
Section IV

Capital Budget

Capital Budget Table of Contents

	Page
Summary	353
 Agency Analyses	
General Government Agencies	
Department of Administration	361
Economic Development Corporation	373
Department of Labor and Training	375
Department of Revenue	377
Public Utilities Commission	379
 Human Services Agencies	
Department of Children, Youth and Families	381
Department of Health	385
Department of Human Services	387
Department of Behavioral Healthcare, Developmental Disabilities and Hospitals .	391
Department of Veterans' Affairs	395
Governor's Commission on Disabilities	397
 Education Agencies	
Department of Elementary and Secondary Education	399
Board of Governors for Higher Education	405
Rhode Island Atomic Energy Commission	423
Historical Preservation and Heritage Commission	425
 Public Safety Agencies	
Office of the Attorney General	427
Department of Corrections	429
Judicial Department	433
Military Staff	435
Department of Public Safety	441
 Natural Resources Agencies	
Department of Environmental Management	445
Coastal Resources Management Council	451
State Water Resources Board	453
Clean Water Finance Agency	457
Narragansett Bay Commission	459
Rhode Island Resource Recovery Corporation	461

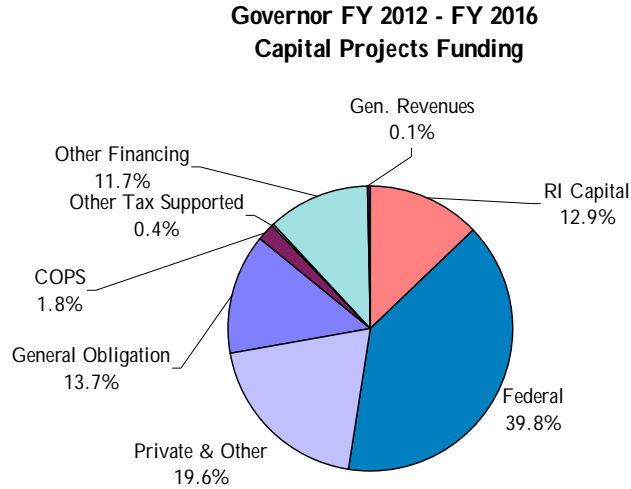
Transportation Agencies

Department of Transportation	465
Rhode Island Public Transit Authority.....	471
Rhode Island Airport Corporation	475

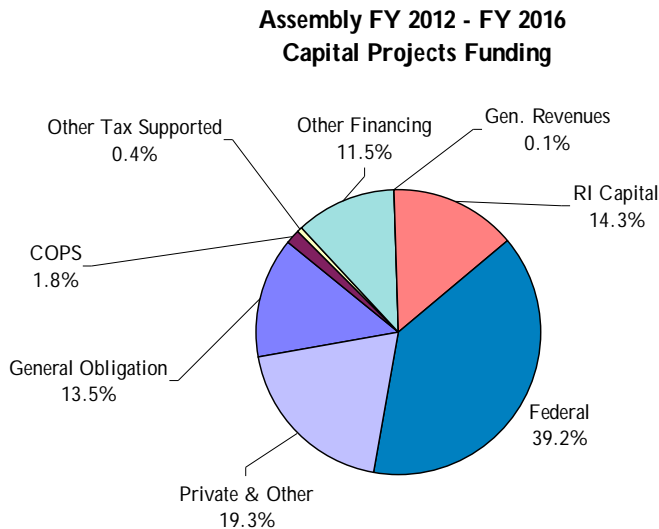
Capital Budget

Summary

The Governor's five-year capital recommendations for FY 2012 through FY 2016 call for total outlays of \$3,184.2 million for the period. Financing the plan requires \$900.7 million of debt issuances and \$2,359.0 million from current revenue streams.



The Assembly adopted five-year capital outlays of \$3,311.2 million for the period. Average outlays would be \$662.2 million. Financing the plan requires \$900.7 million of debt issuance and \$2,410.4 million from current revenue streams.



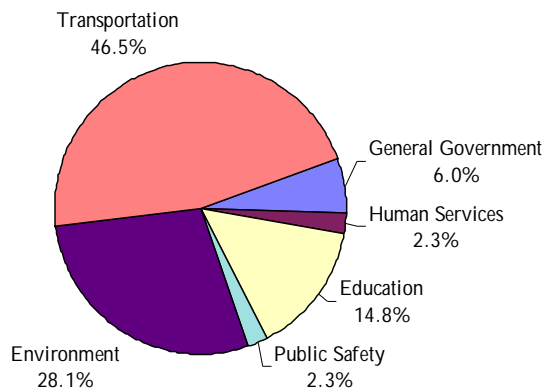
- Outlays and Funding.** The FY 2012 through FY 2016 adopted plan includes \$3,311.2 million of outlays on \$8,681.3 million of project estimates. Average outlays would be \$662.3 million per year for the five-year period with \$822.2 million required at the end of the period to complete the projects.

- **General Obligation Bonds Referenda.** Financing the plan is based on \$447.9 million of general obligation bond debt issuances, including \$163.3 million from new general obligation bond referenda to be presented to the voters in November 2012.
- **Other Debt Approvals.** The plan also requires \$72.8 million to be approved by the 2012 Assembly under the Public Debt Management Act, all of which would be used by the University of Rhode Island.
- **Financing.** Paying for the five-year outlays includes \$900.7 million from debt financing and \$2,410.4 million from current or pay-go sources. Pay-go represents 72.8 percent with debt funding being 27.2 percent.
- **Debt Levels.** Total net tax supported debt decreases by \$37.7 million from the \$1,883.9 million reported for the end of FY 2010 during the period through FY 2016 to \$1,846.2 million. However, past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget.
- **Debt Ratios.** Net tax supported debt would increase from 4.3 percent of personal income reported for FY 2010 to 4.5 percent in FY 2011 before dropping gradually back to 3.4 percent in FY 2016 assuming that the capital budget is not increased. However, as with debt levels, past practice indicates it is likely to be higher than projected.
- **Debt Service.** Debt service in the recommended capital budget would increase from 7.1 percent of useable general revenues in FY 2011 to 7.5 percent in FY 2016.

Outlays and Funding

The total five-year outlays of \$3,311.2 million are supported by a mix of pay-as-you-go funding and financing. Nearly three-quarters, 72.8 percent, of outlays are supported by current revenues, or pay-go, with the remaining 27.2 percent from financing.

FY 2012 - FY 2016 Capital Projects by Function



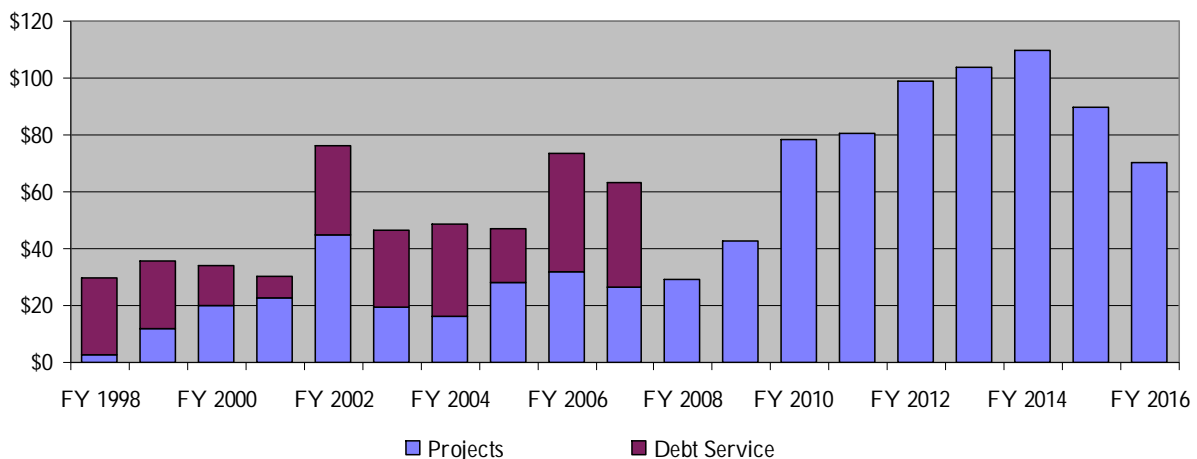
Pay-Go. The pay-go sources include \$1,296.7 million from federal sources, \$472.2 million from Rhode Island Capital Plan funds, \$2.3 million from general revenues, and \$639.3 million from private

and other sources. Federal funds remain the largest source of capital funding, providing 39.1 percent of all funding, and 53.8 percent of pay-go funding.

A primary source of state pay-go funding is the Rhode Island Capital Plan Fund. These revenues are derived from the amounts exceeding 2.0 to 3.0 percent of revenues in the state’s Budget Stabilization and Cash Reserve Account, or “rainy day fund.” The rainy day fund received 2.0 percent of all revenues and opening surpluses in FY 2008, increasing by 0.2 percent per year until reaching 3.0 percent in FY 2013. It was capped at 3.0 percent in FY 2008, increasing by 0.4 percent per year until reaching a 5.0 percent cap in FY 2013. Resources above the cap are transferred to the Rhode Island Capital Plan Fund.

The fund was used nearly exclusively for debt service in the early 1990s. Governor Almond began moving debt service back to general revenues as the economy improved in the latter half of that decade, reserving the capital fund for pay-go capital projects so as not to use any for debt service by FY 2002. However, the economic slowdown caused that to reverse beginning in FY 2002, with significant amounts again used for debt service. The 2006 Assembly noted that the Governor’s budget was increasingly relying on these funds for debt service, while increasing the amounts being financed. It submitted a constitutional amendment to limit the use of the fund to capital projects beginning in FY 2008, which the voters approved.

Use of Rhode Island Capital Fund



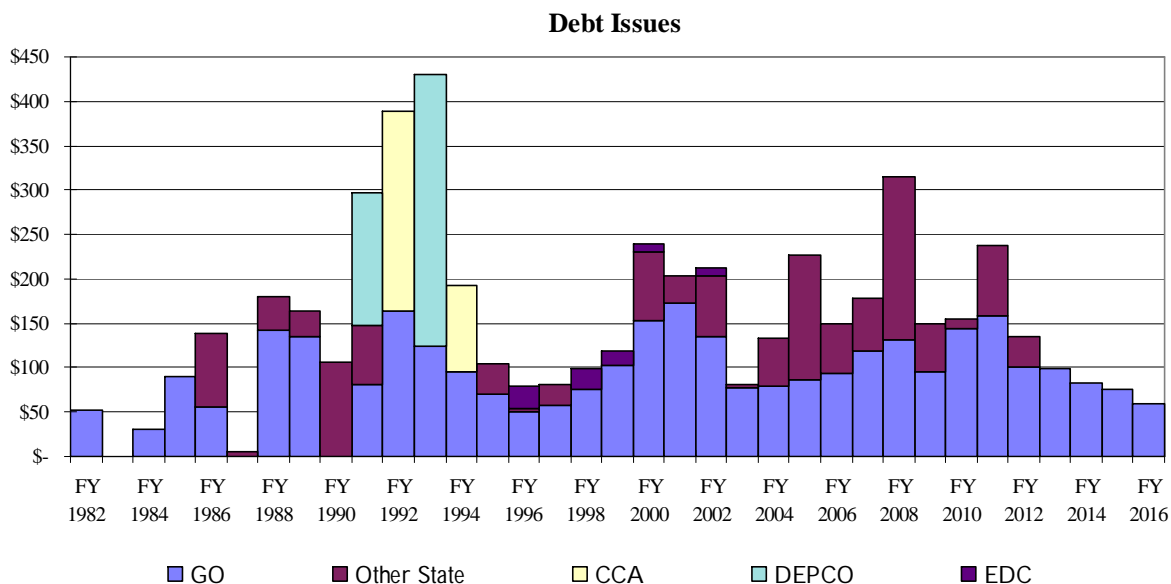
Debt Financing. Proceeds from financing, \$900.7 million, constitute 27.2 percent of the funds available for outlays during the period. Tax supported financing comprises \$506.8 million, including \$447.9 million from general obligation bonds and \$58.9 million from Certificates of Participation. Outlays from tax supported financing are 56.3 percent of the financed outlays and 15.3 percent of all outlays.

Other non-tax supported financing supports \$393.9 million of outlays, or 11.9 percent. It includes: \$33.5 million from GARVEE bonds for five major highway and bridge projects; \$111.2 million issued by the Rhode Island Health and Educational Building Corporation for higher education projects supported by university and college funds; and \$236.2 million in revenue bonds issued by the Clean Water Finance Agency.

The capital budget omits other non-tax supported financing from the state’s quasi-public agencies. Historically, this debt has been included in the state’s totals. They have been removed from the current capital budget based on a decision by the administration that since state sources are not used, they should not be included in the totals.

The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. Debt that is an obligation of the state for which a non-state entity makes the debt service payments is not generally considered as net tax supported debt. An example is the bonds issued for the Narragansett Bay Water Quality Management District Commission for which the Commission pays the debt service from user charges.

Debt issued by the colleges and university through the Rhode Island Health and Educational Building Corporation is also not included. This five-year budget includes expenditures of \$111.2 million from these bonds. It should be recalled that it is the total charges to students that is compared in tuition and fee comparisons to other institutions. This forms the context for general revenue appropriation requests by the Board of Higher Education. Thus, any tuition and fees used for debt service are not available for general operating expenses. Further, in the event of insufficient university and college fund revenues, it is likely that the state would intervene with appropriations rather than allow bondholders to take over the assets financed.



New Debt Authorizations

The Governor’s budget includes \$339.0 million of new debt authority for projects in the five-year period that require approval by the General Assembly in the 2012 session. That includes \$163.3 million of general obligation bonds that would be placed on the November 2012 ballot for voter approval and \$72.8 million in other debt that requires Assembly approval under the Public Debt Management Act.

The Public Debt Management Act requires that all new debt authorizations be approved by the Assembly unless the Governor certifies that federal funds will be available to make all of the payments which the state is or could be obligated to make under the financing lease or guarantee; or the General Assembly has adjourned for the year with the expectation that it will not meet again until the following year and the Governor certifies that action is necessary, because of events occurring after the General Assembly has adjourned, to protect the physical integrity of an essential public facility, to ensure the continued delivery of essential public services, or to maintain the credit worthiness of the state in the

financial markets. Select quasi-public agencies are exempt. The 2009 Assembly rescinded the exception previously granted to the Rhode Island Resource Recovery Corporation.

The table below shows the recommended new debt authority requiring action by the General Assembly. It is followed by descriptions of the projects.

New Debt	2012	2014	Total
Higher Education			
URI Nursing and Associated Health Building	\$ 60,000,000	\$ -	\$ 60,000,000
URI Engineering Quadrangle	-	102,500,000	102,500,000
RIC Modernization of Academic Buildings Phase I	42,250,000	-	42,250,000
CCRI Knight Campus Renewal	[20,125,000]	-	-
CCRI Flanagan Campus Addition	-	[22,500,000]	-
Subtotal	\$ 102,250,000	\$ 102,500,000	\$ 204,750,000
Transportation			
Highway Improvement Program	\$ 80,000,000	\$ 20,000,000	\$ 100,000,000
Subtotal	\$ 80,000,000	\$ 20,000,000	\$ 100,000,000
Total New Referenda	\$ 182,250,000	\$ 122,500,000	\$ 304,750,000
Other Debt Instruments - RIHEBC Bonds			
URI Fire Protection and Sprinkler Systems	\$ 14,636,662	\$ -	\$ 14,636,662
URI Biological Resource Lab	16,200,000	-	16,200,000
URI Utility Infrastructure	20,000,000	-	20,000,000
URI Parking Garage - Kingston Campus	14,400,000	-	14,400,000
URI Facilities Services Sector Upgrade	7,600,000	-	7,600,000
Subtotal	\$ 72,836,662	\$ -	\$ 72,836,662
Total New Debt Authorization Recommended	\$ 255,086,662	\$ 122,500,000	\$ 377,586,662

General Obligation Bond Referenda. The Governor's Capital Budget includes \$182.3 million in new referenda to be presented to the voters in November 2012. Section 35-3-7.1 of the Rhode Island General Laws provides that bond referenda only be presented during election years absent special circumstances.

- *University of Rhode Island Nursing and Associated Health Building.* The University requested a project to construct a new 120,000 square foot nursing building for the University of Rhode Island and Rhode Island College nursing programs in Providence. The University and College would share technology equipped classrooms, seminar rooms, lecture halls and a simulation center for nursing student training in realistic patient care settings. The project would also include health laboratories, computer and media labs, collaborative research, and outreach program space. The project assumes the placement of \$60.0 million of general obligation bonds on the November 2012 ballot.
- *University of Rhode Island Engineering Quadrangle.* The plan includes placing \$102.5 million of general obligation bonds on the November 2014 ballot for renovations to buildings in the engineering quadrangle, with funding available for use after FY 2015. The project would include renovations and additions to Bliss Hall, Crawford Hall, Gilbreth Hall, Kelly Hall and Wales Hall. The project would focus on the improvement of classrooms and offices, modernization of teaching laboratories, as well as upgrading elevators and restrooms. The heating, ventilating, and air conditioning systems would also be replaced along with the roofs.
- *Rhode Island College Modernization.* The plan places \$42.3 million of general obligation bonds on the November 2012 ballot for the first phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College.

- *Community College Knight Campus.* The plan includes \$20.1 million from Rhode Island Capital Plan funds for a comprehensive renewal of the interior of the original Knight Campus Megastructure. Renovations will include painting, lighting, new carpeting and tile and a redesign of the theatre. The project also involves roadway and landscape improvements to the main entrance road and facility entrance. It should be noted that the approved capital plan includes Rhode Island Capital Plan funds of \$0.1 million in FY 2014 and \$20.0 million in FY 2015.
- *Community College Flanagan Campus.* The plan includes \$22.5 million from Rhode Island Capital Plan funds to add approximately 50,000 square feet to the Flanagan Campus academic building. The project includes 15 additional classrooms, faculty offices, computer lab space, a theater/arts space, student lounge and additional study areas. It should be noted that the approved capital plan includes \$2.0 million from Rhode Island Capital Plan funds to begin the project in FY 2015.
- *Highway Improvement Program.* The plan includes an \$80.0 million bond referendum for the November 2012 ballot as well as a \$20.0 million bond referendum on the November 2014 ballot for highway improvement expenditures. Funding is used as the state match for Federal Highway Administration funds, which are provided to the state for projects including interstate improvement, bridge improvement, pavement management, and congestion mitigation and air quality.

The capital budget traditionally relies on \$80.0 million of general obligation bond authorizations which appear as referenda questions during statewide elections. The reduced referenda amounts mentioned above are consistent with the Assembly's plan to alleviate the Department's reliance on debt issuance. This is contained in Article 22 of 2011-H 5894, Substitute A, as amended, which establishes a transportation trust fund and provides that incremental increases of transportation related surcharges will be dedicated to the fund, with the purpose of reducing the Department of Transportation's reliance on borrowing while transitioning to a pay-go system. The incremental increases will begin in FY 2014 until they reach their peak in FY 2016, where they will generate approximately \$20 million annually. These funds will be combined with a dedicated annual stream of \$20 million from Rhode Island Capital Plan funds, which will together, provide the annual \$40 million of state matching funds for transportation funding that is currently generated through debt issuance.

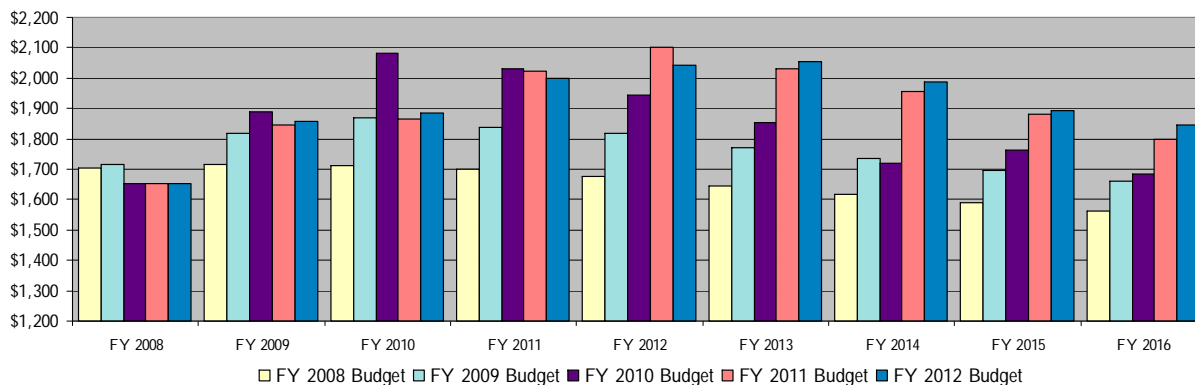
- **Public Corporation Debt Management Act Debt Issues.** The plan includes \$72.8 million of new authorization for debt that would not require voter approval. The budget does not include resolutions to allow revenue bonds for any of the projects described below, which is required pursuant to the Public Corporation Debt Management Act.
- *University of Rhode Island Fire Protection and Sprinklers.* The plan would allow the University of Rhode Island to use \$14.6 million of revenue bonds to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University of Rhode Island's auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. This includes some of the older dorms, a dining hall and Memorial Union.
- *University of Rhode Island Biological Resource Lab.* The plan would allow the University of Rhode Island to use \$16.2 million of revenue bonds to construct a 17,000 square foot biological resources laboratory designed to centralize and replace the numerous small laboratory animal care facilities on the Kingston Campus. The new lab would be designed to National Institute of Health standards and be located underground the new north district science courtyard and connected to the lower two floors of the new College of Pharmacy building.

