope of commission
24 employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit
25 that person from retaining their own counsel at their own expense; and provided further, that the
26 actual or alleged act, error, or omission did not result from that person's intentional or willful or
27 wanton misconduct.

28 (3) The commission shall indemnify and hold harmless any member, officer, executive
29 director, employee, and representative of the commission for the amount of any settlement or
30 judgment obtained against that person arising out of any actual or alleged act, error, or omission
31 that occurred within the scope of commission employment, duties, or responsibilities, or that such
32 person had a reasonable basis for believing occurred within the scope of commission employment,
33 duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result
34 from the intentional or willful or wanton misconduct of that person.

1 (4) Venue is proper and judicial proceedings by or against the commission shall be brought
2 solely and exclusively in a court of competent jurisdiction where the principal office of the
3 commission is located. The commission may waive venue and jurisdictional defenses in any
4 proceedings as authorized by commission rules.

5 (5) Nothing herein shall be construed as a limitation on the liability of any licensee for
6 professional malpractice or misconduct, which shall be governed solely by any other applicable
7 state laws.

8 (6) Nothing herein shall be construed to designate the venue or jurisdiction to bring actions
9 for alleged acts of malpractice, professional misconduct, negligence, or other such civil action
10 pertaining to the practice of a physician assistant. All such matters shall be determined exclusively
11 by state law other than this compact.

12 (7) Nothing in this compact shall be interpreted to waive or otherwise abrogate a
13 participating state's state action immunity or state action affirmative defense with respect to
14 antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or
15 anticompetitive law or regulation.

16 (8) Nothing in this compact shall be construed to be a waiver of sovereign immunity by
17 the participating states or by the commission.

18 **5-93-8. Data system.**

19 (a) The commission shall provide for the development, maintenance, operation, and
20 utilization of a coordinated data and reporting system containing licensure, adverse action, and the
21 reporting of the existence of significant investigative information on all licensed physician
22 assistants' and applicants denied a license in participating states.

23 (b) Notwithstanding any other state law to the contrary, a participating state shall submit a
24 uniform data set to the data system on all physician assistants' to whom this compact is applicable
25 (utilizing a unique identifier) as required by the rules of the commission, including:

26 (1) Identifying information;

27 (2) Licensure data;

28 (3) Adverse actions against a license or compact privilege;

29 (4) Any denial of application for licensure, and the reason(s) for such denial (excluding the
30 reporting of any criminal history record information where prohibited by law);

31 (5) The existence of significant investigative information; and

32 (6) Other information that may facilitate the administration of this compact, as determined
33 by the rules of the commission.

34 (c) Significant investigative information pertaining to a licensee in any participating state

1 shall only be available to other participating states.

2 (d) The commission shall promptly notify all participating states of any adverse action
3 taken against a licensee or an individual applying for a license that has been reported to it. This
4 adverse action information shall be available to any other participating state.

5 (e) Participating states contributing information to the data system may, in accordance with
6 state or federal law, designate information that may not be shared with the public without the
7 express permission of the contributing state. Notwithstanding any such designation, such
8 information shall be reported to the commission through the data system.

9 (f) Any information submitted to the data system that is subsequently expunged pursuant
10 to federal law or the laws of the participating state contributing the information shall be removed
11 from the data system upon reporting of such by the participating state to the commission.

12 (g) The records and information provided to a participating state pursuant to this compact
13 or through the data system, when certified by the commission or an agent thereof, shall constitute
14 the authenticated business records of the commission, and shall be entitled to any associated
15 hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a
16 participating state.

17 **5-93-9. Rulemaking.**

18 (a) The commission shall exercise its rulemaking powers pursuant to the criteria set forth
19 in this section and the rules adopted thereunder. Commission rules shall become binding as of the
20 date specified by the commission for each rule.

21 (b) The commission shall promulgate reasonable rules in order to effectively and efficiently
22 implement and administer this compact and achieve its purposes. A Commission rule shall be
23 invalid and have not force or effect only if a court of competent jurisdiction holds that the rule is
24 invalid because the commission exercised its rulemaking authority in a manner that is beyond the
25 scope of the purposes of this compact, or the powers granted hereunder, or based upon another
26 applicable standard of review.

27 (c) The Rules of the commission shall have the force of law in each participating state,
28 provided however that where the rules of the commission conflict with the laws of the participating
29 state that establish the medical services a physician assistant may perform in the participating state,
30 as held by a court of competent jurisdiction, the rules of the commission shall be ineffective in that
31 state to the extent of the conflict.

32 (d) If a majority of the legislatures of the participating states rejects a commission rule, by
33 enactment of a statute or resolution in the same manner used to adopt this compact within four (4)
34 years of the date of adoption of the rule, then such rule shall have no further force and effect in any

1 participating state or to any state applying to participate in the compact.