- *Utility Infrastructure.* The plan would allow the University of Rhode Island to use \$20.0 million of revenue bonds for two phases of utility infrastructure upgrades. Funding is programmed over FY 2012 and FY 2014 for phase I and from FY 2015 through post FY 2016 for phase II. The project involves the replacement of the steam/condensate distribution system, water distribution system, electrical distribution system and the sanitary sewer system.
- *Kingston Campus Parking Garage.* The plan would allow the University of Rhode Island to use \$14.4 million from revenue bonds for the design and construction of a multi-level parking structure on a portion of the Chafee Hall faculty and staff parking lot. The project includes parking on five levels, including a sub-entry level, with a total of 561 parking spaces.
- *Facilities Services Sector Upgrade.* The plan would allow the University of Rhode Island to use \$7.6 million from revenue bonds to make improvements to the service sector area that houses the operations and maintenance functions, and stores equipment, furniture and supplies. The plan calls for the demolition of the automotive garage and the grounds building. New buildings will accommodate equipment and furniture storage, automotive garages and any workshops necessary in the facilities compound while largely hiding the operations from public view.
- *Interstate 195 Land Sales.* The Assembly included 2011-H 5994, Substitute A, as amended, which authorizes borrowing of up to \$42 million so the Department of Transportation has the resources to finish the I-195 relocation project but the land sales pledged to support the project can be completed in a more deliberative and comprehensive way. Debt service would be supported by the land sales, lease revenues and if necessary general revenues. The sales for two parcels to Johnson and Wales University must be deducted from the borrowing. For example, if those sales yield \$4.0 million, the debt is not to exceed \$38 million.

Debt Levels

Total net tax supported debt decreases by \$37.7 million from the \$1,883.9 million reported for the end of FY 2010 during the period through FY 2016 to \$1,846.2 million. Net tax supported debt had dropped to \$1,280.9 million in FY 2003 after the state used \$247.6 million of special revenue bonds backed by the state's tobacco master settlement to defease general obligation bond debt in FY 2002.

Net Tax Supported Debt Projections



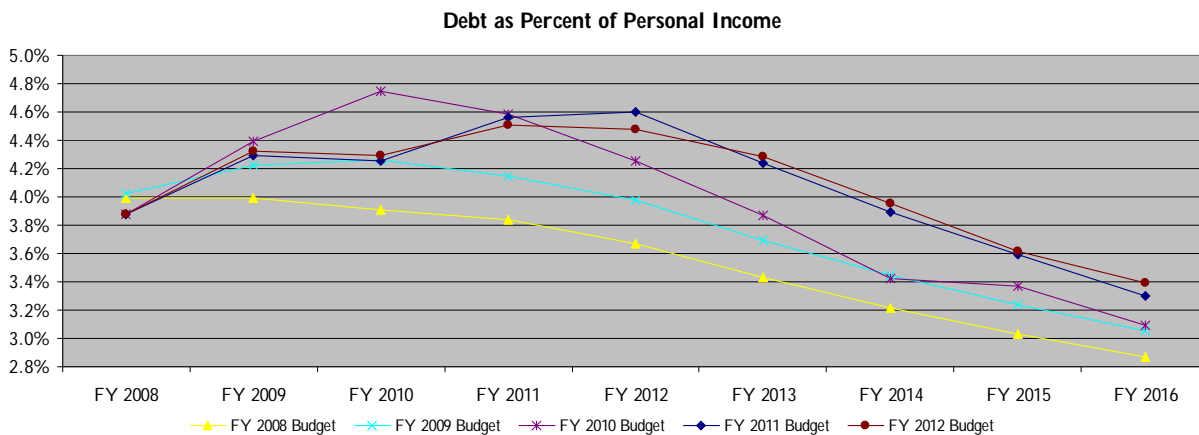
Past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget. The chart above shows projected debt levels for FY 2008 through FY 2016 in the past five budgets. FY 2016 presented in the FY 2012 capital budget is \$248.8

million higher than the FY 2015 projection in the FY 2007 capital budget. Indeed, it is \$162.4 million higher than even the projection in the FY 2010 capital budget.

Debt is often expressed as a percent of state personal income as a measure to compare across jurisdictions; state personal income is a rough measure of the state's wealth, and therefore its potential ability to service its debt. Net tax supported debt would increase from 4.3 percent of personal income reported for FY 2010 to 4.5 percent in FY 2012 before dropping gradually back to 3.7 percent in FY 2016 assuming that the capital budget is not increased.

Debt ratios began increasing significantly in FY 1988, reaching a peak in FY 1994 as the Depositors Economic Protection Corporation and Convention Center debt was added. In FY 1994, net tax supported debt reached 8.4 percent of personal income, a measure used to compare affordability across states. The prior and current administrations have expressed the reduction of that ratio as a major capital policy goal. The FY 2004 level of 3.7 percent is the lowest since staff began keeping track in FY 1991 due to use of the tobacco master settlement agreement revenues securitization.

However, as with debt levels, past practice indicate it is likely to be higher than projected. The chart below shows projected debt as percent of personal income for FY 2008 through FY 2016 in the past five budgets. The FY 2009 budget projected FY 2015 debt at 3.3 percent of personal income, which is 30 basis points higher than the 3.6 percent projected in the FY 2011 budget, and that assumes that the debt levels do not increase in subsequent budgets.



Debt Service

Debt service in the capital budget would increase from 6.7 percent of useable general revenues in FY 2011 to 7.1 percent in FY 2016. Useable general revenues are estimated collections net of the transfers to the Budget Stabilization and Cash Reserve account. These transfers ultimately become Rhode Island Capital Plan funds and cannot be used for debt service.

Department of Administration

Projects	Status	5 Year Total	Project Total
Building 79 - Stabilize	New	\$ 2,500,000	\$ 2,500,000
Old Eastman House	New	450,000	450,000
Adolph Meyer Building Renovations	Revised	6,600,000	6,600,000
Board of Elections New Office Building	Revised	7,450,000	7,450,000
Cannon Building	Revised	1,745,000	3,177,991
Cranston Street Armory	Revised	6,500,000	13,835,047
Environmental Compliance	Revised	900,000	3,391,425
Interdepartmental Weapons Range	Revised	150,000	150,000
IT Enterprise Operations Center	Revised	288,000	9,793,000
Ladd Center Buildings Demolition	Revised	3,000,000	3,000,000
Old Colony House	Revised	600,000	1,655,574
Old State House	Revised	1,400,000	1,697,187
Pastore Center Buildings Demolition	Revised	5,500,000	6,000,000
Pastore Center Fire Code Compliance	Revised	4,800,000	6,950,756
Pastore Center Utilities Upgrade	Revised	5,000,000	8,477,065
State House Renovations	Revised	13,500,000	16,818,192
State Office Building	Revised	10,400,000	13,314,392
Station Park	Revised	1,150,000	1,150,000
William Powers Building	Revised	2,300,000	5,636,336
Affordable Housing/Strong Communities	Ongoing	-	50,000,000
Chapin Health Laboratory	Ongoing	3,500,000	3,600,000
Comprehensive Land Use Planning	Ongoing	-	2,500,000
DOA - Energy Services Contract	Ongoing	-	6,092,581
Energy Conservation Pastore/Zambarano	Ongoing	33,100,000	53,100,000
Fire Code Compliance	Ongoing	2,400,000	3,622,585
Hazard Building Renovations	Ongoing	-	1,000,000
Ladd Center Water Systems	Ongoing	-	400,000
McCoy Stadium Repairs	Ongoing	500,000	3,268,648
Neighborhood Opportunities Program	Ongoing	-	45,500,000
Pastore Center Central Power Plant	Ongoing	670,000	32,612,568
Pastore Center Parking	Ongoing	1,225,000	1,225,000
Pastore Center Rehabilitation	Ongoing	4,700,000	6,442,329
Pastore Center Water Tanks and Pipes	Ongoing	1,450,000	3,041,171
Replacement of Fueling Tanks	Ongoing	300,000	1,526,257
Veterans Memorial Auditorium Repairs	Ongoing	11,300,000	14,800,000
Washington County Government Center	Ongoing	2,650,000	3,269,009
Zambarano Building and Utilities	Ongoing	6,068,000	8,041,086
Total		\$ 142,096,000	\$ 352,088,199
Sources of Funds			
General Obligation Bonds		\$ -	\$ 52,500,000
Rhode Island Capital Funds		108,246,000	172,657,253
Federal Funds		750,000	813,600
General Revenues		-	11,500,000
Third Party		-	25,000,000
Certificates of Participation		33,100,000	89,058,365
Restricted Receipts		-	412,340
Other		-	146,641
Total		\$ 142,096,000	\$ 352,088,199

**The Assembly merged the State Water Resources Board into the Department of Administration. The FY 2012 approved projects for the Board are shown under Water Resources Board. Subsequent projects will be merged into the Department.*

Summary. The Department of Administration requested total capital expenditures of \$360.6 million, of which \$118.2 would be spent in the five-year period for 39 projects; four are new. Funding in the five-year plan includes \$104.8 million from Rhode Island Capital Plan funds, \$0.3 million from federal funds and \$13.1 million from Certificates of Participation for the Pastore Center and Zambarano energy conservation projects, which the 2008 Assembly authorized. The request is \$16.7 million more than the approved plan, primarily attributed to the Cranston Street Armory, Fire Code Compliance, Zambarano Buildings and Utilities, and costs for new projects.

The Governor recommended total project expenditures of \$387.9 million from all funds, of which \$174.3 million will be used in the five-year period for 41 projects, 6 of which are new. This includes \$129.1 million from Rhode Island Capital Plan funds, \$0.8 million from federal funds, \$33.1 million from approved Certificates of Participation and \$11.3 million from restricted receipts. The recommendation is \$44.1 million more than the approved plan, primarily for the inclusions of \$11.5 million for the Rhode Island Financial Accounting Network System, \$14.5 million for technology initiatives and \$11.3 million for the Tourism Asset Protection Fund.

The recommendation assumes use of \$26.2 million from Rhode Island Capital Plan funds for information technology upgrades. Rhode Island Capital Plan funds have been limited for use on physical assets.

The Assembly provided total project costs of \$352.1 million, of which \$142.1 million will be used in the five-year period. This is \$35.8 million less than the Governor recommended. It did not concur with the use of Rhode Island Capital Plan funds for information technology projects; however, it provided \$1.0 million from restricted receipts to establish an Information Technology Fund. It did not concur with the establishment of the Tourism Asset Protection Fund. The Assembly made several changes to reflect revised project costs or delays and essentially concurred with the remainder of the recommendation.

Building 79 - Stabilize. The Department requested \$2.5 million from Rhode Island Capital Plan funds, of which \$0.3 million and \$2.2 million will be used in FY 2012 and FY 2013, respectively, to stabilize building 79 on the Pastore Center. The scope of the project will include a new roof, new windows, exterior masonry and removal of hazardous materials. This building has been vacant for more than 10 years and is next to building 80, which is approved to be renovated to be the new facility for the Board of Elections' staff. *The Governor recommended funding as requested. The Assembly concurred.*

Old Eastman House. The Department requested \$450,000 from Rhode Island Capital Plan funds, of which \$150,000 and \$300,000 will be used in FY 2013 and FY 2014, respectively, for fire code upgrades and to remove lead paint from the old Eastman House. The building was previously occupied by the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The Department indicated that once the facility is renovated, it can be used as office space for agencies in transition or permanent housing. The Department requested this in its FY 2010 and FY 2011 budgets; the Governor did not recommend funding, and the Assembly concurred.

The Governor recommended funding as requested. The Assembly concurred.

Adolph Meyer Building Renovations. Consistent with the approved plan, the Department requested a total project cost of \$6.6 million from Rhode Island Capital Plan funds; however, advanced the start date of the project by two years. Funds will be used to renovate the Adolph Meyer building to be used as office space. In addition, the building will also be used as the new location for the Girls' training

school, for which funding is included in the Department of Children, Youth and Families' budget. The Department programmed expenditures of \$0.5 million for FY 2012, \$2.7 million for FY 2013 and \$3.4 million for FY 2014.

*The Governor recommended total funding as requested but delayed the project start date by a year. He also proposed the consolidation of the Girls' training school into the Boy's facility. **The Assembly concurred; however, delayed the start date to FY 2014.***

Board of Elections New Office Building. The Department requested Rhode Island Capital Plan Fund expenditures of \$7.5 million to renovate building 80 in the Pastore Center to be used as the new office for the Board of Elections. The Board is currently located on state-owned land on Branch Avenue in Providence. The Department indicated that the Board's current facility would require extensive repairs, including security upgrades and HVAC, which could cost as much as \$0.6 million; however, lack of parking space would remain a problem. The total project cost is consistent with the approved plan; however, the request advances the project start date by one year and includes expenditures of \$0.4 million for FY 2012, \$4.1 million for FY 2013 and \$3.0 million for FY 2014. *The Governor recommended total funding as requested but shifted \$0.3 million from FY 2012 to FY 2013. **The Assembly concurred.***

Cannon Building. The Department requested a total project cost of \$2.2 million from Rhode Island Capital Plan funds, of which \$0.7 million will be used from FY 2012 through FY 2015 for ongoing repairs and renovations to include the replacement of the roof and carpet at the Cannon Building. The total project cost is \$150,000 more than the approved plan to reflect new expenditures for FY 2015.

[Staff Note: Subsequently, the Department indicated a preliminary study of the roof cap identified numerous structural issues that could cost as much as \$3.0 million to repair. The current request does not include funding for this. The Department indicated that it would suggest reallocating \$1.0 million from the central HVAC of the State House Renovations project to fund this.]

*The Governor recommended funding as requested. Though funding for the State House Renovations project was reduced; no additional funding was reallocated for the roof cap. Subsequently, he requested an amendment to provide \$1.0 million in FY 2012 to demolish the overhang. **The Assembly concurred with the subsequent request.***

Cranston Street Armory. The Department requested total project costs of \$13.9 million from Rhode Island Capital Plan funds, of which \$6.5 million will be used in the five-year period for ongoing renovations in the Cranston Street Armory building including replacement of the HVAC system and windows. The total project cost is \$4.0 million more than the approved plan, and is consistent with prior requests. In the FY 2011 capital request, the administration scaled back the project since it had not secured the relocation of state agencies to the Armory. This request reverts to previously approved plans to stabilize the exterior, as well as interior renovations and to eventually occupy the facility. The additional funds will be used to primarily replace the windows.

*The Governor recommended funding as requested. **The Assembly concurred, with the exceptions of including \$0.1 million in savings in FY 2011 and shifting \$0.3 million from FY 2012 to FY 2013.***

Environmental Compliance. The Department requested a total project cost of \$3.8 million from Rhode Island Capital Plan funds, of which \$1.2 million will be used in the five-year plan for projects that assist state agencies in maintaining compliance with all state and federal environmental rules and regulations. Funds will also be used to support the costs of mitigating asbestos and other

environmental issues for buildings on the Pastore Campus under the Department's jurisdiction. The total request is consistent with the approved plan; however, the Department shifted \$0.4 million from FY 2011 to FY 2012 to reflect the delay of storm water runoff at the Pastore Center. *The Governor recommended total project costs of \$3.4 million, \$0.4 million less than requested, \$0.1 million from FY 2011 available balance and \$0.3 million from FY 2012.* **The Assembly concurred.**

Interdepartmental Weapons Range. Consistent with the approved plan, the Department requested \$0.1 million from Rhode Island Capital Plan funds to conduct a feasibility study on the construction of an interdepartmental weapons range in FY 2014. *The Governor advanced the project start date by two years and provided \$150,000 in FY 2012.* **The Assembly concurred.**

IT Enterprise Operations Center. The Department requested a total project cost of \$9.5 million from all funds, including \$9.3 million from Rhode Island Capital Plan funds for the Information Technology Enterprise Operations Center. The previous plan was to convert the Training School's administrative building at the Pastore Center for that purpose once it was vacated by the Department of Children, Youth and Families.

Subsequently, the Administration indicated that it would pursue other options. In the fall of 2009, the Department purchased an 80,000 square foot building off Jefferson Boulevard. The Department is renovating the facility and indicated that it will occupy the first floor and offices will be made available for the Treasurer's Office and the State Police. The total project cost is \$0.6 million or 5.8 percent more than the approved plan, including \$0.2 million from Rhode Island Capital Plan funds, \$0.3 million from restricted receipt sources and \$0.1 million from other sources for renovations to the elevator and general construction items for the State Police and the Treasurer's Office.

The Governor concurred with the request, with the exception of providing \$26,000 less from all sources. He subsequently requested an amendment to provide an additional \$288,000 in FY 2012 to bring the HVAC system up to par and to fix the elevators in the building. **The Assembly concurred with the subsequent request.**

Ladd Center Buildings Demolition. Consistent with the approved plan, the Department requested \$3.0 million from Rhode Island Capital Plan funds, of which \$1.0 million each would be used from FY 2012 through FY 2014 to demolish nine buildings at the former Ladd school properties in Exeter. The goal of the project is to demolish structures and backfill all foundations, landscape and provide for additional open space. These buildings were constructed in the mid-1900s and have been vacant for many years.

The Governor recommended the total project cost but delayed the start date by two years. The recommendation includes \$1.0 million in FY 2014 and \$2.0 million in FY 2015. **The Assembly concurred.**

Old Colony House. The Department requested total project costs of \$1.7 million from Rhode Island Capital Plan funds, of which \$0.6 million will be used from FY 2012 through FY 2014 for repair and renovation of the Old Colony House. Funds will be used to mitigate structural issues, including the bell tower that the Department has recently uncovered. The total project cost is \$0.4 million or 23.5 percent more than the approved plan due to expenditures added in FY 2013 and FY 2014 for interior renovations and exterior masonry repairs.

The Governor recommended funding essentially as requested, with the exception of reducing FY 2011 available funds by \$45,322. **The Assembly concurred.**

Old State House. The Department requested a total project cost of \$1.7 million from Rhode Island Capital Plan funds, of which \$1.2 million will be used from FY 2012 through FY 2014 for various renovations at the Old State House including repaving the parking lot, replacing carpets, brick repairs and installing a new boiler. The total project cost is \$0.4 million more than the approved plan and is consistent with past requests to include expenditures for windows and interior renovations.

*The Governor recommended total project costs consistent with the request, but shifted \$0.3 million from FY 2012 to FY 2013. **The Assembly concurred and shifted \$0.2 million from FY 2011 to FY 2014 to reflect the Department's revised project costs.***

Pastore Center Buildings Demolition. Consistent with the approved plan, the Department requested a total project cost of \$13.0 million from Rhode Island Capital Plan funds to be used from FY 2011 through FY 2014 to remove asbestos and demolish buildings in the Pastore Center. The Department has identified two buildings to be demolished: the A building, which has been vacant since 1985 and the D building, which was a former patient residence building that had been vacant for 15-20 years. The Department indicated that the buildings must be demolished to prevent a fire since homeless individuals have been found in the buildings on numerous occasions. Both buildings are in close proximity to Harrington Hall, which is used as a homeless shelter.

*The Governor recommended a total project cost of \$6.0 million, \$7.0 million less than the approved plan, which included \$10.0 million in FY 2014 and FY 2015 in the event that other buildings need to be addressed. **The Assembly concurred, with the exception of shifting \$1.0 million from FY 2011 to FY 2012 to reflect a project delay.***

Pastore Center Fire Code Compliance. The Department requested \$6.8 million from Rhode Island Capital Plan funds, of which \$4.5 million will be used from FY 2012 through FY 2016 to install and upgrade existing fire alarm and sprinkler systems on the Pastore Center and the Zambarano Campus of Eleanor Slater Hospital. The total project cost is \$3.3 million more than the approved plan to include additional buildings on the Pastore Center.

*The Governor recommended funding essentially as requested, with the exception of removing \$0.1 million from FY 2011 available funds. Subsequently, he requested an amendment to provide an additional \$0.3 million in FY 2012 to reflect anticipated costs for fire alarm installation at Wallum Lake and fire sprinklers at Adolph Meyer and the Louis Pasteur buildings. **The Assembly concurred with the subsequent request.***

Pastore Center Utilities Upgrade. Consistent with the approved plan, the Department requested \$10.0 million from Rhode Island Capital Plan funds, of which \$5.5 million will be used from FY 2012 through FY 2014 to repair and make improvements to the electrical distribution system on the Pastore Center. The Department noted that some of the underground wiring is over 40 years old, and have exceeded their useful lives. An engineering survey was conducted in 2001 and concluded that the existing system is undersized and would possibly not support major future construction projects like the Reintegration Center, the new training school and others.

*The Governor recommended a total project cost of \$8.5 million, which is \$1.5 million less than requested. This includes reductions of \$1.0 million from FY 2011 and \$0.5 million from FY 2012 to reflect the Department's updated plan. **The Assembly concurred.***

State House Renovations. Consistent with the approved plan, the Department requested \$18.9 million from Rhode Island Capital Plan funds for renovations at the State House, of which \$14.0 million will

be used from FY 2012 through FY 2014. The work includes renovation of the legislators' entrance, expansion of the fire sprinkler system, restroom renovations, restoring the South Plaza and exterior sash and door painting. The largest single component is \$14.3 million for a new central HVAC system for heating and cooling of the building.

The request includes \$1.7 million in reappropriated funds from FY 2010 for the central HVAC system. Pending the decision on how to proceed with the central HVAC project, the funds will probably not be used this fiscal year. The Department indicated that it will submit a plan to use the funds to remove the roof cap from the Cannon building.

*The Governor recommended a total project cost of \$16.8 million, \$2.0 million less than the approved plan including reductions of \$1.5 million from FY 2011 and \$0.5 million in FY 2012. He also shifted \$4.5 million from FY 2014 to FY 2015. **The Assembly concurred.***

State Office Building. The Department requested \$5.6 million from Rhode Island Capital Plan funds, of which \$2.3 million will be used in the five-year period for renovations at the State Office Building. The project includes bathroom repairs, roof repairs, fixing water damaged areas and other repairs. The total project cost is \$0.2 million more than the approved plan to account for boilers and heating equipment expenditures added in FY 2015.

The Governor recommended a total project cost of \$13.3 million, which is \$7.8 million more than the approved plan. The Budget Office indicated that the additional funds will be used to renovate the building for office spaces for the Legislature. The recommendation includes \$0.8 million in FY 2012, \$1.3 million in FY 2013 and \$4.0 million each in FY 2014 and FY 2015.

The Assembly concurred with the total project costs; however, shifted \$0.4 million from FY 2011 to FY 2012 and a total of \$4.2 million from FY 2014 and FY 2015 to FY 2016.

Station Park. Consistent with the approved plan, the Department requested \$1,150,000 from federal and Rhode Island Capital Plan funds to be used through FY 2012 for the creation of an urban style park in downtown Providence similar in concept to the Boston Common or New York's Central Park. The park would be located next to the Train Station, east of the Providence Place Mall and across the street from the State House south lawn. *The Governor recommended total project consistent with the approved plan and the request; however, delayed the project start date by two years. **The Assembly concurred.***

William Powers Building. The Department requested a total project cost of \$5.7 million from Rhode Island Capital Plan funds, of which \$2.3 million will be used from FY 2012 through FY 2015 for ongoing repairs and renovations at the William Powers Building. General renovations include carpet replacement, bathroom repairs, painting and security upgrades. The request is \$0.5 million more than the approved plan due to added expenditures in FY 2015 for interior renovations. *The Governor recommended funding essentially as requested, with the exception of \$0.1 million less in FY 2015. Subsequently, the Department indicated that \$0.1 million in FY 2011 would not be needed. **The Assembly included the savings.***

Affordable Housing/Strong Communities. Consistent with the approved plan, the request includes \$50.0 million from previously approved general obligation bonds to provide affordable housing, including \$40.0 million for deferred payment loans to developers of affordable housing rental units and \$10.0 million for the development of homeowner units. The request assumes use of \$12.5 million in

FY 2011. *The Governor recommended total funding consistent with the approved plan. The Assembly concurred.*

Chapin Health Laboratory. Consistent with the approved plan, the Department requested \$3.6 million from Rhode Island Capital Plan funds to be used through FY 2014 to renovate the Chapin Health building. Infrastructure updates would include laboratory exhaust retrofit, new decomposition room ventilation, a new boiler system and HVAC upgrade. The current lighting system would also be replaced throughout the building.

It should be noted that the approved plan includes \$175,000 from Rhode Island Capital Plan funds to conduct a feasibility study for a new facility to house the staff and programs currently located in the Chapin Building. Additionally, the Department of Health submitted a capital budget requesting \$100.3 million from Rhode Island Capital Plan funds for a new health/laboratory and medical examiner building. *The Governor recommended funding as requested. It should be noted that he did not recommend funding for the health laboratory feasibility study. The Assembly concurred.*

Comprehensive Land Use Planning. This project was not included in the Department's original request because it is nearly completed. Communities received reimbursement for their participation in compiling the overall state Land Use Plan from previously issued bond proceeds from the 1989 authorization upon completion of land use plans. To date, \$2.5 million has been issued, including \$320,000 for preparation and development of a natural resource and planning database, \$80,000 for administrative expenses and \$2.1 million for reimbursement to cities and towns. *The Governor recommended the unspent balance of \$99,457 from issued proceeds to be expended in FY 2011. The Assembly concurred.*

DOA - Energy Services Contract. Consistent with the approved plan, the Department requested a total project cost of \$6.1 million from approved Certificates of Participation and interest earnings to reflect its plan to enter into an energy service contract whereby an initial investment in new equipment and other energy saving improvements would be paid back over a period of time from the resulting savings in energy costs. The 2006 Assembly authorized the state's participation in energy service contracts not to exceed \$6.0 million, exclusive of financing and associated costs. To date, \$5.5 million has already been spent, and the capital request includes the remaining \$0.5 million in expenditures in the current year. *The Governor recommended funding as requested. The Assembly concurred.*

Energy Conservation Pastore Center/Zambarano. Consistent with the approved plan, the Department requested \$53.1 million in capital expenditures to enter into a new energy service contract whereby an initial investment in new equipment and other energy saving improvements would be paid back over a period of time from the resulting savings in energy costs. The 2008 Assembly authorized the state to enter into up to \$53.1 million in energy equipment replacement contracts, including \$45.5 million for the Pastore Center and \$7.6 million for the Zambarano Campus.

The Governor recommended total funding consistent with the approved plan. He included expenditures of \$18.4 million in FY 2011, \$20.0 million in FY 2012 and \$13.1 million in FY 2013. The Assembly concurred.

Fire Code Compliance. The Department requested total project costs of \$4.0 million from Rhode Island Capital Plan funds to bring state buildings into compliance with new fire code regulations. The total project cost is \$0.3 million more than the approved plan due to new expenditures added in post-FY 2016 for potential projects, pending findings from the State Fire Marshal's Office.

*The Governor recommended a total project cost of \$3.6 million, \$0.1 million less than the approved plan. **The Assembly concurred and shifted \$0.4 million from FY 2011 to FY 2012 to reflect anticipated expenditures.***

Hazard Building Renovations. Consistent with the approved plan, the Department requested a total project cost of \$1.0 million from Rhode Island Capital Plan funds to renovate the Hazard building to accommodate the relocation of staff from the Forand building. The Forand building is being renovated to be the new registry for the Division of Motor Vehicles. This project was completed January 2010. The request includes \$311,085 from FY 2010 unexpended funds. *The Governor recommended funding as requested. **The Assembly concurred.***

Ladd Center Water Systems. Consistent with the approved plan, the Department requested \$0.4 million from Rhode Island Capital Plan funds, of which \$56,490 is from FY 2010 unexpended balances to be used for repairs to the water system at the Ladd Center. The Quonset Development Corporation turned over the Center to the Division of Facilities Management in July 2006. The water system is in need of repairs, and the Division will have a study done to determine whether there are more feasible options, such as replacing the water system with wells since the only two customers are the Veterans' Cemetery and the Job Corps. *The Governor recommended funding as requested. **The Assembly concurred.***

McCoy Stadium Repairs. Consistent with the approved plan, the Department requested total project costs of \$3.4 million from Rhode Island Capital Plan funds to provide asset protection at McCoy Stadium in Pawtucket. The project includes a new concourse drainage system, paving of the outfield parking areas, repairs to expansion joints causing water damage to the gift shop, offices and corporate boxes, and other miscellaneous repairs to protect the facility, in which the state made a major investment. The request includes expenditures of \$0.9 million in FY 2011, of which \$0.6 million is from FY 2010 unexpended funds, and \$628,000 in FY 2012.

*The Governor recommended \$156,865 less than requested, including reductions of \$25,865 from FY 2011 available funds and \$128,000 from FY 2012. **The Assembly concurred.***

Neighborhood Opportunities Program. Consistent with the approved plan, the Department requested capital expenditures of \$45.5 million to provide additional units for the Neighborhood Opportunities program. The request does not include funding beyond FY 2011. The Housing Resource Commission administers the program, which provides grants or low interest loans for the rehabilitation of dilapidated housing units or new construction as well as operating subsidies to reduce rents.

*The Governor recommended funding consistent with the request and proposed legislation requiring Rhode Island Housing and Mortgage Finance Corporation to fund the program at the FY 2011 enacted level of \$1.5 million. **The Assembly concurred.***

Pastore Center Central Power Plant. Consistent with the approved plan, the Department requested \$38.0 million from approved Certificates of Participation and Rhode Island Capital Plan funds to continue work on the Central Power Plant in the Pastore Center to bring one of the boilers to a high state of reliability. The request includes use of \$1.0 million in FY 2011, of which \$0.1 million is from FY 2010 unexpended funds.

*The Governor recommended a total project cost of \$32.6 million, \$5.4 million less than requested to reflect pre-FY 2011 expenditures from Certificates of Participation. **The Assembly concurred and***

shifted \$0.7 million from FY 2011 to FY 2012 to reflect the Department's revised project schedule.

Pastore Center Parking. Consistent with the approved plan, the Department requested capital expenditures of \$1.2 million from Rhode Island Capital Plan funds for FY 2011 to construct 200 additional parking spaces off of the west end of Howard Avenue in the Pastore Center. The Department indicated that a parking needs assessment was completed in 2002 and since then several state agencies including the Department of Business Regulation, the Traffic Tribunal and the Division of Motor Vehicles have relocated to the Pastore Center. Additionally, during winter conditions overflow parking has made it difficult for emergency vehicles to get by. *The Governor recommended total funding as requested, but delayed the project start date by a year.* **The Assembly concurred.**

Pastore Center Rehabilitation. Consistent with the approved plan, the Department requested a total project cost of \$6.6 million from Rhode Island Capital Plan funds, of which \$4.7 million will be used from FY 2012 through FY 2015 to fund major maintenance and capital repairs for five of its 17 buildings at the Pastore Center, now under the responsibility of the Division of Facilities Management. Work will focus on the Benjamin Rush, Pasteur, Hazard and Harrington buildings and will address various code and structural deficiencies. *The Governor concurred with the request, with the exception of reducing FY 2011 available funds by \$0.1 million.* **The Assembly concurred.**

Pastore Center Water Tanks and Pipes. Consistent with the approved plan, the Department requested a total project cost of \$3.4 million from Rhode Island Capital Plan funds, of which \$1.5 million will be used from FY 2012 through FY 2014 to identify and address deficiencies at the Pastore Center with respect to water supply and distribution.

The Governor recommended funding essentially as requested, with the exception of \$250,000 from FY 2011 available funds. Subsequently, the Department indicated that \$120,000 in FY 2011 would not be needed. **The Assembly included the savings and shifted \$150,000 from FY 2012 to FY 2015.**

Replacement of Fueling Tanks. The Department requested \$2.9 million from Rhode Island Capital Plan funds, of which \$1.4 million will be used in the five-year period to replace failing tanks at five of the 18 state-owned and operated fueling stations for state vehicles. Two tanks were removed in FY 2009 and the remaining tanks are scheduled to be replaced in FY 2011 and FY 2012. Funds will also be used for remediation of underground tanks in group homes under the purview of the Department of Children, Youth and Families and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The total project cost is \$1.5 million more than the approved plan to maintain the continuation of the project as more tanks are identified for replacement.

The Governor recommended a project cost of \$1.5 million, \$0.1 million more than the approved plan, which did not include funding beyond FY 2012. **The Assembly concurred.**

Veterans Memorial Auditorium Repairs. Consistent with the approved plan, the request includes \$14.8 million from Rhode Island Capital Plan funds to be used through FY 2015 to renovate the Veterans Memorial Auditorium and office building. The renovations will include roof repairs, upgrading the lighting around the stage area, a new loading dock, upgrading the HVAC and security systems. In 2007, the Veterans Memorial Auditorium Foundation and the administration discussed concerns about the transfer of the facility from the state to the Foundation. The administration requested that the Rhode Island Convention Center Authority consider operating and managing the facility in lieu of the Foundation. The Convention Center Authority took management of the facility

on July 1, 2008; however, the facility is still owned by the state and maintenance must be done to preserve the building. *The Governor recommended funding as requested.* **The Assembly concurred.**

Washington County Government Center. The Department requested a total project cost of \$3.6 million from Rhode Island Capital Plan funds, of which \$1.7 million will be used through FY 2014 for ongoing renovations at the Washington County Government Center. The approved plan includes \$1.0 million for fire code improvements and for renovations of the facility to accommodate staff from the Department of Children, Youth and Families, which is currently in leased space. The total request is \$0.3 million more than the approved plan to include new expenditures for hazardous abatement. *The Governor recommended total project costs of \$3.3 million, which removed \$0.3 million from FY 2011 available funds and shifted \$0.1 million from FY 2012 to FY 2013.*

The Assembly concurred with the total project cost and shifted \$1.0 million from FY 2011 to FY 2012 and FY 2013 to reflect a revised project schedule.

Zambarano Building and Utilities. The Department requested a total project cost of \$8.1 million from Rhode Island Capital Plan funds, of which \$6.0 million will be used in the five-year period for capital repairs to various buildings and equipment at the Zambarano Campus of the Eleanor Slater Hospital in Burrillville. The Zambarano Campus is comprised of 29 buildings totaling 308,000 square feet on 460 acres of land. The request is \$5.1 million more than the approved plan to reflect expenditures for the wastewater treatment plant in order to meet new discharge limits set by the Department of Environmental Management. The Department indicated that when the wastewater treatment plant permit was renewed, it required monitoring and control of seven additional pollutants. Three treatment processes will have to be modified to meet the terms of this permit. It also needs architectural and engineering services to design the modifications and a contractor to modify the system.

The Governor recommended funding essentially as requested, with the exception of reducing FY 2011 available funds by \$0.5 million. Subsequently, he requested an amendment to provide an additional \$0.3 million in FY 2011 based on projected expenditures. **The Assembly concurred with the subsequent request.**

Health Laboratory Feasibility Study. Consistent with the approved plan, the Department requested \$175,000 from Rhode Island Capital Plan funds in FY 2011 to conduct a feasibility study for a new facility to house the staff and programs currently located in the Chapin building. This study would also examine the feasibility of combining the Health and Forensic crime lab at the Pastore Complex.

[Staff Note: The Department of Health submitted a capital budget requesting \$100.3 million from Rhode Island Capital Plan funds for a new health/laboratory and medical examiner building. The request includes funding for this feasibility study.]

The Governor did not recommend funding this project. **The Assembly concurred.**

Pastore Center Cottages Rehabilitation. The Department requested \$560,000 from Rhode Island Capital Plan funds to be used in FY 2013 and FY 2014 to renovate three four-bedroom cottages at the Pastore Center for office space. The Department of Administration noted that an agreement with the Historical Preservation and Heritage Commission requires that the cottages be preserved for their historic significance. The Department requested this in its FY 2009, FY 2010 and FY 2011 capital budgets; the Governor did not recommend funding, and the Assembly concurred. *The Governor did not recommend funding this project.* **The Assembly concurred.**

RI Financial Accounting Network System Implementation. The Department's FY 2012 operating budget request includes \$4.1 million from general revenues, of which \$1.0 million is for operational expenditures to fund contracts for license and maintenance costs for the Rhode Island Financial Accounting Network System and new expenditures of \$3.1 million to begin the implementation of the time and attendance, projects and grants modules. The Department indicated that the implementation of these modules would increase efficiencies across state agencies and provide for improved reporting for reimbursements.

The Governor recommended a total project cost of \$11.5 million from Rhode Island Capital Plan funds, including \$2.5 million in FY 2012 and \$3.0 million each in FY 2013 through FY 2015. The Assembly did not concur.

Sheriffs Firearms. The Department requested \$125,000 from Rhode Island Capital Plan funds to be used in FY 2012 to purchase 180 Glock .40 caliber firearms and other associated accessories for the Sheriffs. The Department indicated that the Sheriffs last purchased firearms in 1994 and since then it has received used firearms from other state agencies. This project does not appear to meet the definition of a capital expense and use of Rhode Island Capital Plan funds may not be an appropriate use for this project. *The Governor did not recommend funding this project. The Assembly concurred.*

Technology Initiatives. This initiative was not included in the Department's request. The Governor's capital budget includes Rhode Island Capital Plan funds for technology initiatives. His FY 2012 recommended budget includes \$2.9 million from general revenues, an increase of \$1.3 million from the enacted budget for information technology projects that support other state agencies and capital purchases. In FY 2012, the Division of Information Technology planned to increase storage backup capability, create a plan to reduce power consumption and upgrade enterprise cyber security appliances.

The Governor recommended project costs totaling \$14.5 million from Rhode Island Capital Plan funds, including \$2.5 million in FY 2013, \$2.0 million in FY 2014 and \$5.0 million each in FY 2015 and FY 2016. The Assembly did not concur.

Tourism Asset Protection Fund. This initiative was not included in the Department's request. The Governor proposes legislation to establish the Tourism Asset Protection Fund to be used for tourism related improvements. The Fund is supported by the retention of a 1.0 percent sales tax on the rental of rooms and lodging; with the proposed rollback of the regular sales tax rate, the total sales tax would be unchanged from the current level. The funds will be deposited into a restricted receipt account and use of the funds is at the discretion of the Department of Administration.

The Governor recommended total expenditures of \$11.3 million from the Fund, of which \$2.6 million will be used each year. For FY 2012, he recommended \$1.7 million in the Department of Environmental Management for the restoration of Fort Adams and \$0.9 million is budgeted in the Department of Administration for capital expenses. Supporting documents note that no recommendation for the use of the funds beyond FY 2012 is being made until the Fund is authorized by the General Assembly. The Assembly did not concur.

Work Order System. The Department requested \$150,000 from Rhode Island Capital Plan funds to purchase and install a web-based work order system for the Division of Facilities in FY 2011. This system will allow the Division a more efficient way to track requests of work orders. This project

does not appear to meet the definition of a capital expense and use of Rhode Island Capital Plan funds may not be appropriate for it.

*The Governor's capital budget documents show \$150,000 from Rhode Island Capital Plan funds in FY 2012; funding for this is not shown in the FY 2012 appropriations act. He subsequently requested an amendment to include the funds. **The Assembly did not concur.***

Economic Development Corporation

Projects	Status	5 Year Total	Project Total
Quonset Point/Davisville Infrastructure Projects	Ongoing	\$ 4,474,008	\$ 58,748,449
Total		\$ 4,474,008	\$ 58,748,449
Sources of Funds			
General Obligation Bonds		\$ 4,474,008	\$ 48,000,000
Other Sources		-	10,748,449
Total		\$ 4,474,008	\$ 58,748,449

Quonset Point/Davisville Infrastructure Projects. The Economic Development Corporation requested \$58.7 million, of which \$3.9 million is from approved general obligation bonds that will be used in FY 2012 for infrastructure and other improvements at the Quonset Business Park in North Kingstown. The projects include tearing down old buildings, creating and improving roadways and other work designed to increase the appeal of Quonset to current and potential business tenants for furtherance of state economic development goals. Most of the work is funded by \$48.0 million in general obligation bonds approved by the voters in 2004. These funds would be supplemented by revenues generated through land sales and other development activities of the Quonset Development Corporation, which manages the park.

The total project cost of \$58.7 million is \$2.7 million, or 4.7 percent more than the approved plan. This includes \$1.2 million for a new roof on building 318. The request reflects the completion of four major components, including bulkhead replacements, internal rail upgrades, site improvements and the bike path. It appears that some of the components were completed at higher costs than estimated.

*The Governor recommended a total project cost of \$58.7 million, of which \$4.5 million from approved general obligation bonds will be used in FY 2012. The recommendation is \$68,098 more than requested to adjust prior year expenditures. **The Assembly concurred.***

Department of Labor and Training

Projects	Status	5 Year Total	Project Total
Center General Asset Protection	New	\$ 1,564,500	\$ 1,564,500
Center General Slate Roof Replacement	Revised	770,000	1,661,800
Total		\$ 2,334,500	\$ 3,226,300

Sources of Funds

General Revenues	\$ -	\$ 487
Rhode Island Capital Funds	2,334,500	2,357,014
Federal Funds	-	352,816
Restricted Receipts	-	251,309
Other - Temporary Disability Insurance Funds	-	264,674
Total	\$ 2,334,500	\$ 3,226,300

Summary. The Department requested \$1.0 million for the period FY 2012 through FY 2016 for one new asset protection project, comprised of nine separate projects, at the Center General Complex of the Pastore Center in Cranston. The request also includes capital expenditures of \$2.3 million for FY 2011. *The Governor recommended \$1.6 million from Rhode Island Capital Plan funds for the five-year period and included \$0.8 million in FY 2011 and \$0.2 million in FY 2012.* **The Assembly shifted \$770,000 from Rhode Island Capital Plan funds from FY 2011 to FY 2012 to account for continued delays in the Center General Slate Roof Replacement.**

Center General Asset Protection. The Department requested a total of \$1.6 million from Rhode Island Capital Plan funds for asset protection projects at the six buildings that comprise the Center General Complex of the Pastore Center. There are nine projects included in the request with completion dates ranging from FY 2011 through FY 2014. Requested projects include replacing and repairing fraying carpeting, repointing and resealing bricks on exterior walls, sealing cracks in the pavement and seal coating the parking lots and walkways, caulking and replacing the casings on 1,210 windows, replacing the cooling tower, creating a ventilation system for the steam room, cleaning interior air ducts, eliminating the accumulation of water in the elevator shafts and replacing the concrete around the main entrance. It should be noted that the request is not consistent with the Department's operating budget requests, which includes no funding in FY 2011 and reduced funding in FY 2012, reflecting project delays. *The Governor recommended \$62,000 less than requested, but delays the project start dates until FY 2012. He provided \$169,000 less than requested in FY 2012 and spread funding through FY 2016.* **The Assembly concurred.**

Center General Slate Roof Replacement. The Department requested a total of \$1.7 million to replace the slate roof on buildings 68, 69 and 71 at the Center General Complex. Expenditures of \$1.6 million were requested in FY 2011. Proposed funding includes \$487 from general revenues, \$352,816 from federal funds, \$251,309 from restricted receipts from workers' compensation funds, \$264,674 from Temporary Disability Insurance funds, and \$792,514 from Rhode Island Capital Plan funds. Total funding is equal to the approved plan, but the request shifted \$469,678 of expenses from FY 2010 to FY 2011 because of delays in preparing the request for proposals. *The Governor recommended funding as requested.* **The Assembly shifted \$770,000 from Rhode Island Capital Plan funds from FY 2011 to FY 2012 to account for continued delays.**

Department of Revenue

Projects	Status	5 Year Total	Project Total
Information Technology Modernization Project	Ongoing	\$ -	\$ 15,442,068
Registry of Motor Vehicles Building/Forand	Ongoing	-	17,700,000
Tax Data Warehouse Phase II	Ongoing	-	3,150,000
Total		\$ -	\$ 36,292,068
Sources of Funds			
Rhode Island Capital Funds		\$ -	\$ 18,200,000
Certificates of Participation		-	13,500,000
Restricted Receipts		-	4,442,068
General Revenue		-	150,000
Total		\$ -	\$ 36,292,068

Summary. The Department of Revenue requested total project costs of \$64.3 million from all funds, of which \$27.2 million from Rhode Island Capital Plan funds will be used in the five-year plan. The request is for five projects, two of which are new.

The Governor recommended total funding of \$63.4 million from all funds, of which \$22.3 million from Rhode Island Capital Plan funds will be used in the five-year plan. This is \$0.9 million less than requested and assumes use of \$25.0 million from Rhode Island Capital Plan funds for information technology upgrades. Rhode Island Capital Plan funds have been limited to use on physical assets.