2 (e) Commission Rules shall be adopted at a regular or special meeting of the commission.

3 (f) Prior to promulgation and adoption of a final rule or rules by the commission, and at
4 least thirty (30) days in advance of the meeting at which the rule will be considered and voted upon,
5 the commission shall file a notice of proposed rulemaking:

6 (1) On the website of the commission or other publicly accessible platform; and
7 (2) To persons who have requested notice of the commission's notices of proposed
8 rulemaking, and
9 (3) In such other way(s) as the commission may by rule specify.

10 (g) The notice of proposed rulemaking shall include:

11 (1) The time, date, and location of the public hearing on the proposed rule and the proposed
12 time, date and location of the meeting in which the proposed rule will be considered and voted
13 upon;
14 (2) The text of the proposed rule and the reason for the proposed rule;
15 (3) A request for comments on the proposed rule from any interested person and the date
16 by which written comments must be received; and
17 (4) The manner in which interested persons may submit notice to the commission of their
18 intention to attend the public hearing or provide any written comments.

19 (h) Prior to adoption of a proposed rule, the commission shall allow persons to submit
20 written data, facts, opinions, and arguments, which shall be made available to the public.

21 (i) If the hearing is to be held via electronic means, the commission shall publish the
22 mechanism for access to the electronic hearing.

23 (1) All persons wishing to be heard at the hearing shall as directed in the notice of proposed
24 rulemaking, not less than five (5) business days before the scheduled date of the hearing, notify the
25 commission of their desire to appear and testify at the hearing.

26 (2) Hearings shall be conducted in a manner providing each person who wishes to
27 comment a fair and reasonable opportunity to comment orally or in writing.

28 (3) All hearings shall be recorded. A copy of the recording and the written comments, data,
29 facts, opinions, and arguments received in response to the proposed rulemaking shall be made
30 available to a person upon request.

31 (4) Nothing in this section shall be construed as requiring a separate hearing on each
32 proposed rule. Proposed Rules may be grouped for the convenience of the commission at hearings
33 required by this section.

34 (j) Following the public hearing the commission shall consider all written and oral

1 comments timely received.

2 (k) The commission shall, by majority vote of all delegates, take final action on the
3 proposed rule and shall determine the effective date of the rule, if adopted, based on the rulemaking
4 record and the full text of the rule.

5 (1) If adopted, the rule shall be posted on the commission's website.

6 (2) The commission may adopt changes to the proposed rule provided the changes do not
7 enlarge the original purpose of the proposed rule.

8 (3) The commission shall provide on its website an explanation of the reasons for
9 substantive changes made to the proposed rule as well as reasons for substantive changes not made
10 that were recommended by commenters.

11 (4) The commission shall determine a reasonable effective date for the rule. Except for an
12 emergency as provided in subsection L, the effective date of the rule shall be no sooner than thirty
13 (30) days after the commission issued the notice that it adopted the rule.

14 (l) Upon determination that an emergency exists, the commission may consider and adopt
15 an emergency rule with twenty-four (24) hours prior notice, without the opportunity for comment,
16 or hearing, provided that the usual rulemaking procedures provided in this compact and in this
17 section shall be retroactively applied to the rule as soon as reasonably possible, in no event later
18 than ninety (90) days after the effective date of the rule. For the purposes of this provision, an
19 emergency rule is one that must be adopted immediately by the commission in order to:

20 (1) Meet an imminent threat to public health, safety, or welfare;

21 (2) Prevent a loss of commission or participating state funds;

22 (3) Meet a deadline for the promulgation of a commission rule that is established by federal
23 law or rule; or

24 (4) Protect public health and safety.

25 (m) The commission or an authorized committee of the commission may direct revisions
26 to a previously adopted commission rule for purposes of correcting typographical errors, errors in
27 format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted
28 on the website of the commission. The revision shall be subject to challenge by any person for a
29 period of thirty (30) days after posting. The revision may be challenged only on grounds that the
30 revision results in a material change to a rule. A challenge shall be made as set forth in the notice
31 of revisions and delivered to the commission prior to the end of the notice period. If no challenge
32 is made, the revision will take effect without further action. If the revision is challenged, the
33 revision may not take effect without the approval of the commission.

34 (n) No participating state's rulemaking requirements shall apply under this compact.

1 **5-93-10. Oversight, dispute resolution, and enforcement.**

2 (a) Oversight

3 (1) The executive and judicial branches of state government in each participating state shall
4 enforce this compact and take all actions necessary and appropriate to implement the compact.

5 (2) Venue is proper and judicial proceedings by or against the commission shall be brought
6 solely and exclusively in a court of competent jurisdiction where the principal office of the
7 commission is located. The commission may waive venue and jurisdictional defenses to the extent
8 it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein
9 shall affect or limit the selection or propriety of venue in any action against a licensee for
10 professional malpractice, misconduct or any such similar matter.