The Assembly provided total project costs of \$36.3 million. It did not concur with the use of Rhode Island Capital Plan funds for information technology projects; however, it provided \$1.0 million from restricted receipts to establish an Information Technology Fund in the Department of Administration.

Information Technology Modernization Project. The Department requested \$16.2 million from approved Certificates of Participation and restricted receipts to replace the computer system at the Registry. The project would allow for more web site functionality to reduce wait times for transactions performed at the Registry such as titles and registrations. The 2006 Assembly authorized the Department of Administration to borrow \$13.0 million from Certificates of Participation to replace the computer system, with debt service supported by a transaction surcharge. The Department developed and adopted the surcharge by rule and regulation, and started collecting the surcharge of \$1.50 per transaction on July 3, 2007. The total project cost is \$0.6 million or 3.7 percent more than the approved plan to reflect a revised project cost, all of which will be paid from the surcharge.

*The Governor recommended total project costs of \$15.4 million, which is \$750,000 less than requested and is \$157,261 less than the approved plan. **The Assembly concurred.***

Registry of Motor Vehicles/Forand Building. Consistent with the approved plan, the Department requested \$17.7 million from Rhode Island Capital Plan funds, of which \$5.7 million will be used in FY 2011 to renovate the Forand Building to be the new home of the Registry of Motor Vehicles. The building formerly housed operations of the Department of Human Services, which it vacated and has since relocated to several other buildings in the Pastore Center. The building was in need of extensive

repair to prolong its useful life. Construction started in September 2009, and the Division moved in late August 2010. Information obtained from the state's accounting system indicates that FY 2010 expenditures were \$13.7 million, \$0.8 million more than the final appropriation. This balance would be carried forward and thereby reduce the available funding for FY 2011 from \$4.1 million to \$3.3 million. The Department noted that \$3.3 million is sufficient to finish the project on time and on budget. *The Governor recommended total project costs of \$17.7 million, consistent with the request and the approved plan.* **The Assembly concurred.**

Tax Data Warehouse Phase II. The Department requested \$5.2 million, including \$2.7 million from approved Certificates of Participation and \$2.5 million from Rhode Island Capital Plan funds for the expansion of the tax data warehouse project. Phase II of the project will include four initiatives: expand automated compliance, collections improvements, a data driven audit selection process, and risk-based sales tax audit selection models. The project will also allow users to create, run reports, and perform queries to enhance tax collection. The 2006 Assembly approved \$2.5 million from Certificates of Participation and the 2010 Assembly provided \$0.5 million from Rhode Island Capital Plan funds in FY 2011 to allow the Division to purchase computer hardware and associated software to modernize its operations. The Division indicated that since the implementation of that project, more than 30,000 notices have been sent to taxpayers and \$13.0 million has been recovered from delinquency and discrepancy. The request includes new expenditures of \$2.0 million from Rhode Island Capital Plan funds, \$0.5 million annually from FY 2012 through FY 2015.

The Governor recommended the total project costs; however, shifted \$155,000 from FY 2011 to FY 2012 to reflect anticipated expenditures. **The Assembly did not concur and provided funding consistent with the approved plan.**

Corporate Tax Model. The Department requested \$225,000 from Rhode Island Capital Plan funds, of which \$125,000 is for the purchase of a corporate income tax model and \$100,000 will be used for the system's maintenance. The project would allow for comparing and contrasting impacts of different tax structures, allow the Office of Revenue Analysis to evaluate the differential between taxation of regular income, investment income and capital gains. The system would also demonstrate the impact of changes on distribution of the tax burden. This project does not appear to meet the definition of a capital expense. It was included in the Department's FY 2011 capital budget, the Governor and the Assembly did not include funding for it. *The Governor recommended \$125,000 for the corporate income tax model. He did not recommend funding for the system's maintenance.* **The Assembly did not concur.**

Integrated Tax System. The Department requested \$25.0 million from Rhode Island Capital Plan funds to be used in the five-year period for an integrated tax system, which would store all taxpayer information into one centralized computer system. The system would replace the current tax system and includes the following functions: single registration of taxpayers, consolidated filing of employers' taxes, automated data capture, view of financial history and consolidated billing of business tax liabilities and others. Currently, the Division of Taxation uses several different computer programs to process tax returns, bills taxpayers and collects liabilities. The Division indicated that some of its current systems were originally written decades ago, though some of the systems have been rewritten; however, maintenance is expensive. The Department requested this project in its FY 2011 capital budget; the Governor and the Assembly did not provide funding.

The Governor recommended the total project costs but delayed the project start date by a year. **The Assembly did not concur.**

Public Utilities Commission

Projects	Status	5 Year Total	Project Total
Building Renovations	Revised	\$ 438,000	\$ 708,000
Total		\$ 438,000	\$ 708,000
Sources of Funds			
Restricted Receipts		\$ 438,000	\$ 708,000
Total		\$ 438,000	\$ 708,000

Summary. The Public Utilities Commission's FY 2012 through FY 2016 request includes \$250,000 in the current year and \$513,000 in the five-year period for renovations at the 89 Jefferson Boulevard in Warwick. The project is funded through restricted receipts available from assessments to the regulated utilities. The request is inconsistent with budget instructions and includes \$130,000 more than the approved plan to reflect inclusion of projects in FY 2016. *The Governor recommended a total project of \$708,000. He recommended \$438,000 in the five-year plan, which is \$75,000 less than requested in FY 2016.* **The Assembly concurred.**

Department of Children, Youth and Families

Projects	Status	5 Year Total	Project Total
Old Training School Close	New	\$ -	\$ 75,000
Various Repairs and Improvements	New	900,000	975,500
Groden Center Mt. Hope Building Façade	Ongoing	275,000	275,000
Groden Center Mt. Hope Fire Towers	Ongoing	-	275,000
Eckerd Camp E-Hun-Tee	Ongoing	85,000	150,000
North American Family Institute, Inc.	Ongoing	1,030,000	1,141,200
Youth Group Homes - Fire Code Upgrades	Ongoing	2,000,000	4,427,871
Groden Center Mt. Hope	Completed	-	218,509
Total		\$ 4,290,000	\$ 7,538,080
Sources of Funds			
Rhode Island Capital Funds		\$ 4,290,000	\$ 7,538,080
Total		\$ 4,290,000	\$ 7,538,080

Summary. The Department of Children, Youth and Families requested capital project expenditures totaling \$14.8 million, of which \$7.6 million would be spent in the FY 2012 through FY 2016 period. The request includes two new projects at the Training School totaling \$1.5 million. All of the funding is from Rhode Island Capital Plan funds.

The Governor recommended \$7.9 million in total expenditures, which is \$6.9 million less than requested. The Governor included \$4.1 million during the FY 2012 to FY 2016 period or \$3.5 million less than requested. He did not include funding for a new girls' training school.

The Assembly shifted funding for one project from FY 2011 to FY 2013 and reduced funding by \$0.3 million from Rhode Island Capital Plan funds for an asset protection project at the Training School based on revised spending projections.

Old Training School Close. The Rhode Island Training School has been vacant for two years since the boys have moved into the new Youth Assessment Center and Youth Development Center. In order to sell these buildings, the steam, utilities, and sprinkler systems need to be shut down. Also, all buildings need to be boarded up. *The Governor recommended \$75,000 from Rhode Island Capital Plan funds. The Assembly concurred.*

Various Repairs and Improvements. The Department requested \$1.5 million from Rhode Island Capital Plan funds for various repairs and improvements at the Youth Development Facility and the Youth Assessment Center. This includes fixing the roof at both facilities, which have issues with the flashing, installing a new security system at both schools, purchasing a new conduit for the main generator at the Youth Development Facility, installing outdoor plumbing at the Youth Assessment Center in order to provide irrigation in the front of the building, pouring two cement pads, one for the dumpsters and one for the area where vans drop off youth, and hiring an engineering firm to investigate a sewer odor at both schools. *The Governor recommended \$1.3 million from Rhode Island Capital Plan funds, including \$0.1 million in FY 2011 and \$1.2 million in FY 2012. This is \$0.2 million less than requested based on revised estimates. The Assembly reduced funding by \$49,500*

in FY 2011 and \$0.3 million in FY 2012 to reflect revised spending projections. This project fits the definition of an asset protection project; therefore, funding is provided as part of an asset protection project for the Training School.

Groden Center Mt. Hope Building Façade. The Department requested \$275,000 from Rhode Island Capital Plan funds for the repointing of exterior mortar joints and the cleaning and/or replacing of all damaged windows and door lintels of the state owned property located at 86 Mount Hope Avenue, Providence. The facility provides day programs serving adolescents between the ages of 3 through 21. *The Governor recommended funding as requested.* **The Assembly concurred.**

Groden Center Mt. Hope Fire Towers. The Department requested \$275,000 from Rhode Island Capital Plan funds to demolish two existing steel fire ladders that do not meet fire code and to construct two new enclosed stair towers out of brick at the state owned property located at 86 Mount Hope Avenue in Providence. The current fire ladders were cited as deficient by the Fire Marshal; therefore, the second floor of the Groden Center does not have an adequate emergency exit. *The Governor recommended funding as requested.* **The Assembly concurred.**

Eckerd Camp E-Hun-Tee. The Department requested \$150,000 from Rhode Island Capital Plan funds to provide a septic system, a toilet system and separate hand washing facilities including hot water for the 30 youth at Camp E-Hun-Tee, consistent with the approved plan. This project continues to be delayed because the Department of Health and the Department of Environmental Management have not determined if the plans are consistent with state and environmental health codes; therefore, the youth continue to use temporary restrooms, which were purchased with general revenues in FY 2009. These units are not a permanent fix because the water lines tend to freeze in the winter. Camp E-Hun-Tee is a comprehensive residential treatment program serving at risk boys who are between the ages of 11 and 16. It is located on 275 acres of state owned land in the Arcadia Land Management Area in Exeter. *The Governor recommended funding as requested.* **The Assembly concurred.**

North American Family Institute, Inc. Consistent with the approved capital plan, the Department requested \$1.1 million from Rhode Island Capital Plan funds for repairs to the North American Family Institute on New London Avenue in Cranston. The request includes \$0.6 million in FY 2011 and \$0.5 million in FY 2012 to complete repairs to the plumbing and electrical systems, heating, ventilation and air conditioning systems, which are required to comply with the state's new fire code. *The Governor recommended funding essentially as requested. He recommended \$0.5 million for FY 2012 and shifted \$50,000 to FY 2013.* **The Assembly shifted \$450,000 from FY 2011 to FY 2013 based on revised spending projections.**

Youth Group Homes – Fire Code Upgrades. Consistent with the approved capital plan, the Department requested \$4.5 million from Rhode Island Capital Plan funds to provide fire code upgrades and safety related improvements to 111 group home facilities. The request includes \$1.0 million in FY 2011, FY 2012 and FY 2013. Expenditures include sprinkler system installation, heating system repairs, and miscellaneous construction costs to ensure compliance. Prior year expenditures total \$1.7 million. *The Governor recommended \$4.4 million from Rhode Island Capital Plan funds, including \$0.9 million in FY 2011 and \$1.0 million in both FY 2012 and FY 2013.* **The Assembly concurred.**

Groden Center Mt. Hope. The Department requested \$218,509 for roof repairs and repointing of exterior mortar joints of the state owned property located at 86 Mount Hope Avenue, Providence, to be completed in FY 2008. Of the total project costs, \$138,849 of general obligation bonds and \$63,215 of Rhode Island Capital Plan funds were spent prior to FY 2008. The Department requested \$16,445 from Rhode Island Capital Plan funds for the remainder of the funding for a number of

invoices that need to be reimbursed. The approved capital plan includes the funding in FY 2010, but the Department did not make the payment to reimburse the Groden Center. *The Governor recommended funding as requested.* **The Assembly concurred.**

Training School – Sprinkler Heads. The Department requested \$25,360 from Rhode Island Capital Plan funds for new sprinkler heads to be installed in the resident rooms at the Training School. The sprinkler heads that were initially installed can easily be tampered with and reached by standing on the bed. There are 148 heads that need to be replaced. *The Governor recommended funding as requested.* **This project fits the definition of an asset protection project; therefore, the Assembly recommended providing funding as part of an asset protection project for the Training School.**

Groden Center – Cowesett Road. The Department requested \$29,832 for fire code upgrades at the state owned group home on Cowesett Road. The approved capital plan includes \$62,000 in FY 2010 for expenses incurred prior to its accreditation in FY 2010, as well as fire code upgrades. The expenses include painting the interior and exterior of the house, renovating a bathroom, and various other repairs and replacements throughout the home. The Department indicated that \$32,168 was paid in FY 2010; however, the fire code upgrades were not completed and it is requesting use of the remaining funding in FY 2011. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Rhode Island Training School – Girls’ Facility. The Department requested \$6.7 million from Rhode Island Capital Plan funds to build a new girls’ facility on the Pastore Campus in Cranston. The request includes \$0.1 million from Rhode Island Capital Plan funds in pre-FY 2010 expenditures for repairs that were needed at the Mathias Building, the temporary site. It also includes \$2.5 million in FY 2011 for initial architectural, engineering, and construction costs and \$4.0 million in FY 2012 to complete construction. An exact location has not been determined; however, the school will either be located in renovated space in the Adolph Meyer building or a new facility will be constructed adjacent to the Youth Development Center. The approved capital plan assumes renovating the Adolph Meyer building. *The Governor did not recommend funding for this project. He proposed the consolidation of the girl’s training school into the boy’s facility.* **The Assembly concurred.**

Department of Health

Summary. The Department of Health requested \$100.8 million from Rhode Island Capital Plan funds for FY 2012 through FY 2016 for two new projects. The request includes \$100.3 million to build a new health laboratory and medical examiner building and \$0.5 million for a records storage vault within the Office of Vital Records. *The Governor did not recommend funding for either project.* **The Assembly concurred.**

Health Laboratory/Medical Examiner Building. The Department requested \$100.3 million from Rhode Island Capital Plan funds for FY 2012 through FY 2016 to conduct a feasibility study and build a new health laboratory and medical examiner building. This new building would be approximately 110,000 square feet. The Department indicated that the current facility does not provide enough space, privacy, security, does not comply with accreditation standards and is not readily handicap accessible. The Department also indicated that the request assumes the possibility of state lab consolidation. The estimate is based on a new 110,000 square foot lab completed in Connecticut two years ago that cost \$61.7 million. The Department's request appears to assume costs will inflate 64.4 percent by FY 2015.

The Department of Health's request includes \$0.3 million for FY 2011 which includes \$175,000 for the state crime lab feasibility study and \$175,000 for a new health lab feasibility study; however, it should be noted that the Department of Administration's budget already includes \$175,000 for the state crime lab feasibility study. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Records Storage Vault. The Department requested \$0.5 million from Rhode Island Capital Plan funds for FY 2012 to construct a new vault-like structure to store and maintain Rhode Island's vital records. This project would increase record storage space and provide protection from any damage. Currently, the Office of Vital Records maintains 2.8 million one-of-a-kind records that cannot be replaced if damaged or destroyed by fire, water or some other natural cause. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Department of Human Services

Projects	Status	5 Year Total	Project Total
Blind Vending Facilities	Revised	\$ 825,000	\$ 1,285,791
<i>Veterans' Home</i>			
N-2 and N-3 Nursing Ward Renovations	New	1,425,000	1,425,000
Electrical System Upgrade	Revised	-	500,000
N-5 Nursing Ward Renovation	Revised	-	780,000
N-6 Nursing Ward Renovation	Revised	-	780,000
N-7 Nursing Ward Renovation	Revised	-	780,000
HVAC System	Revised	-	2,852,362
Main Entrance Renovations	Revised	-	50,000
Sprinkler System Water Connection	Revised	650,000	665,000
Total		\$ 2,900,000	\$ 9,118,153
Sources of Funds			
Rhode Island Capital Funds		\$ 825,000	\$ 1,285,791
Restricted Receipts		2,075,000	5,254,994
Federal Funds		-	2,577,358
Total		\$ 2,900,000	\$ 9,118,143

Summary. The Department requested \$2.8 million for the period FY 2012 through FY 2016. The Department's request includes eight capital projects at the Veterans' Home and one in the Office of Rehabilitation Services. The Department also requested capital expenditures of \$3.3 million for FY 2011. It should be noted that the request is not consistent with the Department's FY 2011 revised and FY 2012 operating budget requests, which shifted \$1.8 million of capital expenses from restricted receipts to federal funds.

*The Governor recommended \$825,000 from Rhode Island Capital Plan funds for the five-year period, which is \$2.0 million less than requested. His budget reflects the Department of Veterans' Affairs, effective July 1, 2011 as required by current law and included the Veterans' Home projects in the new Department's budget for FY 2012 and the years following. For the Veterans' Home, he recommended \$3.0 million in FY 2011, including \$1.2 million from federal funds and \$1.8 million from restricted receipts, which is consistent with the Department's operating request, and included \$2.1 million for the 5-year period. It should be noted that the recommendation is not consistent with the Budget Office's capital supporting documents and the initiative included in the operating recommendation to limit the census in FY 2012 to allow the renovation projects to be completed. **The Assembly concurred; however, maintained the Division of Veterans' Affairs within the Department of Human Services.***

Blind Vending Facilities. The Department requested \$1.0 million from Rhode Island Capital Plan funds for FY 2011 through FY 2016 for the ongoing construction and renovation of statewide vending facilities. This is \$351,340 more than the approved capital plan, and includes a reduction of \$724, which was not spent in FY 2010 and the addition of \$202,064 in FY 2011 for the completion of the Division of Motor Vehicles' site at the Forand Building and the addition of \$150,000 in FY 2016, consistent with the approved annual funding level. *The Governor recommended \$75,000 less than*

requested in FY 2011 and \$15,000 more than requested in FY 2012. He included \$165,000 each year from FY 2012 through FY 2016. **The Assembly concurred.**

Veterans' Home

N-2 and N-3 Nursing Ward Renovations. The Department requested \$1.4 million from restricted receipt funding for a new project at the Veterans' Home to renovate the N-2 nursing ward in FY 2012 and the N-3 nursing ward in FY 2013. Major repairs include the resident rooms, bathrooms, corridors and auxiliary rooms. This project is similar to the renovations to nursing wards N-5, N-6 and N-7, which are included in the approved capital plan. The requested funding is \$80,000 less per wing than the other ward projects, though this project will begin at a later date. *The Governor recommended total funding consistent with the request, but shifted \$715,000 from FY 2012 to future years, including an additional \$5,000 in FY 2013 and \$710,000 in FY 2014.* **The Assembly concurred.**

Electrical System Upgrade. The Department requested \$500,000 from restricted receipts to upgrade the emergency electrical distribution system at the Veterans' Home. The project is part of the approved capital plan; however, the Department's request shifted unused FY 2010 funding to FY 2011. This reflects the fourth consecutive postponement of the project since it appeared in the approved capital plan; however, the request indicates that a purchase order has been awarded for the engineering services and project completion is expected in FY 2011. The request includes replacing and relocating the existing generators and transfer switches to meet the standards of the revised electrical code and assure sufficient electrical backup to the Home in the event of a power outage. *The Governor recommended funding as requested.* **The Assembly concurred.**

N-5 Nursing Ward Renovation. The Department included \$0.8 million from restricted receipt funding to renovate the N-5 nursing ward at the Veterans' Home in FY 2011. This reflects an increase of \$280,000 from the approved capital plan due to updated construction costs provided by a contracted architect, contributing to the one year delay to FY 2011. Major repairs include the 25 resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-6 and N-7, which are also included in the approved capital plan. The current request includes similar funding increases for the other two wards. *The Governor recommended funding as requested.* **The Assembly concurred.**

N-6 Nursing Ward Renovation. The Department included \$0.8 million from restricted receipts to renovate nursing ward N-6 at the Veterans' Home in FY 2011. This reflects an increase of \$280,000 from the approved capital plan due to updated construction costs developed by a contracted architect. Major repairs include the 25 resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-5 and N-7, which are also included in the approved capital plan. The current request includes similar funding increases for the other two wards. *The Governor recommended funding as requested.* **The Assembly concurred.**

N-7 Nursing Ward Renovation. The Department included \$0.8 million from restricted receipt funding to renovate nursing ward N-7 at the Veterans' Home in FY 2011. This reflects an increase of \$280,000 from the approved capital plan due to updated construction costs developed by a contracted architect. Major repairs include the 25 resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-5 and N-6, which are also included in the approved capital plan. The current request includes similar funding increases for the other two wards. *The Governor recommended funding as requested.* **The Assembly concurred.**

HVAC System. The Department's request includes \$100,000 from restricted receipts not spent in FY 2010 to complete its upgrade of the current heating system at the Veterans' Home in FY 2011. The final portion of this project will correct the lack of air flow in the connecting hallway between the north and south buildings, which do not have heat, air conditioning or ventilation at this time. *The Governor recommended funding as requested.* **The Assembly concurred.**

Main Entrance Renovations. The Department requested \$50,000 from restricted receipts to renovate the main entrance at the Veterans' Home. This project is part of the approved capital plan but the Department revised the timeline of the project to be completed in FY 2011 instead of FY 2010. *The Governor recommended funding as requested.* **The Assembly concurred.**

Sprinkler System Water Connection. The Department requested approved funding of \$665,000 from restricted receipts to connect the Veterans' Home to the Town of Bristol's water supply system for the emergency sprinkler system and installation of an emergency generator. This reflects an additional one-year delay for a start in FY 2011 and FY 2012 completion. *The Governor recommended funding as requested.* **The Assembly concurred.**