11 (3) The commission shall be entitled to receive service of process in any proceeding
12 regarding the enforcement or interpretation of the compact or the commission's rules and shall have
13 standing to intervene in such a proceeding for all purposes. Failure to provide the commission with
14 service of process shall render a judgment or order in such proceeding void as to the commission,
15 this compact, or commission rules.

16 (b) Default, Technical Assistance, and Termination.

17 (1) If the commission determines that a participating state has defaulted in the performance
18 of its obligations or responsibilities under this compact or the commission rules, the commission
19 shall provide written notice to the defaulting state and other participating states. The notice shall
20 describe the default, the proposed means of curing the default and any other action that the
21 commission may take and shall offer remedial training and specific technical assistance regarding
22 the default.

23 (2) If a state in default fails to cure the default, the defaulting state may be terminated from
24 this compact upon an affirmative vote of a majority of the delegates of the participating states, and
25 all rights, privileges and benefits conferred by this compact upon such state may be terminated on
26 the effective date of termination. A cure of the default does not relieve the offending state of
27 obligations or liabilities incurred during the period of default.

28 (3) Termination of participation in this compact shall be imposed only after all other means
29 of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given
30 by the commission to the governor, the majority and minority leaders of the defaulting state's
31 legislature, and to the licensing board(s) of each of the participating states.

32 (4) A state that has been terminated is responsible for all assessments, obligations, and
33 liabilities incurred through the effective date of termination, including obligations that extend
34 beyond the effective date of termination.

1 (5) The commission shall not bear any costs related to a state that is found to be in default
2 or that has been terminated from this compact, unless agreed upon in writing between the
3 commission and the defaulting state.

4 (6) The defaulting State may appeal its termination from the compact by the commission
5 by petitioning the U.S. District Court for the District of Columbia or the federal district where the
6 commission has its principal offices. The prevailing member shall be awarded all costs of such
7 litigation, including reasonable attorney's fees.

8 (7) Upon the termination of a state's participation in the compact, the state shall
9 immediately provide notice to all licensees within that state of such termination:

10 (i) Licensees who have been granted a compact privilege in that state shall retain the
11 compact privilege for one hundred eighty (180) days following the effective date of such
12 termination.

13 (ii) Licensees who are licensed in that state who have been granted a compact privilege in
14 a participating state shall retain the compact privilege for one hundred eighty (180) days unless the
15 licensee also has a qualifying license in a participating state or obtains a qualifying license in a
16 participating state before the one hundred eighty (180) day period ends, in which case the compact
17 privilege shall continue.

18 (c) Dispute Resolution.

19 (1) Upon request by a participating state, the commission shall attempt to resolve disputes
20 related to this compact that arise among participating states and between participating and non-
21 participating states.

22 (2) The commission shall promulgate a rule providing for both mediation and binding
23 dispute resolution for disputes as appropriate.

24 (d) Enforcement.

25 (1) The commission, in the reasonable exercise of its discretion, shall enforce the
26 provisions of this compact and rules of the commission.

27 (2) If compliance is not secured after all means to secure compliance have been exhausted,
28 by majority vote, the commission may initiate legal action in the United States District Court for
29 the District of Columbia or the federal district where the commission has its principal offices,
30 against a participating state in default to enforce compliance with the provisions of this compact
31 and the commission's promulgated rules and bylaws. The relief sought may include both injunctive
32 relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be
33 awarded all costs of such litigation, including reasonable attorney's fees.

34 (3) The remedies herein shall not be the exclusive remedies of the commission. The

1 commission may pursue any other remedies available under federal or state law.

2 (e) Legal Action Against the Commission.

3 (1) A participating state may initiate legal action against the commission in the U.S. District
4 Court for the District of Columbia or the federal district where the commission has its principal
5 offices to enforce compliance with the provisions of compact and its rules. The relief sought may
6 include both injunctive relief and damages. In the event judicial enforcement is necessary, the
7 prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.

8 (2) No person other than a participating state shall enforce this compact against the
9 commission.

10 **5-93-11. Date of implementation of the physician assistant licensure compact**
11 **commission.**

12 (a) This compact shall come into effect on the date on which this compact statute is enacted
13 into law in the seventh participating state.

14 (1) On or after the effective date of the compact, the commission shall convene and review
15 the enactment of each of the states that enacted the compact prior to the commission convening
16 ("Charter Participating States") to determine if the statute enacted by each such charter participating
17 state is materially different than the model compact.

18 (i) A charter participating state whose enactment is found to be materially different from
19 the model compact shall be entitled to the default process set forth in § 5-93-10(b).