Dept. of Behavioral Healthcare, Developmental Disabilities and Hospitals

Projects	Status	5 Year Total	Project Total
DD Group Homes-Asset Protection	Revised	\$ 7,300,000	\$ 14,254,651
DD Residential Support	Revised	5,000,000	10,076,598
DD Residence Fire Code	Revised	4,456,545	8,600,408
Mental Health Residences	Revised	3,700,000	13,075,757
Eleanor Slater HVAC and Elevators	Revised	4,068,500	4,068,500
Medical Center Asset Protection	Revised	2,550,000	6,927,753
Mental Health Community Fac. - Asset Protection	Revised	1,600,000	5,661,621
Hospital Consolidation	Ongoing	26,850,000	28,220,715
Community Facilities Fire Code Upgrades	Ongoing	3,750,000	5,852,402
DD Regional Centers - Asset Protection	Ongoing	3,750,000	6,929,245
Substance Abuse - Asset Protection	Ongoing	1,500,000	2,643,721
Zambarano Campus - Asset Protection	Ongoing	1,975,000	3,292,688
Mental Health Residences Furniture	Ongoing	199,000	219,918
Management Information System	Ongoing	299,416	799,416
Total		\$ 66,998,461	\$ 110,623,393
Source of Funds			
Rhode Island Capital Funds		\$ 64,342,201	\$ 91,993,381
Federal Funds		2,506,552	5,499,907
General Obligation Bonds		149,708	13,130,105
Total		\$ 66,998,461	\$ 110,623,393

Summary. The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals requested \$119.7 million for 14 capital projects, which is \$7.7 million more than the approved capital plan. Of this amount, \$68.1 million will be spent in FY 2012 through FY 2016. *The Governor recommended \$113.1 million, including \$64.5 million in the five-year period, \$3.6 million less than requested.* **The Assembly reduced total funding by \$2.5 million, which includes \$5.0 million less for FY 2011 and \$2.5 million more for FY 2012 through FY 2016.**

Developmental Disabilities Group Homes - Asset Protection. The Department requested \$7.3 million from Rhode Island Capital Plan funds for asset protection projects at the state's 270 group homes for the developmentally disabled. Of the total 149 are state-owned, 34 state operated and 115 privately operated and 121 privately owned and operated. The request adds \$0.1 million to the approved plan for funding of \$1.1 million in FY 2011 and FY 2012. The Department also added \$1.7 million for FY 2016. *The Governor recommended funding as requested.* **The Assembly concurred.**

Developmental Disabilities Residential Support. The Department requested \$5.0 million from Rhode Island Capital Plan funds for the period FY 2012 through FY 2016, \$1.0 million annually. The request reduces funding by \$0.1 million annually from FY 2012 through FY 2015 for updated project costs and adds \$1.0 million for FY 2016. The Department also includes \$1.0 million for FY 2011. This project funds the thresholds program which promotes residential development with additional funding provided by Rhode Island Housing and the access to independence program which allows

adults with developmental disabilities to remain in their home and provides furniture for the residences. *The Governor recommended funding as requested.* **The Assembly concurred.**

Developmental Disabilities Residence Fire Code. The Department requested \$9.9 million, including \$4.5 million for the FY 2012 through FY 2016 period to install and upgrade fire alarm and sprinkler systems in the private developmental disabilities residences. This includes \$1.6 million in FY 2011. The request shifts \$0.7 million for six fire alarm systems and nine sprinkler system upgrades and 13 structural modification projects to FY 2014. The Department has completed upgrades to 130 of the 198 facilities that require fire alarms and 14 of the 120 facilities that require sprinkler systems. *The Governor recommended funding as requested.* **The Assembly provided \$0.3 million in FY 2011 to reflect updated spending and concurred with the remainder of the recommendation.**

Mental Health Residences. The Department requested \$13.8 million, including \$4.4 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period, to provide housing to behavioral health clients through the thresholds program in cooperation with the Rhode Island Housing Mortgage and Financing Corporation. The request adds \$0.2 million for FY 2014 and FY 2015 compared to the approved plan, as well as the inclusion of \$1.1 million for FY 2016. *The Governor provided \$3.7 million, \$0.7 million less than requested. He included the enacted level of \$0.8 million in FY 2014 and FY 2015 and provided \$8.0 million in FY 2016, \$0.3 million less than requested.* **The Assembly concurred.**

Eleanor Slater HVAC and Elevators. The Department requested \$5.0 million from Rhode Island Capital Plan funds for upgrades at Barry Hall and Simpson Hall, including HVAC and roof replacement, re-pointing and new windows. This is \$0.1 million more than the approved capital plan for updated costs and shifts funding to FY 2013. *The Governor provided a total of \$4.1 million, \$40,500 above the request; however he shifted \$2.0 million requested for FY 2012 to FY 2013 and FY 2014.* **The Assembly concurred.**

Medical Center Asset Protection. The Department requested \$2.7 million from Rhode Island Capital Plan funds for the ongoing maintenance of 11 buildings at the Pastore Center occupied by the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals in the FY 2012 through FY 2016 period. The request is \$5.0 million less than the approved capital plan for asset protection projects. The request includes funding for specific infection control activities and new equipment in FY 2013 through FY 2016. The Department has not requested funding for any other asset protection activities in that timeframe, pending completion of renovations scheduled under the hospital consolidation plan. Then it will have a more accurate assessment of the need for asset protection projects. *The Governor recommended \$0.1 million less than requested, including \$0.2 million less for FY 2012 and he shifted the balance to the out-years.* **The Assembly concurred.**

Mental Health Community Facilities - Asset Protection. The Department requested \$2.0 million from Rhode Island Capital Plan funds in the five year capital plan for repairs to mental health community facilities. The request adds \$0.1 million to the approved funding of \$0.3 million annually for FY 2012 through FY 2015 and includes \$0.4 million for FY 2016. The Department also requests \$0.4 million for FY 2011, which is \$0.1 million more than approved. *The Governor provided \$1.6 million; he did not recommend the additional funding above the enacted in FY 2011 through FY 2015 but did include the \$0.4 million for FY 2016.* **The Assembly reduced FY 2011 funding by \$0.1 million based on projected spending and concurred with the remainder of the recommendation.**

Hospital Consolidation. The Department requested \$28.6 million from Rhode Island Capital Plan funds to consolidate Eleanor Slater Hospital operations from five buildings into the Mathias, Varley

and Regan buildings. This is consistent with the approved capital plan and includes \$3.0 million for FY 2011 and \$16.4 million for FY 2012.

The first phase of the plan is to renovate two currently vacant units at the Varley building to house the forensic patients currently residing at the Pinel building. The units would also house psychiatric patients currently residing at the Adolph Meyer building. The second phase renovates the Mathias building to house the geriatric psychiatric patients who currently reside in the Regan and Virks buildings. *The Governor reduced funding by \$350,000 in FY 2012 and recommended the remainder of the request. **The Assembly concurred with recommended funding; however, shifted \$1.9 million from FY 2011 to FY 2013.***

Community Facilities Fire Code Upgrades. The Department requested \$5.0 million from Rhode Island Capital Plan funds to install new and upgrade existing fire alarm sprinkler systems throughout the community developmental disability facilities during FY 2012 through FY 2016. This includes group homes, community workshops and day program sites, outpatient facilities and licensed facilities including the four Zambarano group homes. The request shifts \$250,000 from FY 2011 to FY 2015 and adds \$1.0 million for FY 2016. *The Governor recommended \$3.8 million, consistent with the enacted level, \$1.5 million less than requested. **The Assembly concurred.***

Developmental Disabilities Regional Centers - Asset Protection. The Department requested total funding of \$8.4 million, including \$4.4 million from Rhode Island Capital Plan funds in the FY 2012 through FY 2016 period for repairs and renovations to the state's 11 regional workshop centers. The approved capital plan includes \$0.5 million annually for FY 2011 through FY 2015. The request is \$2.9 million more than the approved capital plan with the inclusion of \$0.8 million for FY 2016. The Department spent \$141,128 in FY 2007, \$232,228 in FY 2008, \$466,803 in FY 2009 and \$184,086 for FY 2010 on various asset protection projects.

The Department also requested \$1.0 million for FY 2011, \$0.5 million more than approved. The Department plans to continue renovating space at the Maher Center on Aquidneck Island to accommodate relocating the individuals currently attending the state operated day program located on the campus of Roger Williams University. The state plans to sell the property to the University and provide the day services at the Maher Center; however, as of September 2010 the campus site has not been sold. *The Governor recommended \$7.5 million, \$3.8 million in the five-year period, \$0.7 million less than requested. He included \$750,000 annually in the five-year period. **The Assembly provided \$450,000 in FY 2011, \$550,000 less than recommended based on projected spending and concurred with the remainder of the recommendation.***

Substance Abuse - Asset Protection. The Department requested \$1.5 million from Rhode Island Capital Plan funds in the five-year capital plan for substance abuse asset protection projects. This is consistent with the approved plan and adds \$0.3 million for FY 2016. Funding provides a source of asset protection and emergency repairs to the 11 community facilities housing substance abuse clients. *The Governor recommended funding as requested. **The Assembly concurred.***

Zambarano Campus - Asset Protection. The Department requested total expenditures of \$4.5 million from Rhode Island Capital Plan funds, including \$1.6 million for the FY 2012 through FY 2016 period for asset protection projects related to repairs at the Zambarano campus of the Eleanor Slater Hospital. This includes \$1.5 million for FY 2011, which is \$0.7 million more than the approved plan for a kitchen renovation project at the Wallum Lake House. *The Governor recommended \$1.4 million; consistent with the enacted level and \$0.2 million less than requested. **The***

Assembly provided \$2.0 million, including \$0.5 million, or \$0.9 million less, for FY 2011 and adds \$0.6 million to the five year plan, of which \$0.3 million is in FY 2016.

Mental Health Residences Furniture. The Department requested \$199,000 from Rhode Island Capital Plan funds for furniture provided to indigent mental health clients who live in newly acquired apartments or group homes. This is consistent with the approved plan and adds \$55,000 for FY 2016. The request includes \$20,000 for FY 2011 and \$25,000 for FY 2012. Prior year expenditures were \$383,530 from bond proceeds. *The Governor recommended funding as requested.* **The Assembly concurred.**

Management Information System. The Department requested \$0.8 million, including \$0.4 million from bond proceeds matched by Medicaid funds, for the enhancement and modification of the Department's management information system for developmental disabilities. The request is consistent with the approved capital plan; however, the Department shifted funding to FY 2011 and FY 2012. The project was originally funded in FY 1994 to support the developmental disabilities program but has never been implemented. *The Governor recommended funding as requested.* **The Assembly concurred.**

Department of Veterans' Affairs

Summary. The Department of Human Services requested \$2.8 million for the period FY 2012 through FY 2016 for eight capital projects at the Veterans' Home. The Department also requested capital expenditures of \$3.0 million for FY 2011. *The Governor's budget includes the creation of the Department of Veterans' Affairs, effective July 1, 2011 pursuant to current law. He included the Veterans' Home projects in the new Department's budget for FY 2012 and the years following; FY 2011 recommendations still appear in the budget of the Department of Human Services. The Governor recommended \$2.1 million for the five-year period, which is consistent with the request, though he shifted funding for one project to later years.* **The Assembly concurred; however, maintained the Division of Veterans' Affairs within the Department of Human Services. A description of the projects is included in the Department of Human Services' analysis.**

Governor's Commission on Disabilities

Projects	Status	5 Year Total	Project Total
Facility Renovations	Revised	\$ 1,250,000	\$ 4,288,276
Total		\$ 1,250,000	\$ 4,288,276
Sources of Funds			
Rhode Island Capital Funds		\$ 1,250,000	\$ 2,288,276
General Obligation Bonds		-	2,000,000
Total		\$ 1,250,000	\$ 4,288,276

Summary. The Commission requested facility renovation projects totaling \$16.2 million from all funds, of which \$1.3 million from Rhode Island Capital Plan funds will be used in the five-year period to remove barriers at state owned facilities to allow access for people with disabilities. The request is consistent with the approved plan and includes \$34,968 from general obligation bonds and \$22,201 from Rhode Island Capital Plan funds carried forward from FY 2010 to FY 2011. *The Governor recommended a total project of \$4.3 million, \$11.9 million less than requested and does not include the requested post-FY 2016 funding. He included \$1.3 million in the five-year period \$250,000 each year.* **The Assembly concurred.**

Department of Elementary and Secondary Education

Projects	Status	5 Year Total	Project Total
Met School Asset Protection	New	\$ 300,000	\$ 300,000
East Providence Career and Technical School	Revised	150,000	1,100,000
Woonsocket Career and Technical School	Revised	1,175,788	1,175,788
Warwick Career and Technical School	Revised	430,000	688,036
Chariho Renovations	Revised	400,000	1,600,000
Newport Career and Technical School	Revised	800,000	1,200,000
Davies School Roof Repair	Revised	387,275	2,986,184
Comprehensive Education Information System	Revised	543,617	7,600,000
School for the Deaf New Construction	Revised	-	31,248,698
Met School East Bay Campus	Revised	8,180,000	8,800,000
Cranston Career and Technical School	Revised	1,520,000	1,689,051
Davies Asset Protection	Revised	1,675,000	1,899,216
Davies School HVAC	Ongoing	414,628	2,162,216
Regional Career and Technical Schools	Ongoing	-	15,000,000
Metropolitan Regional Career & Technical Center	Ongoing	-	29,000,000
Shepard Building HVAC	Ongoing	-	311,500
K-16 Stem Investment	Ongoing	-	445,154
Total		\$ 15,976,308	\$ 107,205,843
Sources of Funds			
General Obligation Bonds		\$ -	\$ 44,000,000
Certificates of Participation		543,617	33,445,154
Rhode Island Capital Funds		15,432,691	25,160,689
Federal Funds		-	4,600,000
Total		\$ 15,976,308	\$ 107,205,843

Summary. The Board of Regents for Elementary and Secondary Education requested capital project expenditures totaling \$115.4 million, which is \$8.3 million more than the approved capital plan. Of this amount, \$21.6 million would be spent in the FY 2012 through FY 2016 period covered by the current request. This request includes 20 projects, four of which are new.

The Governor's capital budget includes \$107.2 million in projects with \$14.4 million in the FY 2012 through FY 2016 period. This is \$8.2 million less than requested and \$55,232 more than the approved capital plan. The Governor's recommended five-year capital plan includes \$13.9 million from Rhode Island Capital Plan funds and \$0.5 million from Certificates of Participation.

The Assembly included \$107.2 million with spending of \$16.0 million in the five-year period. It altered the funding schedule for several projects based on updated project schedules and combined several Davies' projects into the school's asset protection project.

Davies Window Replacement. The Regents requested \$355,000 from Rhode Island Capital Plan funds for FY 2012 to replace the windows at the Davies Career and Technical Center. This work was previously planned as part of the asset protection project; however, the school indicated that the

estimated project cost is too large to address as part of the asset protection project and that asset protection funds, for which the approved capital plan includes \$150,000 per year, are needed for other smaller projects throughout the facility. This is the second year this project has been requested; neither the Governor nor the Assembly included it in the capital plan. *The Governor recommended funding as requested but delayed the project start date one year to FY 2013.* **The Assembly opted to fund this as part of the school's asset protection project.**

Davies Paving. The Regents requested \$250,000 from Rhode Island Capital Plan funds for FY 2013 to repave the access drive and parking lots at the Davies Career and Technical Center. This work was previously planned as part of the asset protection project; however, the school indicated that the estimated project cost is too large to address as part of the asset protection project and that asset protection funds, for which the approved capital plan includes \$150,000 per year, are needed for other smaller projects throughout the facility. This is the second year this project has been requested; neither the Governor nor the Assembly included it in the approved capital plan. *The Governor recommended funding as requested, but delayed the project start date one year to FY 2014.* **The Assembly opted to fund this as part of the school's asset protection project.**

Davies Lighting. The Regents requested \$0.7 million from Rhode Island Capital Plan funds for FY 2011 for a new project to replace the lighting at the Davies Career and Technical Center with new energy efficient lighting fixtures. The Department indicated that National Grid is offering to pay \$0.3 million of the total cost. The state share would be \$0.4 million. The Department noted that it requested the full amount in the event that the agreement with National Grid falls through. *The Governor recommended total funding as requested for FY 2011 but included \$0.4 million from Rhode Island Capital Plan funds and \$0.3 million from National Grid, which assumes that funds will be approved.* **The Assembly opted to fund this as part of the school's asset protection project.**

Met School Asset Protection. The Regents requested \$0.5 million from Rhode Island Capital Plan funds for a new asset protection project at the Met School's Peace Street and Public Street campuses in Providence. The Peace Street campus was constructed in 1998 and the Public Street campus was constructed in 2002. The Department reported that many of the systems within these buildings are approaching the end of their useful life and require major mechanical overhaul or replacement. The Department would like the Met School to have asset protection funding like the Davies Career and Technical Center. *The Governor recommended \$0.3 million from Rhode Island Capital Plan funds, \$100,000 annually from FY 2014 through FY 2016.* **The Assembly concurred.**

East Providence Career and Technical School. The Regents requested \$950,000 from Rhode Island Capital Plan funds for FY 2011 to cover remaining fire, health, and life safety repairs at the East Providence Career and Technical Center. The request is \$0.7 million more than the approved capital plan based on construction cost estimates received by the Department. *The Governor recommended funding as requested.*

The Assembly provided an additional \$150,000 from Rhode Island Capital Plan funds for FY 2012 to purchase new boilers and complete the project.

Woonsocket Career and Technical School. The Regents requested \$1.2 million from Rhode Island Capital Plan funds for FY 2011 through FY 2014 to replace the roof at the Woonsocket Career and Technical Center. Total funding is consistent with the approved capital plan, but the request shifts the project out one year. The Governor recommended total funding as requested, but delayed the start date one year to FY 2012. **The Assembly concurred.**

Warwick Career and Technical School. The Regents requested \$688,036 from Rhode Island Capital Plan funds for FY 2011 through FY 2013 to replace the roof at the Warwick Career and Technical Center. Funding is consistent with the approved capital plan but extended another year to reflect a revised project schedule. *The Governor recommended funding as requested.* **The Assembly concurred.**

Chariho Renovations. The Regents requested \$1.6 million from Rhode Island Capital Plan funds to make health and safety renovations and repairs to the Chariho Career and Technical Center for this district to take ownership of the facility. There is currently a transfer agreement between the state and the district. Renovations include replacing the electrical panel system, paving the parking areas and replacing the failing plumbing system. Approximately \$3.0 million of the \$15.0 million bond issue for the career and technical schools was spent on Chariho. Nearly half of that amount was spent for a new HVAC system. The remaining funds were used for fire code upgrades and to correct the drinking water issue. Funding is programmed in FY 2011 and FY 2012. Total funding is consistent with the approved capital plan but is reprogrammed to reflect a revised project schedule. *The Governor recommended funding as requested.* **The Assembly concurred.**

Newport Career and Technical School. The Regents requested \$1.0 million from Rhode Island Capital Plan funds for FY 2011 through FY 2013 to replace the roof at the Newport Career and Technical Center for the district to consider taking ownership of the facility. Funding is \$56,638 more than the approved plan based on revised cost estimates from the Department. *The Governor recommended funding as requested.*

The Assembly provided an additional \$200,000 from Rhode Island Capital Plan funds and advanced the \$300,000 recommended for FY 2013 to FY 2012 to complete the project.

Davies School – Roof Repair. The Regents requested \$3.0 million to finish replacing the roof at the Davies Career and Technical Center. This is \$1.0 million less than the approved capital plan based on the most current estimates. The bids received by the school were less than originally anticipated. The current request will allow all remaining roof sections to be completed. *The Governor recommended funding as requested.* **The Assembly concurred.**

Comprehensive Education Information System. The Regents requested \$3.0 million from authorized Certificates of Participation through FY 2013 to implement the comprehensive education information system innovative schools initiative. The comprehensive education information system is an information system that integrates state and local district information. This project is part of a larger \$65.2 million information technology innovation initiative in the Department of Administration's budget. Funding is consistent with the approved capital plan but programmed through FY 2013; the approved plan programs funding through FY 2010. Extending the funding through FY 2013 will allow the Department to continue implementation and maintenance of the system, provide for licenses and support, program the uniform chart of accounts and install a fire suppression system.

The Governor recommended funding as requested. He also showed \$4.6 million from federal funds that the Department was able to secure to support this project in the pre-FY 2011 period. **The Assembly concurred.**

School for the Deaf New Construction. The Regents' request includes \$28.1 million from Certificates of Participation and \$4.4 million from Rhode Island Capital Plan funds to construct a new School for the Deaf at its current location in Providence. The 2009 Assembly provided \$1.25 million from

Rhode Island Capital Plan funds for FY 2009 to begin the project and \$30.0 million from Certificates of Participation. It appears that the request is in error. Actual funding is consistent with the approved capital plan. The Budget Office indicated that the actual issuance was \$30,425,000, with \$29,910,000 for the construction fund and the balance for cost of issuance. The project is completed and students were able to begin the 2010-2011 school year in the new building.

The Governor recommended \$31.2 million consistent with the approved capital plan. This includes \$30.0 million from Certificates of Participation and \$1.25 million from Rhode Island Capital Plan funds. The Assembly concurred.

Met School East Bay Campus. The Regents requested \$8.8 million from Rhode Island Capital Plan funds through FY 2013 to renovate and add new space to the Florence Gray Community Center in Newport to accommodate the future growth in student enrollment at the Met School's East Bay Campus. The School opened in August 2006 with 30 students; its current enrollment is 90 students. The School would like to eventually enroll 120 students, 30 in each grade. Requested funding is \$1.3 million less than the approved capital plan based on revised estimates in the completed feasibility study. *The Governor recommended funding as requested.*

Based on a delay in the project, the Assembly shifted \$2.6 million from FY 2011 and FY 2012 to FY 2014 and retained total funding as recommended.

Cranston Career and Technical School. The Regents requested \$1.7 million from Rhode Island Capital Plan funds for FY 2010 through FY 2013 to cover remaining miscellaneous life and fire safety repairs and the replacement of the HVAC system at the Cranston Career and Technical Center. The Regents have identified Cranston as the highest priority because the HVAC system has been reported to be in a state of constant failure. Funding is consistent with the approved capital plan but is reprogrammed to reflect a revised project schedule. *The Governor recommended funding as requested.* **The Assembly shifted \$0.3 million from FY 2011 to FY 2013 based on current spending plans. Total funding is as recommended.**

Davies Asset Protection. The Regents requested \$150,000 from Rhode Island Capital Plan funds annually in the FY 2012 through FY 2016 time period for asset protection projects. The 2010 Assembly provided \$150,000 for each year of the plan except for FY 2012 for which it provided \$100,000. Current planned projects for FY 2011 include security system upgrades. *The Governor recommended funding during the five year period as requested. He recommended \$112,941 for FY 2011.*

The Assembly opted to fund the lighting, windows and paving projects as part of the asset protection project. It added \$275,000 in FY 2012 through FY 2014 and \$50,000 in each FY 2015 and FY 2016.

Davies School – HVAC. The Regents requested \$3.3 million from Rhode Island Capital Plan funds programmed through FY 2013 to repair the HVAC system at the Davies Career and Technical Center. This request is \$1.2 million more than the approved capital plan but consistent with last year's request. The recent architectural and engineering design work has changed the scope of the project from an electric-based heating/cooling solution to a more energy efficient hydronic heating and split cooling system. While the hydronic system is ultimately more cost efficient, the initial costs are higher as there is new piping to run, new pumps, new unit-ventilators to install, and a new rooftop split air conditioning unit to install.

*The Governor did not recommend the additional funding. He recommended \$2.2 million consistent with the approved capital plan and provided \$0.4 million for FY 2012 to complete the project. **The Assembly concurred.***

Regional Career and Technical Schools. The Regents' capital budget request includes \$25.2 million for repair work at the state-owned career and technical buildings. This includes \$15.0 million from general obligation bond funds approved by the voters in 2004 and \$10.2 million from Rhode Island Capital Plan funds to address renovations and repairs referred to as second and third phase projects that were not in the original estimate. The Regents hope that with the completion of the center specific projects in the approved capital budget, the districts will agree to take ownership of the facilities and that the cost of these additional repairs will not fall to the state. These projects include Americans with Disabilities Act accessibility issues, security systems, door and window repairs, new flooring, plumbing, parking lot refurbishing, lighting, sidewalks, and electrical work. The Regents made a similar request in the last several years, but the Governor and Assembly rejected the use of Rhode Island Capital Plan funds for second and third phase projects.

*The Governor did not recommend the additional funding. He recommended funding consistent with the approved capital plan and provided \$0.2 million for FY 2011 from the remaining general obligation bond funds. **The Assembly concurred.***

Metropolitan Regional Career and Technical Center. The Board requested \$0.8 million from approved general obligation bond proceeds for FY 2011 to create an "entrepreneurial center" at the Providence Public Street campus. This center would serve as a 3,500 square foot business incubator for student driven projects that would include workrooms, offices and a conference room. Funding is consistent with the approved capital plan but one year later.

[Staff Note: Subsequent to the budget submission, the Department notified the Budget Office that it would like to include a request for \$422,125 from Rhode Island Capital Plan funds as part of this project. It indicated that the lowest bid for the construction of the entrepreneurial center was \$1,138,000, which exceeds the amount available from bond funds by \$422,125.]

*The Governor recommended general obligation bond funding as requested. He did not recommend the additional \$0.4 million from Rhode Island Capital Plan funds. **The Assembly concurred.***

Shepard Building HVAC. The Regents requested \$311,500 from Rhode Island Capital Plan funds through FY 2011 to finish installing a supplemental air conditioning system for the sixth floor of the Department of Education's portion of the Shepard Building. Total funding is consistent with the approved capital plan. *The Governor recommended funding as requested. **The Assembly concurred.***

K-16 STEM Investment. The Regents requested \$445,154 from Certificates of Participation programmed through FY 2011 for the Governor's Science, Technology, Engineering, and Mathematics (STEM) initiative designed to get students excited about math and science. This project is part of a larger \$65.2 million information technology innovation initiative in the Department of Administration's budget. The 2006 Assembly authorized the issuance of debt to support innovative technology initiatives in the Registry of Motor Vehicles, State Police, E-911, in addition to the education projects. Funding is consistent with the approved capital plan. *The Governor recommended funding as requested. **The Assembly concurred.***

Board of Governors for Higher Education

Projects	Status	5 Year Total	Project Total
Systemwide			
Asset Protection	Revised	\$ 63,008,128	\$ 140,936,634
Smart Classrooms	Ongoing	-	14,133,395
Telecommunications	Ongoing	-	-
Community College		17,625,000	75,664,431
College		84,300,000	161,698,375
University		299,852,548	669,909,824
Total		\$ 464,785,676	\$ 1,062,342,659
Sources of Funds			
General Obligation		\$ 83,684,364	\$ 287,754,903
New General Obligation		116,096,235	204,750,000
RIHEBC		111,157,062	196,015,623
Other Debt		22,500,000	61,450,100
General Revenue		7,974,806	18,524,566
Rhode Island Capital Funds		103,858,128	232,355,134
Other		19,515,081	61,492,333
Total		\$ 464,785,676	\$ 1,062,342,659

Summary. The institutions under the Board of Governors submitted five-year capital requests that include projects totaling \$1,240.3 million, of which \$692.7 million would be spent in the FY 2012 through FY 2016 period; \$187.8 million would be spent in FY 2011.

The Governor recommended \$1,052.1 million with \$447.0 million in projects to be spent in the FY 2012 through FY 2016 period and \$161.4 million to be spent in FY 2011. Average annual outlays are \$89.4 million.

The Assembly included projects totaling \$1,062.3 million. The Assembly added \$10.0 million from Rhode Island Capital Plan funds for asset protection projects in the five-year period. The annual increase includes \$1.2 million for projects at the University, \$450,000 for projects at the College and \$350,000 for projects at the Community College.

New Bond Initiatives. The current request includes \$273.6 million in new general obligation bond funds to be placed on the November 2012 and November 2014 ballots. The request includes \$12.7 million for improvements to athletic facilities at the Flanagan and Knight Campuses for the Community College. For the College, it includes \$42.4 million for a full renovation to academic halls. At the University, the request includes \$227.7 million for a new College of Engineering, Fine Arts Center and nursing building for the University and College.

The Governor's capital budget includes \$102.3 million for two projects to be placed on the November 2012 ballot. This includes \$42.3 million for the first phase of academic buildings modernization at Rhode Island College and \$60.0 million for a new nursing building for the Higher Education system. The Assembly provided Rhode Island Capital Plan funds for the new nursing building for a feasibility

study to include enrollment projections, operating costs, space sharing, governance plans, and a comparison to alternate plans for separate facilities. The Governor also recommended one project to be placed on the November 2014 ballot. He did not include the \$12.7 million requested for athletic facilities at the Community College or the \$65.0 million for the fine arts center at the University of Rhode Island. **The Assembly concurred.**

Project		Current Board Req.	Governor 2012 Ballot	Governor 2014 Ballot
Athletic Facilities Renovations	CCRI	\$ 12,662,254	\$ -	\$ -
Modernization of Academic Bldgs Phase 1	RIC	33,450,000	42,250,000	-
Engineering Quadrangle	URI	102,500,000	-	102,500,000
Nursing and Assoc. Health Building	URI	60,000,000	60,000,000	-
Fine Arts Center	URI	65,000,000	-	-
Total		\$ 273,612,254	\$ 102,250,000	\$ 102,500,000

Other Debt. The Board's current request also includes projects funded from revenue bonds. If a revenue stream is available, that revenue pays the debt service on the bonds. For example, housing fees would pay the debt service on a new dorm or renovation project. With projects for which there is no dedicated revenue stream, debt service is paid from either general revenues or tuition and fee revenues. The current request includes a total of \$160.4 million from revenue bonds, including \$96.5 million in the five-year period. Annual debt service on the \$96.5 million, assuming 20 years and 5.0 percent interest, is \$7.9 million. Annual debt service on the entire \$160.4 million is \$13.2 million.

The Governor recommended \$196.0 million from revenue bonds, including \$111.2 million in the five-year period. Annual debt service on the \$111.2 million assuming 20 years and 5.5 percent interest is \$9.2 million; for the full \$196.0 million, it is \$16.2 million. The Governor recommended \$14.6 million from new revenue bonds for fire protection and sprinkler systems at the University of Rhode Island that would require Assembly approval; there was no resolution included in the budget. The Assembly did not authorize any new debt issuances.

Systemwide Projects

Asset Protection. The Board requested \$67.2 million from Rhode Island Capital Plan funds for asset protection projects for the five years of the current capital plan. The Board assumed use of \$8.8 million for FY 2011 and \$13.2 million for FY 2012. The approved capital plan assumes use of \$9.2 million and \$10.1 million in FY 2011 and FY 2012, respectively.

The 2006 Assembly included an annual five percent increase in asset protection projects as part of its multi-year appropriations. The 2008 Assembly provided three percent increases for FY 2011 and FY 2013 and a 10.0 percent increase for FY 2012 as part of its multi-year appropriations. The 2010 Assembly added \$1.4 million in each year, FY 2011 through FY 2015, for asset protection projects. The annual increase includes \$0.8 million for projects at the University, \$0.4 million for projects at the College, and \$0.3 million for projects at the Community College. *The Governor recommended \$53.0 million for the five-year period. He recommended \$9.2 million for FY 2011.*

The Assembly added \$2.0 million in each year FY 2012 through FY 2016 for asset protection projects. The annual increase includes \$1.2 million for the University, \$450,000 for the College and \$350,000 for the Community College. The University will receive \$7.0 million in FY 2012 growing to \$7.7 million in FY 2016. The College will receive \$3.0 million in FY 2012 growing to

\$3.3 million in FY 2016. The Community College will receive \$2.0 million in FY 2012 growing to \$2.3 million in FY 2016.

Smart Classrooms. The 2006 Assembly authorized \$15.2 million from Certificates of Participation for SMART classrooms to upgrade teacher preparation facilities at the College and University of Rhode Island. The request reflects Rhode Island College's portion of that funding, \$7.4 million, with \$3.0 million through FY 2010. *The Governor recommended \$11.7 million from Certificates of Participation consistent with the approved capital plan. He also recommended \$2.4 million from private grants and federal funds. The Assembly concurred.*

Community College of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>Community College of Rhode Island</i>			
Fire Safety Stimulus	Revised	\$ -	\$ 5,648,431
Flanagan Campus Addition	Revised	4,000,000	22,500,000
Energy Performance Contract	Ongoing	9,500,000	11,500,000
Fire Code and HVAC Improvements	Ongoing	-	3,412,000
Newport Campus	Ongoing	-	12,479,000
Knight Campus Renewal	Ongoing	4,125,000	20,125,000
Total		\$ 17,625,000	\$ 75,664,431

Fire Safety Stimulus. The Board requested \$5.5 million from federal fiscal stabilization funds for fire safety projects at the Community College's academic buildings. Based on the allocation of fiscal stabilization funds, assumed in the FY 2011 enacted budget, higher education's share of the total \$134.9 million was \$27.3 million, with \$11.2 million available in FY 2011 for fire safety repair work at the institutions of higher education. Funding was distributed to the institutions based on the square feet of existing academic buildings that need fire safety improvements, and includes \$5.0 million for the University of Rhode Island, \$4.0 million for Rhode Island College, and \$2.3 million for the Community College of Rhode Island for FY 2011.

Based on the final allocation between elementary and secondary education and higher education, higher education will receive \$28.1 million from federal fiscal stabilization funds. The Community College's share is \$5.6 million; however, the capital budget reflects \$5.5 million apparently in error. The Assembly enacted \$5.5 million.

	Higher Education Stabilization Funds		
	FY 2010	Remaining Balance	Total
University of Rhode Island	\$ 818,551	\$ 11,680,375	\$ 12,498,926
Rhode Island College	37,623	9,888,698	9,926,321
Community College of Rhode Island	-	5,648,431	5,648,431
Total	\$ 856,174	\$ 27,217,504	\$ 28,073,678

Flanagan Campus Addition. The Board requested \$29.8 million from Rhode Island Capital Plan funds to add approximately 50,000 square feet to the Flanagan Campus academic building. The request includes 15 additional classrooms, faculty offices, computer lab space, a theater/arts space, student lounge and additional study areas. The Governor had proposed placing \$27.8 million on the November 2014 ballot, but the Assembly included \$2.0 million from pay-as-you-go funding to begin

in FY 2015. The Community College increased the total project costs by 6.7 percent or \$2.0 million for inflation since the project start date has been changed from FY 2014 to FY 2015. *The Governor recommended total funding of \$22.5 million from Rhode Island Capital Plan funds with \$2.0 million in FY 2015 to begin the project.* **The Assembly concurred.**

Energy Performance Contract. Consistent with the approved plan, the Board requested \$11.5 million from Certificates of Participation to purchase energy-saving improvements in buildings and infrastructure for the Community College. Energy service contracts guarantee that savings in utility costs will be sufficient to pay for the debt service on the cost of the replacement of antiquated and inefficient equipment. The Community College has completed an evaluation of initial proposals for the Warwick campus and has chosen to partner with Ameresco. The next step is to enter into an energy performance contract with Ameresco to start the investment grade audit for all four of the Community College's campuses. Through this process the College will enter into a 15 year contract and the audit should be completed no later than February of 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Fire Code and HVAC Improvements. The Board requested total project costs of \$4.2 million to replace the rooftop HVAC units on the main building of the Flanagan Campus. The request includes \$3.4 million from Rhode Island Capital Plan funds and \$0.8 million from the Community College's allocation of asset protection funds. This is \$0.8 million more than the approved capital plan due to increased costs from when the request was originally submitted in FY 2005 and an alternate plan was developed to allow heating and cooling to be adjusted on separate floors. The project is programmed through FY 2012. *The Governor recommended \$3.4 million including \$3.2 million in FY 2011 to finish the project consistent with the approved plan. This assumes the Community College would receive asset protection funds as requested.* **The Assembly concurred.**

Newport Campus. The Board's request does not include the \$12.5 million project to construct a 65,000 square foot campus facility on land in the north end section of Newport. The project was completed during FY 2006. *The Governor's budget includes \$0.2 million from unspent general obligation bond proceeds for FY 2011.* **The Assembly concurred.**

Knight Campus Renewal. Consistent with the approved capital plan, the Board requested \$20.1 million from Rhode Island Capital Plan funds for a comprehensive renewal of the interior of the original Knight Campus Megastructure. Renovations will include painting, lighting, new carpeting and tile and a redesign of the theatre. The project also involves roadway and landscape improvements to the main entrance road and facility entrance. The project is programmed to begin in FY 2014. *The Governor recommended total funding of \$20.1 million from Rhode Island Capital Plan funds with \$125,000 in FY 2014 to begin the project.* **The Assembly concurred.**

Athletic Facilities Renovations. The Board requested \$12.7 million from new general obligation bonds to build several new sports venues and make significant improvements to the field houses and existing fields at the Community College. Principal additions include an outdoor track at the Knight Campus and outdoor tennis courts at both the Knight and Flanagan campuses. A new softball field at the Knight Campus and improvements to the existing softball field at Flanagan are also included in the plan. Improvements to existing fields include lighting, irrigation, bleachers, fencing and a small addition to the Knight Campus field house for the development of a new wellness program. The Board requested this project as part of its FY 2011 capital budget; the Governor and the Assembly did not include funding for it. This project is now presented as a two-year project that would begin in FY 2014. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Knight Campus Residence Hall. The Board’s request includes \$31.6 million from Rhode Island Capital Plan funds to build a new 200-bed residence hall at the Knight Campus in Warwick. The request includes \$250,000 from Rhode Island Capital Plan funds for a feasibility study in FY 2012. The facility would be a combination of apartments and suites; the Community College believes this would attract out-of-state and international students. The Community College indicated that it has anecdotal evidence from students that they would like an on-campus housing option. State law requires that all auxiliary facilities be self-supporting including capital expenses. The Community College indicated that it is confident that a residence hall operations would be self-supporting through room fees, though it is pursuing a state subsidy for construction. This project was requested the past two years but neither the Governor nor the Assembly approved it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Wind Turbine. The Board requested \$4.0 million from Rhode Island Capital Plan funds for a new project to purchase and install a wind turbine at the Knight Campus in Warwick. The proposed location for the turbine is in the student parking lot but the Community College indicated that it will have minimal impact on parking. This project was included in the two previous capital budget requests. The Governor recommended \$3.9 million from revenue bonds as part of his FY 2010 budget but did not submit a resolution for Assembly approval of the debt issuance. In the Governor’s FY 2011 budget, he included funding for this project as part of the energy performance contract project. The Assembly did not concur with the additional funding for the wind turbine. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Rhode Island College

Projects	Status	5 Year Total	Project Total
<i>Rhode Island College</i>			
Academic Buildings Phase III	New	\$ 75,000	\$ 150,000
Academic Buildings Phase IV	New	-	150,000
Donovan Dining Center	New	75,000	75,000
Energy Performance Contract	New	7,500,000	7,500,000
Modernization/Renovation of Residence Halls	New	-	175,000
New Residence Hall	New	75,000	75,000
Renovation/Addition Life Sciences Building	New	-	75,000
Student Union Renovation	New	-	125,000
Academic Buildings Phase I	Revised	33,600,000	42,400,000
Academic Buildings Phase II	Revised	150,000	225,000
Art Center Facility	Revised	17,000,000	18,820,000
DCYF Phase III	Revised	-	7,790,000
Infrastructure Modernization	Revised	16,000,000	22,582,006
Recreation Center Modernization	Revised	-	12,495,462
Fire Safety Stimulus	Ongoing	-	9,926,321
Residence Hall	Ongoing	-	29,989,486
Roads/Parking/Entrances	Ongoing	8,525,000	9,145,000
Total		\$ 83,000,000	\$ 161,698,275

Academic Buildings Phase III. The Board requested \$150,000 from College funds for a feasibility study for the third phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College. The two buildings planned for renovation as part of the third phase are

Whipple Hall and Henry Barnard School. Upon receiving the draft 2010 Master Plan, the College was informed that both of these buildings were in fair condition. The Board's request is for a feasibility study to be completed in the fall of FY 2015. *The Governor recommended funding as requested.* **The Assembly concurred.**

Academic Buildings Phase IV. The Board requested \$150,000 from College funds for a feasibility study for the fourth and final phase of a project to modernize and rehabilitate eight academic buildings at Rhode Island College. The two buildings planned for renovation as part of the fourth phase are Adams Library and Roberts Hall. Upon receiving the draft 2010 Master Plan, the College was informed that both of these buildings were in fair condition. The Board's request is for a feasibility study to be completed in the post-FY 2016 period. *The Governor recommended funding as requested.* **The Assembly concurred.**

Donovan Dining Center. The Board requested \$75,000 from College funds for a feasibility study to assess the need for future improvements to the dining center and ensure the College meets the needs of current and future students. The study is scheduled for FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Energy Performance Contract. The Board requested \$7.5 million from Certificates of Participation for a new project to enter into an energy performance contract with an energy services company to purchase energy saving improvements in buildings and infrastructure. The College has identified several facilities on campus that would be good candidates for an energy performance contract. The College is currently preparing a draft Request for Proposal with plans to finalize it during FY 2011. A contract with an energy services company is anticipated for FY 2012. *The Governor recommended funding as requested, but did not submit a resolution for Assembly approval of debt issuance.* **The Assembly took no action.**

Modernization/Renovation of Residence Halls. The Board requested \$175,000 from College funds for a feasibility study for a new project to assess and evaluate five of the six residential facilities on campus. The five facilities range from 19 to 46 years old with limited renovations done during those time periods. The study is scheduled for FY 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

New Residence Hall. The Board requested \$75,000 from College funds for a feasibility study for a new residence hall. The feasibility study will be conducted to assess and evaluate the College's ability to expand housing capacity by 350-400 beds. The study is scheduled for FY 2014. *The Governor recommended funding as requested.* **The Assembly concurred.**

Renovation/Addition Life Sciences Building. The Board requested \$75,000 from College funds for a feasibility study for FY 2011 for a new project to make renovations to the Fogarty Life Sciences Building. The College plans to expand life sciences programs with adequate and up to date classrooms, laboratories, and faculty offices. The renovation work in Fogarty Life Sciences Building includes improvements to the buildings envelope, interior spaces, handicap accessibility and upgrades to the HVAC and electrical systems. *The Governor recommended funding as requested.* **The Assembly concurred.**

Student Union Renovation. The Board requested \$125,000 from College funds for FY 2011 for a feasibility study of the Student Union. The feasibility study will be conducted to assess and evaluate the existing facility and program needs. The College would like to provide existing and future

students with the availability of more space and educational opportunity. *The Governor recommended funding as requested.* **The Assembly concurred.**

Academic Buildings Phase I. The Board requested \$42.4 million of which \$33.5 million is from new general obligation bond funds, \$8.8 million is from Rhode Island Capital Plan funds and \$150,000 is from College funds for the first phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College.

The 2010 Assembly provided \$8.8 million from Rhode Island Capital Plan funds for FY 2015 to begin a face lift renovation for four buildings. The current request reflects a full renovation to only Craig Lee Hall, and Gaige Hall and thereby increases the project cost by \$33.5 million. The Board indicated the revised project costs are current with the condition of each building. The Board's request assumes use of new general obligation bonds in FY 2012. The earliest this project could go on the ballot is November 2012. Funds would not be available until FY 2014.

The Governor recommended placing \$42.3 million on the November 2012 ballot and \$0.2 million from College funds for FY 2011. **The Assembly concurred.**

Academic Buildings Phase II. The Board requested \$225,000 from College funds for a feasibility study for the second phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College to be completed in FY 2013. In FY 2010 the College spent \$75,000 from College funds for program planning. The two buildings planned for renovation are Clark Science Hall and the Horace Mann Hall. *The Governor recommended funding as requested.* **The Assembly concurred.**

Art Center Facility. The Board requested \$18.8 million for the construction of a new 40,000 square foot Art Center Facility. The request includes \$17.0 million from general obligation bond funds that were approved by the voters on the November 2010 ballot. The request indicates that \$20,000 from asset protection funds was spent on a feasibility study prior to FY 2006. The College assumed an average cost of \$300 per square foot in 2008 dollars based on advice from an architectural firm that has done work for the College before. Total project costs are consistent with the approved capital plan. The project assumes the use of new general obligation bond proceeds for expenditure in FY 2011; however, funds will not be available until FY 2012 per Budget Office instructions.