20 (ii) If any participating state later withdraws from the compact or its participation is
21 terminated, the commission shall remain in existence and the compact shall remain in effect even
22 if the number of participating states should be less than seven (7). Participating states enacting the
23 compact subsequent to the commission convening shall be subject to the process set forth in § 5-
24 93-7(c)(21) to determine if their enactments are materially different from the model compact and
25 whether they qualify for participation in the compact.

26 (2) Participating states enacting the compact subsequent to the seven (7) initial charter
27 participating states shall be subject to the process set forth in § 5-93-7(c)(21) to determine if their
28 enactments are materially different from the model compact and whether they qualify for
29 participation in the compact.

30 (3) All actions taken for the benefit of the commission or in furtherance of the purposes of
31 the administration of the compact prior to the effective date of the compact or the commission
32 coming into existence shall be considered to be actions of the commission unless specifically
33 repudiated by the commission.

34 (b) Any state that joins this compact shall be subject to the commission's rules and bylaws

1 as they exist on the date on which this compact becomes law in that state. Any rule that has been
2 previously adopted by the commission shall have the full force and effect of law on the day this
3 compact becomes law in that state.

4 (c) Any participating state may withdraw from this compact by enacting a statute repealing
5 the same.

6 (1) A participating state's withdrawal shall not take effect until one hundred eighty (180)
7 days after enactment of the repealing statute. During this one hundred eighty (180) day-period, all
8 compact privileges that were in effect in the withdrawing state and were granted to licensees
9 licensed in the withdrawing state shall remain in effect. If any licensee licensed in the withdrawing
10 state is also licensed in another participating state or obtains a license in another participating state
11 within the one hundred eighty (180) days, the licensee's compact privileges in other participating
12 states shall not be affected by the passage of the one hundred eighty (180) days.

13 (2) Withdrawal shall not affect the continuing requirement of the state licensing board(s)
14 of the withdrawing state to comply with the investigative, and adverse action reporting
15 requirements of this compact prior to the effective date of withdrawal.

16 (3) Upon the enactment of a statute withdrawing a state from this compact, the state shall
17 immediately provide notice of such withdrawal to all licensees within that state. Such withdrawing
18 state shall continue to recognize all licenses granted pursuant to this compact for a minimum of one
19 hundred eighty (180) days after the date of such notice of withdrawal.

20 (d) Nothing contained in this compact shall be construed to invalidate or prevent any
21 physician assistant licensure agreement or other cooperative arrangement between participating
22 states and between a participating state and non-participating state that does not conflict with the
23 provisions of this compact.

24 (e) This compact may be amended by the participating states. No amendment to this
25 compact shall become effective and binding upon any participating state until it is enacted
26 materially in the same manner into the laws of all participating states as determined by the
27 commission.

28 **5-93-12. Construction and severability.**

29 (a) This compact and the commission's rulemaking authority shall be liberally construed
30 as to effectuate the purposes, and the implementation and administration of the compact. Provisions
31 of the compact expressly authorizing or requiring the promulgation of rules shall not be construed
32 to limit the commission's rulemaking authority solely for those purposes.

33 (b) The provisions of this compact shall be severable and if any phrase, clause, sentence or
34 provision of this compact is held by a court of competent jurisdiction to be contrary to the

1 constitution of any participating state, a state seeking participation in the compact, or of the United
2 States, or the applicability thereof to any government, agency, person or circumstance is held to be
3 unconstitutional by a court of competent jurisdiction, the validity of the remainder of this compact
4 and the applicability thereof to any other government, agency, person or circumstance shall not be
5 affected thereby.

6 (c) Notwithstanding subsection (c) of this section or the provisions of this section, the
7 commission may deny a state's participation in the compact or, in accordance with the requirements
8 of § 5-93-10(b), terminate a participating state's participation in the compact, if it determines that
9 a constitutional requirement of a participating state is, or would be with respect to a state seeking
10 to participate in the compact, a material departure from the compact. Otherwise, if this compact
11 shall be held to be contrary to the constitution of any participating state, the compact shall remain
12 in full force and effect as to the remaining participating states and in full force and effect as to the
13 participating state affected as to all severable matters.

14 **5-93-13. Binding effect of compact.**

15 (a) Nothing herein prevents the enforcement of any other law of a participating state that
16 is not inconsistent with this compact.

17 (b) Any laws in a participating state in conflict with this compact are superseded to the
18 extent of the conflict.

19 (c) All agreements between the commission and the participating states are binding in
20 accordance with their terms.

21 SECTION 2. This act shall take effect upon passage.

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EXPLANATION
BY THE LEGISLATIVE COUNCIL
OF
A N A C T
RELATING TO BUSINESSES AND PROFESSIONS -- PHYSICIAN ASSISTANCE
LICENSING COMPACT ACT

- 1 This act would adopt the model compact for the physician assistant's licensure compact in
- 2 Rhode Island.
- 3 This act would take effect upon passage.

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