The Governor recommended total funding of \$18.8 million programmed through FY 2013. He included \$20,000 from College funds and \$1.8 million from Rhode Island Capital Plan funds programmed through FY 2011 to begin the project and \$17.0 million from general obligation bond funds the voters approved on the November 2010 ballot. **The Assembly concurred.**

DCYF Phase III. The Board's request includes \$7.8 million from approved general obligation bond funds programmed through FY 2010 to complete the third phase of the facility renovations of the buildings vacated by the Department of Children, Youth and Families. Funding is consistent with the approved capital plan; the construction is complete and the project closeout is in its final phase. *The Governor recommended funding as requested.* **The Assembly concurred.**

Infrastructure Modernization. The Board requested \$22.6 million from Rhode Island Capital Plan funds and College funds to modernize and replace steam lines, water lines and the electrical distribution system. The College reported that the water supply lines consistently deliver rust-colored or brown water and that there are repeated power failures. The College indicated the project has increased \$11.3 million based on the infrastructure study completed by Pare; however, the College

reported it had not received a copy of that study yet. *The Governor recommended funding as requested.* **The Assembly concurred.**

Recreation Center Modernization. The Board’s request includes \$12.5 million for the modernization and renovation of the existing Rhode Island College Recreation Center. The 2009 Assembly approved the project and provided \$75,000 from College funds for FY 2009 for a feasibility study and the remaining \$11.3 million from revenue bonds backed by user fees for FY 2010 and FY 2011. The current request is \$1.1 million more than the approved plan due to changes in the project to include a new main entrance and an upgrade that allows the field house to be used as an event center. *The Governor recommended funding as requested.* **The Assembly concurred.**

Fire Safety Stimulus. Consistent with approved capital plan, the Board requested \$9.7 million from federal fiscal stabilization funds for fire safety projects at the College’s academic buildings. Based on the allocation of federal stabilization funds, assumed in the FY 2011 enacted budget, higher education’s share of the total \$134.9 million was \$27.3 million, with \$11.2 million available in FY 2011 for fire safety repair work at the institutions of higher education. Funding was distributed to the institutions based on the square feet of existing academic buildings that need fire safety improvements, and includes \$5.0 million for the University of Rhode Island, \$4.0 million for Rhode Island College, and \$2.3 million for the Community College of Rhode Island in FY 2011. All of the approved projects are underway within the planning, design, or construction phases and are scheduled to be complete by the end of September 2011.

Based on the final allocation between elementary and secondary education and higher education, higher education will receive \$28.1 million from federal fiscal stabilization funds. The College’s share is \$9.9 million; however, the capital budget reflects \$9.7 million apparently in error. **The Assembly enacted \$9.9 million.**

	Higher Education Stabilization Funds		
	FY 2010	Remaining Balance	Total
University of Rhode Island	\$ 818,551	\$ 11,680,375	\$ 12,498,926
Rhode Island College	37,623	9,888,698	9,926,321
Community College of Rhode Island	-	5,648,431	5,648,431
Total	\$ 856,174	\$ 27,217,504	\$ 28,073,678

Residence Hall. The Board’s request includes \$0.1 million for FY 2011 to make a final payment on the \$30.0 million residence hall. The hall is a six floor, 367 bed facility that opened in August 2007. The project was financed from general obligation bonds approved by the voters in November 2004 with the College using dorm revenues to reimburse the state for most of the debt service payments. *The Governor included \$149,863 to show final FY 2011 expenditures.* **The Assembly concurred.**

Roads/Parking/Entrances. Consistent with the approved capital plan, the Board requested \$9.1 million for improvements to the Hennessey Street alternative entrance to the College for service vehicles. Funding includes \$9.1 million from Rhode Island Capital Plan funds and \$20,000 from College funds. The 2008 Assembly provided \$0.6 million from Rhode Island Capital Plan funds for FY 2009 so that the College could purchase land from the Foundation.

The Governor recommended total funding of \$9.1 million programmed through FY 2015. He included \$20,000 from College funds and \$9.1 million from Rhode Island Capital Plan funds programmed from FY 2014 through FY 2015. **The Assembly concurred.**

University of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>University of Rhode Island</i>			
Behavioral Change Lab	New	\$ 2,647,553	\$ 2,845,473
Engineering Quad	New	22,496,235	102,500,000
Facilities Services Sector Upgrade	New	7,157,855	7,600,000
Kingston Campus Parking Garage	New	15,300,000	15,300,000
Utility Infrastructure	New	20,000,000	20,000,000
Biological Resource Lab	Revised	16,200,000	16,200,000
College of Pharmacy	Revised	3,500,000	75,200,000
Engineering Quad Advanced Planning	Revised	-	300,000
Environmental Biotechnology Center	Revised	-	59,410,595
Fine Arts Center Renovation	Revised	400,000	400,000
Fire Protection - Academic and Administrative	Revised	14,636,662	27,135,588
Hillside Residence Hall	Revised	24,024,349	45,695,000
New Chemistry Building	Revised	61,000,000	65,800,000
Nursing and Associated Health Building	Revised	60,000,000	60,175,000
Roger Williams Wellness Center	Revised	5,499,806	11,000,000
Athletic Training Facility	Ongoing	6,946,111	7,400,000
Beck Baseball Field Improvements	Ongoing	-	1,686,531
Energy Conservation Phase I	Ongoing	-	18,329,733
Energy Conservation Phase II	Ongoing	5,500,000	12,600,000
Fire Protection - Auxiliary Enterprise Buildings	Ongoing	2,284,323	18,205,000
North District Infrastructure	Ongoing	6,471,001	10,315,000
Ranger Hall	Ongoing	2,184,364	4,640,063
Repaving and Road Construction	Ongoing	8,179,783	13,725,000
Residence Halls Modernization/Renovations	Ongoing	-	65,680,354
Rodos Hellenic Center	Ongoing	-	4,226,423
Tyler Hall/Fraternity Acquisition	Ongoing	-	4,040,064
Total		\$ 284,428,042	\$ 670,409,824

Behavioral Change Lab. The Board's request includes a new \$2.8 million project to renovate the interior offices of the Psychology Department's Behavioral Change Research Facility. The new lab would be designed to National Institute of Health standards and be located in the University's Behavior Change Facility in the Chafee Social Science Center. The University has received a grant from the National Institute of Health to fund the entire project and expects the lab to be fully operational in FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Engineering Quad. The Board requested \$102.5 million from new general obligation bonds for renovations to buildings in the engineering quadrangle to begin in FY 2015. The Board requested that this project go on the November 2014 ballot for voter approval. The project would include renovations and additions to Bliss Hall, Crawford Hall, Gilbreth Hall, Kelly Hall and Annex, Wales Hall, and a replacement for the Sheets building serving the Ocean Engineering program at the Narraganset Bay campus. The project will focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators, and restrooms. The heating, ventilating, and air conditioning systems will also be replaced along with the roofs. The project encompasses 149,711 square feet of space. It should be noted that the University also requested renovations to Bliss

Hall as a separate project. *The Governor recommended funding as requested. The Assembly concurred.*

Facilities Services Sector Upgrade. The Board requested \$7.6 million from new revenue bonds to make improvements to the service sector area that houses the operations and maintenance functions, and stores equipment, furniture and supplies. The plan called for the demolition of the automotive garage and the grounds building. New buildings will accommodate equipment and furniture storage, automotive garages and any workshops necessary in the facilities compound while largely hiding the operations from public view. Assuming 20 years and 5.0 percent interest, annual debt service payments would be \$0.6 million for a total cost of \$12.2 million. The debt will be paid from the University's unrestricted budget; this includes general revenues, tuition and fees. *The Governor recommended funding as requested, but did not submit a resolution for Assembly approval of debt issuance. The Assembly took no action.*

Kingston Campus Parking Garage. The Board requested total funding of \$15.3 million from all sources, including \$14.4 million from revenue bonds backed by parking fees and \$0.9 million from University funds for FY 2012 and FY 2013 for the design and construction of a multi-level parking structure on a portion of the Chafee Hall faculty and staff parking lot. The project includes parking on five levels, including a sub-entry level, with a total of 561 parking spaces. Assuming 20 years and 5.0 percent interest, annual debt service payments would be \$1.1 million for a total cost of \$23.1 million. *The Governor recommended funding as requested, but did not submit a resolution for Assembly approval of debt issuance. The Assembly took no action.*

Utility Infrastructure. The Board requested \$20.0 million from new revenue bonds backed by general revenues and tuition and fees for two phases of utility infrastructure upgrades. Funding is programmed over FY 2012 and FY 2014 for phase I and from FY 2015 through post-FY 2016 for phase II. The project involves the replacement of the steam/condensate distribution system, water distribution system, electrical distribution system and the sanitary sewer system. This project has been requested for the past two years but neither the Governor nor the Assembly included it. The total cost, assuming 5.0 percent interest and a 20-year term is \$32.0 million with annual debt service of \$1.7 million.

[Staff note: The University indicated that the cost of the project has increased and is currently revising its estimate.]

The Governor recommended funding as requested, but did not submit a resolution for Assembly approval of debt issuance. The Assembly took no action.

Biological Resource Lab. The Board's request includes a \$16.2 million project backed by new revenue bonds to construct a 17,000 square foot biological resources laboratory designed to centralize and replace the numerous small laboratory animal care facilities on the Kingston Campus. The new lab would be designed to National Institute of Health standards and be located underground the new north district science courtyard and connected to the lower two floors of the new College of Pharmacy building. The approved capital plan includes \$15.0 million in federal grant funding from the National Institute of Health; however, the current request replaces those funds with revenue bonds because the University did not receive the grant. The increased cost reflects the change in the start date and fees associated with the cost of a bond issuance. *The Governor recommended funding as requested, but did not submit a resolution for Assembly approval of debt issuance. The Assembly took no action.*

College of Pharmacy. The Board's request includes \$75.2 million to design and construct a 155,000 square foot building to house the College of Pharmacy teaching laboratories, classrooms, research laboratories, faculty, staff and administrative offices, outreach programs, and the State Crime Lab. The request includes \$65.0 million from approved 2006 general obligation bonds and \$10.2 million from private donations. The current request is 5,000 square feet more than the previous request for additions to the building's envelope. The University received bids that were lower than anticipated and opted to increase the scope of the project rather than lowering the total cost. *The Governor recommended funding as requested.* **The Assembly concurred.**

Engineering Quad Advanced Planning. The Board requested \$0.3 million from University funds for FY 2011 for planning work on the University's engineering quad. The project will allow the University to study the building improvement priorities for the College of Engineering. The FY 2011 approved capital plan includes \$0.3 million from Rhode Island Capital Plan funds from the University's asset protection funds consistent with the Governor's recommendation. The University indicated that it believed the approved capital plan funded the project from University funds. *The Governor recommended funding as requested.* **The Assembly concurred.**

Environmental Biotechnology Center. The Board's request reflects a \$59.6 million project for expansion of the Biological Sciences Center. The voters approved \$50.0 million from general obligation bonds placed on the November 2004 ballot. The revised plan calls for the construction of a 140,000 square foot facility that will provide classrooms, laboratory facilities, animal care holding facilities, and office space. Funding includes \$50.0 million from general obligation bonds, \$5.6 million from Rhode Island Capital Plan funds, \$1.9 million from private sources, \$1.9 million from federal funds and \$0.2 million from authorized Certificates of Participation for education technology projects through the Department of Elementary and Secondary Education's budget.

The approved plan includes \$5.0 million from new federal funds. The University was not granted the National Institute of Health grant to build out the "shelled" laboratory and office space. The lab will remain shelled until funding is identified; this request reflects that revision.

The Governor recommended \$59.4 million in total funding for the Environmental Biotechnology Center. He showed the \$0.2 million from authorized Certificates of Participation for education technology projects in the systemwide SMART classrooms project; the project total has not changed. **The Assembly provided Rhode Island Capital Plan funding as recommended but shifted \$4.5 million from FY 2011 to FY 2012. Total funding is consistent with the recommendation.**

Fine Arts Center Renovation. The Board's request includes \$65.4 million from new general obligation bonds and Rhode Island Capital Plan funds to complete renovation and enhancement of the 120,720 square foot fine arts center on the Kingston Campus, which serves the University's program in the musical, theatrical, visual and graphic arts with construction to start in FY 2014. The approved capital plan includes \$65.4 million from Rhode Island Capital Plan funds for planning and construction. Construction was planned for FY 2014 to the post-FY 2016 period and planning was set for FY 2011. The University indicated it is requesting general obligation bond funds in lieu of Rhode Island Capital Plan funds because the original request was for general obligation bonds.

[Staff note: Funding this project from general obligation bonds will cost more than funding on a pay-as-you-go basis. Debt service would be paid from general revenues and tuition and fees.]

The Governor recommended total funding of \$0.4 million from Rhode Island Capital Plan funds for planning in FY 2012. **The Assembly concurred.**

Fire Protection – Academic and Administrative. The Board requested \$14.6 million from Rhode Island Capital Plan funds and \$12.2 million from federal fiscal stabilization funds for a project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University of Rhode Island’s academic and administrative buildings that are not presently equipped with sprinkler and addressable alarm systems. The project is programmed from FY 2010 through FY 2014. As part of his FY 2011 budget, the Governor recommended \$14.6 million from revenue bonds but did not submit a resolution for Assembly approval of the debt issuance. This is in addition to the \$12.2 million from federal stabilization funds allocated to fire safety projects as part of the fire safety-stimulus project.

The Governor recommended \$14.6 million from revenue bonds but did not submit a resolution for Assembly approval of debt issuance. Based on the final allocation between elementary and secondary education and higher education, higher education will receive \$28.1 million from fiscal federal stabilization funds. The University’s share is \$12.5 million; however, the capital budget reflects \$12.2 million apparently in error. The Assembly took no action on the debt issuance. The Assembly enacted \$12.5 million from fiscal federal stabilization funds.

	Higher Education Stabilization Funds		
	FY 2010	Remaining Balance	Total
University of Rhode Island	\$ 818,551	\$ 11,680,375	\$ 12,498,926
Rhode Island College	37,623	9,888,698	9,926,321
Community College of Rhode Island	-	5,648,431	5,648,431
Total	\$ 856,174	\$ 27,217,504	\$ 28,073,678

Hillside Residence Hall. The Board’s request includes a \$45.7 million project to demolish the small existing Terrace apartment buildings and construct a four or five story residence hall providing approximately 425 new beds. The request includes \$2.0 million from University funds for furniture, and beds and \$47.7 million from revenue bonds backed by room rents and authorized by the 2008 Assembly. The authorization assumes a project cost of \$37.0 million, of which \$1.0 million is from pay-go sources, a 30 year term and an interest rate of 5.25 percent for a total cost of \$89.0 million and annual debt service of \$3.0 million. Of the total, \$3.0 million will go to a debt service reserve fund and \$0.2 million is for the cost of issuance. *The Governor recommended funding as requested. The Assembly concurred.*

New Chemistry Building. The Board’s request includes a \$65.1 million project to design and construct a new chemistry building. This includes \$61.0 million from general obligation bonds approved by the voters on the November 2010 ballot to construct a 100,000 square foot building and \$4.7 million from Rhode Island Capital Plan funds. The project will provide classrooms, research laboratories, faculty and administrative offices. The 2010 Assembly approved \$4.7 million from Rhode Island Capital Plan funds in FY 2011 to begin the project.

The Governor recommended total funding of \$65.8 million for a new chemistry building at the University of Rhode Island. This includes \$61.0 million from general obligation bonds approved by the voters on the November 2010 ballot and \$4.8 million from Rhode Island Capital Plan funds to begin the project in FY 2011. The Assembly provided Rhode Island Capital Plan funding as recommended but reduced FY 2011 funding by \$2.0 million and added \$1.0 million to both FY 2012 and FY 2013. Total funding is consistent with the recommendation.

Nursing and Associated Health Building. The Board’s request includes \$60.0 million from new general obligation bonds and \$175,000 from Rhode Island Capital Plan funds to construct a new

120,000 square foot nursing building for the University of Rhode Island and Rhode Island College nursing programs in Providence. The University and College will share technology equipped classrooms, seminar rooms, lecture halls and a simulation center for nursing student training in realistic patient care settings. The project will also include health laboratories, computer and media labs, collaborative research, and outreach program space. The new project merges two separate proposals into one and would be close to the state's major hospitals located in Providence.

The Governor requested an amendment to his FY 2011 budget to add \$60.0 million to the November 2010 ballot for a new nursing sciences building in Providence for the University and College. Testimony before the committee indicated that the project was still in the early stages of planning with a number of unresolved issues. The Assembly provided only \$175,000 from Rhode Island Capital Plan funds for a feasibility study to include enrollment projections, operating costs, space sharing, governance plans, and a comparison to alternate plans for separate facilities. It did not authorize any specific project, pending that study. The University indicated the feasibility study began in the middle of February 2011.

*The Governor recommended placing \$60.0 million on the November 2012 ballot for expenditure in FY 2014, though he did not specify a preferred proposal. He also recommended the \$175,000 to be spent in FY 2011. **The Assembly concurred.***

Roger Williams Wellness Center. The Board requested \$11.0 million from private funds and University funds to renovate the Roger Williams Complex, a 29,618 square foot two-story masonry building constructed in 1969 into a student wellness and activity center. The approved capital plan includes \$2.4 million from privately raised funds and \$3.5 million from Rhode Island Capital Plan funds. The Board is requesting an additional \$4.1 million from University funds in lieu of Rhode Island Capital Plan funds. The University did not anticipate sufficient Rhode Island Capital Plan funds will be available for the increased project cost and requested these funds from University funds. The auxiliary fund sources that will be used over three fiscal years are \$2.5 million from the bookstore, \$2.0 million from health services, \$3.5 million from dining services, and \$1.0 million from housing and residential life. *The Governor recommended funding as requested. **The Assembly concurred.***

Athletic Training Facility. The Board requested \$7.4 million from private sources and revenue bonds for the construction of a student athlete development center adjacent to the Tootell Center and Keaney Gymnasium. The 2008 Assembly authorized the University to issue \$4.0 million from revenue bonds contingent on the University securing \$3.8 million from private fundraising before the debt can be issued. The authorization assumes a 20-year term and an interest rate of 5.25 percent for a total cost of \$6.6 million and annual debt service payments of \$0.3 million. The approved capital plan includes the \$4.0 million from revenue bonds but only \$3.4 million from private sources. The University may be required to seek additional authorization from the Assembly since it is not going to meet the \$3.8 million threshold of private fundraising before debt can be issued. *The Governor recommended funding as requested. **The Assembly concurred.***

Beck Baseball Field Improvements. The Board's request includes \$342,820 from a private donor in FY 2011 to complete \$1.7 million of renovations to the Beck Baseball Field. The last component, the indoor batting barn, will complete the facility enhancements. The University awarded the bid to Tower Construction and work began on the site in July 2010. *The Governor recommended funding as requested. **The Assembly concurred.***

Energy Conservation Phase I. The 2006 Assembly approved a resolution for a lease not to exceed \$18.1 million at the University and \$7.5 million at the College to enter into an energy performance

contract with an energy service company to purchase energy-saving improvements in buildings and infrastructure. The Board's request includes \$18.1 million for a lease/purchase agreement with Northeast Energy Services Company, and \$0.2 million from interest earnings. This is consistent with the approved capital plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

Energy Conservation Phase II. The 2006 Assembly approved a resolution for a lease not to exceed \$18.1 million at the University to enter into an energy performance contract to purchase energy-saving improvements in buildings and infrastructure. Based on the Board's FY 2011 request, the Governor recommended \$12.6 million for the second phase of this project. The Assembly authorized the debt as recommended. The Board requested \$12.6 million from Certificates of Participation consistent with the approved capital plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

Fire Protection – Auxiliary Enterprise Buildings. The Board requested \$18.2 million from revenue bonds to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University of Rhode Island's auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. This includes some of the older dorms, a dining hall and Memorial Union. The 2008 Assembly authorized the University to issue \$19.4 million from revenue bonds backed by student fees for this project. The 2010 Assembly approved \$18.1 million from revenue bonds based on revised cost estimates. *The Governor recommended funding as requested.* **The Assembly concurred.**

North District Infrastructure. Consistent with the approved plan, the Board requested \$10.3 million from revenue bond funds to support infrastructure improvements in the campus' north district for projects underway including the Center for Biotechnology and Life Sciences and the College of Pharmacy. The University noted that more funding than originally anticipated had to be used for these two projects to fund utility upgrades including electric, water, sewer and gas service. This project will fund on-site utility connections, drainage systems, walkways, parking areas, landscaping and demolition work. The 2008 Assembly authorized the University to issue \$11.2 million from revenue bonds for this project. The authorization assumes a project cost of \$10.0 million plus \$1.1 million for debt service reserve and \$0.1 million cost of issuance, a 15-year term and an interest rate of 5.25 percent for a total cost of \$31.9 million and annual debt service of \$1.6 million. The bonds were issued in June 2009. *The Governor recommended funding as requested.* **The Assembly concurred.**

Ranger Hall. The Board's request includes \$4.8 million for the rehabilitation and restoration of Ranger Hall. The building currently houses nutrition and food sciences, but will be converted from a lab-based building to one better suited for classroom and office use. Funding includes \$3.8 million from general obligation bond proceeds approved in 1996 and \$1.0 million from private sources. This is consistent with the approved capital plan. The project is scheduled to be completed during FY 2013.

The Governor recommended a total of \$4.6 million, including \$3.6 million from general obligation bond proceeds and \$1.0 million from private sources. **The Assembly concurred.**

Repaving and Road Construction. The Board requested \$13.7 million from revenue bonds backed by parking fees, general revenues and University funds for the third phase of the University's efforts to repave and reconstruct major parking facilities, internal roadways, and walkways. This is \$1.5 million less than the 2009 Assembly authorized the University to issue. The University was able to use \$1.3 million from excess debt service reserve funds and substantially reduced issuance costs for a total

savings of \$1.5 million. The project is programmed from FY 2009 to FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Residence Halls Modernization/Renovation. The Board's request does not include the \$65.3 million project to renovate and upgrade student residence halls and surrounding landscape at the Kingston Campus. *The Governor's budget included \$1.4 million of unspent funds for FY 2011.* **The Assembly concurred.**

Rodos Hellenic Center. Consistent with the approved capital plan, the Board's request includes the \$4.2 million project to construct a building for Hellenic Studies adjacent to and east of the Fine Arts Center on the Kingston Campus. Funding for the project is from private sources. The request programs the project through FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Tyler Hall/Fraternity Acquisition. Consistent with the approved capital plan, the Board's request includes \$3.2 million from revenue bonds for the purchase and renovation of the Theta Delta Chi fraternity houses located on University land on the Kingston Campus. The 2005 Assembly authorized the issuance of revenue bonds backed by the University's unrestricted budget. Bonds were issued in FY 2006. The request also includes \$795,064 from fraternity sources. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bliss Hall. The Board requested \$14.4 million from Rhode Island Capital Plan funds programmed over FY 2013 and FY 2014 for a new project to renovate Bliss Hall. This project includes the renovation of the entire building including the reconfiguration of classrooms and offices, modernizing teaching laboratories, upgrading the elevator and restrooms to meet accessibility codes, a new heating, ventilating and air conditioning system, a new roof, windows and other exterior improvements. It should be noted that renovations to Bliss Hall are also included as part of the engineering quad building project. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Classroom and Instructional Lab Improvements. The Board requested \$4.5 million from Rhode Island Capital Plan funds programmed for FY 2012 through FY 2014 for improvements to classrooms and instructional laboratory facilities within the University academic buildings that have not benefited from major renovations or are in the most need of adaptation. This new project includes finishes on walls and floors, window treatments, acoustical treatments, furnishings, and environmental conditions. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Davis Hall Renovation. The Board requested \$8.3 million from Rhode Island Capital Plan funds for FY 2015 and FY 2016 for a new project to renovate Davis Hall. Built in 1895, Davis Hall is the University's central building for the College of Engineering. Over the years, the building has had minor renovations to accommodate changes in programs, but has never been fully renovated. Renovations would include reconfiguration of classrooms, a new HVAC system, replacement of the elevator and restrooms to meet accessibility codes and windows. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Edwards Hall. The Board requested \$3.5 million from Rhode Island Capital Plan funds for a new project to make exterior and interior renovations to Edwards Hall. The building was constructed in 1928 as the University's assembly hall. Renovations include new doors and windows, handicap access improvements, historic restoration of the lobby and restroom improvements. This was requested as part of the FY 2009, FY 2010 and FY 2011 budgets but neither the Governor nor the Assembly

included it in the capital budget. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Exterior Renovation – Quinn Hall Phase II. The Board requested \$2.8 million from Rhode Island Capital Plan funds for FY 2013 and FY 2014 to make exterior repairs to Quinn Hall, built in 1936. This new project would renew the building envelope and exterior finishes by replacing the windows with new historic-style energy efficient units. Restoration work would include new gutters and downspouts, replacement of doors and windows, and stone re-pointing. Entries, walkway approaches and surrounding landscaping would also be addressed. This is the fourth year that this project has been requested but neither the Governor nor the Assembly approved it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Exterior Renovation – Roosevelt Hall. The Board requested \$3.4 million from Rhode Island Capital Plan funds for FY 2010 and FY 2011 to make exterior repairs to Roosevelt Hall, built in 1936. This new project would renew the building envelope and exterior finishes by restoring brickwork, replacing the slate roof, and replacing the windows with new historic-style energy efficient units. Restoration work would include repairs to roof soffits and new gutters and downspouts, replacement of doors and windows, and brick re-pointing. Entries, walkway approaches and surrounding landscaping would also be addressed. This project has been requested for the past three years, but neither the Governor nor the Assembly provided funding for it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Fogarty Hall Renovation. The Board requested \$15.0 million from Rhode Island Capital Plan funds for a new project to renovate Fogarty Hall to house administrative and business services as well as general assignment classrooms. Renovations would include the building envelope, mechanical systems, HVAC, and replacement or removal of leaking steam lines. This project has been requested for the past few years but neither the Governor nor the Assembly has included it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

GSO Campus Building Renovation. The Board requested \$0.3 million from Rhode Island Capital Plan funds for FY 2013 for planning work for the Graduate School of Oceanography facilities. This new project involves an architectural and engineering firm undertaking an evaluation and advance planning efforts for the development of building and systems renovations at the University's Narragansett Bay Campus. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Interior Renovations East Hall. The Board requested \$10.3 million from Rhode Island Capital Plan funds for FY 2014 and FY 2015 to make renovations to the interior of East Hall constructed in 1909. This new project will renovate the interior of the building including reconfiguring classrooms and offices, modernizing teaching laboratories, upgrading the elevator and restrooms to meet accessibility code, and replacing the HVAC system. Renovations to the exterior of the building including window replacement are requested as a new, separate project. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Morrill Hall Renovation. The Board requested \$17.5 million from Rhode Island Capital Plan funds for a new project to renovate Morrill Hall to house the department of nutrition and food science as well as general assignment classrooms. This 32,036 square foot, four-story masonry building was constructed in 1965 and will be vacated in 2009 when the Department of Cell and Molecular Biology relocates to the new center for biotechnology and life sciences. This project was requested in the past

few years but has not been included in the approved capital plan. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Pastore Hall Renovations. The Board requested \$30.6 million from Rhode Island Capital Plan funds for FY 2015 through post-FY 2016 to make renovations to Pastore Hall, constructed in 1952. This project will renovate the interior of the building including reconfiguring classrooms and offices, gas distribution systems, removing and modernizing laboratories, repairing an extensive number of fume hoods, and replacing the HVAC system. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Public Safety Building. The Board requested \$3.6 million from Rhode Island Capital Plan funds programmed over FY 2012 and FY 2013 for a new project to design and construct a new public safety building to house the campus police, parking, risk management and safety compliance offices of the University. The University recently consolidated the campus police and safety/risk management operations and would like to locate these departments in a common space. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Ranger Hall Phase II. The Board requested \$17.2 million from Rhode Island Capital Plan funds to continue the phased renovation of Ranger Hall. The initial project, begun in 1998, involved replacing the slate roof, windows and doors. The second phase would provide accessibility, mechanical system and HVAC upgrades as well as renovations to convert the laboratories into dry office and classroom space for the department of communication studies, the graduate school, the research office, affirmative action, and other academic support units. This project was requested for the past few years, but neither the Governor nor the Assembly included it in the approved capital plan. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Woodward Hall. The Board requested \$1.5 million from Rhode Island Capital Plan funds programmed over FY 2012 and FY 2013 for a new project to make renovations to Woodward Hall. This four-floor, 64,000 square foot science classroom building will have 8,600 square feet of interior renovations completed for use by the departments of nutrition and food science. This project will require Woodward Hall to have suitable wet and dry laboratory space, a teaching kitchen, and office renovations before the nutrition and food science departments can relocate from Ranger Hall. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Washburn Hall and East Hall Exterior Renovation. The Board requested \$0.6 million from Rhode Island Capital Plan funds to make exterior repairs to Washburn and East Halls. The goal of the project is to provide new life for the building envelopes by restoring the granite and making the buildings more energy efficient. These are two of the University's older granite buildings on the main quadrangle on the Kingston Campus. This new project would include the replacement of doors, stone re-pointing, and reconstruction of the south portico at Washburn Hall. East Hall's renovation would include the replacement of doors, stone re-pointing, and the reconstruction and painting of wood trim. Entries, walkway approaches and surrounding landscaping would also be addressed for both Washburn and East Hall. This project was requested for the past two years as separate projects, but neither the Governor nor the Assembly recommended it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Washburn Hall Interior Renovation. The Board requested \$9.2 million from Rhode Island Capital Plan funds for FY 2014 through FY 2016 for a new project to make interior renovations to Washburn Hall, built in 1921. This is one of the University's older granite buildings on the main quadrangle on the Kingston Campus. This project would update fixtures and finishes, upgrade classrooms, and

improve electrical, plumbing and HVAC systems. The project will also include new interior walls and a fire stair, modern fire-protection systems, and an elevator. The capital budget request also includes a new, separate project to renovate the exterior of Washburn Hall. This project has been requested in the past few budget requests, but neither the Governor nor the Assembly has provided funding for it. *The Governor did not recommend funding for this project. **The Assembly concurred.***

Rhode Island Atomic Energy Commission

Projects	Status	5 Year Total	Project Total
Asset Protection	Ongoing	\$ 150,000	\$ 430,000
Total		\$ 150,000	\$ 430,000
Sources of Funds			
Rhode Island Capital Funds		\$ 150,000	\$ 400,000
Federal Funds		-	30,000
Total		\$ 150,000	\$ 430,000

Summary. The Rhode Island Atomic Energy Commission requested \$250,000 from Rhode Island Capital Plan funds in the five-year period for its asset protection project consistent with the approved capital plan. Planned projects include completing the installation of security lighting and landscaping in the rear of the building, installing a new ceiling and floor in the former clean room and converting it to a laboratory. *The Governor recommended funding as requested through FY 2014. He did not recommend funding in FY 2015 and FY 2016.* **The Assembly concurred.**

Historical Preservation and Heritage Commission

Projects	Status	5 Year Total	Project Total
Eisenhower House Asset Protection	New	\$ 272,800	\$ 272,800
Heritage Harbor Museum	Ongoing	9,843,788	14,009,991
Total		\$ 10,116,588	\$ 14,282,791
Sources of Funds			
Rhode Island Capital Plan Funds		\$ 272,800	\$ 272,800
General Obligation Bonds		3,897,371	5,000,000
Other Funds		5,946,417	9,009,991
Total		\$ 10,116,588	\$ 14,282,791

Summary. The Historical Preservation and Heritage Commission submitted a five-year capital request that includes projects totaling \$14.0 million, of which \$8.1 million would be spent in the FY 2012 through FY 2016 period. *The Governor recommended total project funding as requested; however, he showed \$1.7 million previously included as already spent in FY 2014 based on a revised project schedule.* **The Assembly included funding totaling \$14.3 million for two projects, which is \$0.3 million more than recommended and included one additional project.**

Eisenhower House Asset Protection. Under the terms of the federal property transfer agreement, which transferred Fort Adams from the U.S. Navy to the state for use as a park, the state has an affirmative obligation to maintain and preserve the historical building. Subsequent to the Governor's recommendation, the Commission provided additional information to staff stating that internal and external repairs were necessary to the Eisenhower House to prevent further deterioration. *The Governor did not include any Rhode Island Capital Plan funds for this project.* **The Assembly provided \$272,800 from Rhode Island Capital plan funds in the FY 2012 through FY 2016 period, including \$75,000 in FY 2012 for chimney repointing, gutter repairs, and window replacement.**

Heritage Harbor Museum. The Commission requested total funding of \$14.0 million, including \$8.1 million in the FY 2012 through FY 2016 period for the construction of the Heritage Harbor Museum. Funding includes \$5.0 million of voter approved general obligation bonds, \$1.3 million from private and corporate donations, \$1.7 million from federal and state tax credits, and \$6.0 million the Heritage Harbor Museum Corporation anticipates it can raise to finance the non-bonded portion of the project.

Construction of the 55,000 square foot museum began in October 2007 but was halted in February 2009 due to construction financing problems of the development partner Struever Bros. Eccles, and Rouse, Inc. The restart date is unknown. *The Governor recommended total project funding as requested; however, he showed \$1.7 million previously included as already spent in FY 2014 based on a revised project schedule.* **The Assembly concurred.**

Office of the Attorney General

Projects	Status	5 Year Total	Project Total
Building Repairs and Renovations	Revised	\$ 1,087,500	\$ 2,640,345
Automated Fingerprint Identification System	Revised	750,000	1,219,746
Total		\$ 1,837,500	\$ 3,860,091
Sources of Funds			
Rhode Island Capital Funds		\$ 1,087,500	\$ 2,640,345
General Obligation Bonds		750,000	1,219,746
Total		\$ 1,837,500	\$ 3,860,091

Summary. The Office of the Attorney General's five-year capital plan includes two projects totaling \$4.1 million of which \$1.9 million would be spent in the FY 2012 through FY 2016 period. *The Governor recommended \$3.9 million of which \$1.8 million would be spent in the FY 2012 through FY 2016 period.* **The Assembly concurred.**

Building Repairs and Renovations. The Office requested \$1.2 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period, including \$0.4 million for FY 2011 for a project total of \$2.9 million for building repairs and renovations. This project includes window and air handler replacements, general interior renovations, elevator and security system upgrades, transformer replacement, flashing and masonry repairs, exterior re-pointing, piping infrastructure and rewiring data lines. This is \$0.3 million more than the approved plan to reflect additional funding requested for interior renovation expenses for FY 2016 and post-FY 2016. *The Governor recommended \$2.6 million, including \$1.1 million for the FY 2012 through FY 2016 period. This is \$40,000 more than previously approved levels.* **The Assembly concurred.**

Automated Fingerprint Identification System. The Office requested \$0.7 million from Rhode Island Capital Plan funds for FY 2013 to upgrade the software and hardware components of the Automated Fingerprint Identification System that currently functions in collaboration with the State of Connecticut. This is consistent with the approved plan. Rhode Island civil application data is collected and processed through a complex method that includes the involvement of local law enforcement agencies, the Office, Connecticut's shared system and the Federal Bureau of Investigation. At times, civil applications are not processed as quickly as the Office would prefer on the shared system. This upgrade includes new servers and web-based applications capable of connecting directly to the Federal Bureau of Investigation and thus, eliminates the delay period associated with using shared resources. The project was originally funded with \$0.5 million of general obligation bond proceeds approved in 2002 as part of the state police headquarters and fire training academy referenda.

The Governor recommended total funding essentially as requested, but substituted \$750,000 from available general obligation bond proceeds. These are excess proceeds from the total issuance that cannot be used on either the fire training academy or the state police headquarters. **The Assembly concurred.**

Department of Corrections

Projects	Status	5 Year Total	Project Total
Maximum General Renovations	Revised	\$ 1,925,000	\$ 4,497,786
Women's Roof, Masonry, & General Renovations	Revised	3,274,517	7,409,711
Women's Plumbing & Bathroom Renovations	Revised	1,645,800	2,129,602
Asset Protection	Revised	18,000,000	25,776,076
Minimum Security Kitchen Expansion	Revised	4,500,000	4,500,000
Medium Infrastructure	Revised	6,200,000	6,200,000
Women's Facility (Formerly Reintegration Center)	Ongoing	-	18,665,698
Bernadette Guay Building	Ongoing	-	788,076
Intake Service Center Renovations	Ongoing	5,400,000	5,500,000
Total		\$ 40,945,317	\$ 75,466,949
Sources of Funds			
Rhode Island Capital Funds		\$ 40,945,317	\$ 63,089,203
Federal Funds		-	12,377,746
Total		\$ 40,945,317	\$ 75,466,949

Summary. The Department of Corrections' five-year capital plan includes 10 projects totaling \$91.8 million of which \$40.3 million would be spent in the FY 2012 through FY 2016 period. The request includes one new project, six revised projects, and three ongoing projects. *The Governor recommended nine projects totaling \$74.5 million for the Department's capital budget including \$34.9 million for the five-year period.* **The Assembly included \$1.0 million more than recommended from Rhode Island Capital Plan funds for asset protection projects and shifted \$4.5 million from FY 2011 to later years based on updated schedules.**

Maximum General Renovations. The Department requested \$4.5 million from Rhode Island Capital Plan funds, including \$744,480 for FY 2011 to renovate the maximum security facility. These projects include replacing the electrical and distribution systems, renovating the building's plumbing system and the outdoor segregation yard, and refurbishing the arsenal/armory area in order to secure armaments utilized in riot control. This is \$2.7 million more than the approved plan to reflect the Department's revised estimates, which include several new project components including replacing the water pumps and control valves, repairing the condenser lines, and insulating the steam pipes in the basement of the facility and thereby, protecting the electrical system, which is currently being upgraded. *The Governor recommended funding essentially as requested with the exception of a \$13,157 adjusted for pre-FY 2011 expenses.* **The Assembly concurred.**

Women's Roof, Masonry, and General Renovations. The Department requested \$4.0 million from Rhode Island Capital Plan funds for FY 2011, including \$1.6 million carried forward from FY 2010 to renovate the Dix facility for re-use as office space for the staff currently housed at the Pinel and Bernadette Guay facilities. Renovations include storm water mitigation to basement grade levels, regrading the land area around the facility for proper water run-off, repairing water damaged ceilings and interior walls, and upgrading the electrical system to accommodate an office environment. This is \$0.1 million more than the approved plan to reflect the Department's revised estimates for the electrical system upgrade for FY 2012. *The Governor recommended funding as requested.* **The**

Assembly shifted \$2.8 million from Rhode Island Capital Plan funds from FY 2011 to later years based on updated schedules.

Women's Plumbing/Bathroom Renovations. The Department requested \$1.3 million from Rhode Island Capital Plan funds for FY 2011, including \$0.6 million carried forward from FY 2010 for shower and bath area renovations at the Dix facility. Renovations include removal of current plumbing and fixtures and installation of new equipment including toilets, sinks, lighting, tiles for bathroom walls, repainting of the ceilings and repairing the floors to convert current bathrooms and shower areas from a facility environment to an office environment. This is consistent with the approved plan. *The Governor recommended funding as requested.* **The Assembly shifted \$1.2 million from Rhode Island Capital Plan funds from FY 2011 to later years based on updated schedules.**

Asset Protection. The approved capital plan includes an asset protection project funded from Rhode Island Capital Plan funds. The Department's request includes \$16.3 million for the FY 2012 through FY 2016 period and \$3.7 million for FY 2011, of which \$1.2 million was carried forward from FY 2010, for a total of \$37.1 million for asset protection projects. This is \$15.0 million more than the approved plan to reflect the Department's revised cost estimates, including a steady annual funding request of \$3.0 million for FY 2016 through FY 2020.

The Governor recommended \$16.0 million for the five-year period, which is \$0.3 million less than requested. He recommended \$12.3 million less than requested for total project costs. He did not include funding for post-FY 2016. His recommendation also assumed use of \$0.5 million for the Bernadette Guay facility for pipe and water line replacements in FY 2013, formerly a stand alone project.

The Assembly included \$1.0 million more than recommended from Rhode Island Capital Plan funds for asset protection projects. This includes a reduction of \$1.0 million in FY 2011, of which \$0.5 million is shifted to FY 2012 based on updated schedules. The Assembly also added \$1.5 million for FY 2012 and FY 2013 for facility upgrades to accommodate for population consolidation and closure of the Donald Price facility.

Minimum Security Kitchen Expansion. The Department requested \$4.5 million from Rhode Island Capital Plan funds, including \$0.3 million for FY 2012 and \$4.2 million for FY 2013 for expansion and installation of new equipment to increase the minimum security facility's existing kitchen capacity to accommodate the inmate population. The funding request is consistent with the approved plan, but reprogrammed a year later to allow enough time for the demolition of Building D, which is preventing potential vendors from generating accurate bid projections. *The Governor recommended funding as requested.* **The Assembly concurred.**

Medium Infrastructure. The Department requested \$6.2 million from Rhode Island Capital Plan funds for FY 2012 through FY 2014 for Medium Moran infrastructure improvements. This total is consistent with the approved plan, but reprogrammed a year earlier to reflect the Department's revised project schedule. This project includes kitchen and laundry expansion, including additional showers, added dining spaces, furniture and equipment replacement, and relocation of the sally port. The original designed capacity of the ancillary support spaces for the Moran facility was 650 inmates, but the maximum capacity of the facility is 1,066. For FY 2009, the Department averaged 1,028 inmates, which was 38 inmates below the maximum capacity. The Department averaged 1,021 inmates in FY 2010. In addition, the Department averaged 312 inmates for the Medium Price facility for FY 2010 or

48 inmates below the maximum capacity for this facility. *The Governor recommended funding essentially as requested, but shifted \$1.2 million from FY 2012 to FY 2013.* **The Assembly concurred.**

Women's Facility (Formerly the Reintegration Center). Consistent with the approved plan, the Department requested \$1.4 million from Rhode Island Capital Plan funds not used in FY 2010 for FY 2011 to complete retrofitting the recently completed \$17.2 million Reintegration Center as a new women's facility. This project includes retrofitting the bathroom areas to accommodate the female population, installing cell doors and masonry blocks to address privacy concerns, improving the medical wing, upgrading the mechanical room for ventilation and lighting enhancements, and increasing security and surveillance for a secured prison population. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bernadette Guay Building. The Department requested \$0.8 million from Rhode Island Capital Plan funds, including \$0.4 million carried forward from FY 2010 to FY 2011 to renovate the Bernadette Guay facility's showers, dining spaces, visitation room, and classrooms. This project also includes security improvements in order to utilize the building as a women's minimum security facility. This request is consistent with the approved plan. *The Governor recommended funding essentially as requested, but showed \$57,004 requested for FY 2011 as spent in FY 2010.* **The Assembly concurred.**

Intake Service Center Renovations. The Department requested \$5.5 million from Rhode Island Capital Plan funds, including \$0.1 million for FY 2011, \$1.4 million for FY 2012 and \$4.0 million for FY 2013 for restoration expenses for the building's exterior, including window and roof replacement. This project also includes repairing the Intake Service Center's heat, ventilation, and air conditioning system. This is consistent with the approved plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

Range Facility. The Department requested \$5.1 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period for the construction of an indoor range facility. This project is independent from the approved capital plan which includes \$150,000 for FY 2012 for the Department of Administration to perform a feasibility study for the construction of an interdepartmental weapons range for use by multiple state departments. This project has been previously requested, but not approved. The Department is incurring approximately \$150,000 annually for facility rental expenses, including equipment, mileage reimbursement, and overtime. *This project is not included in the Governor's recommendation.* **The Assembly concurred.**

Judicial Department

Projects	Status	5 Year Total	Project Total
Judicial Complexes - HVAC	Revised	\$ 3,100,000	\$ 5,571,892
Licht Judicial Complex Restoration	Revised	4,000,000	4,000,000
Law Library Restoration	Revised	-	317,215
Judicial Complexes Asset Protection	Ongoing	3,250,000	5,862,000
Judicial Complexes Technology Improvements	Ongoing	2,777,078	13,900,000
Total		\$ 13,127,078	\$ 29,651,107
Sources of Funds			
Rhode Island Capital Funds		\$ 10,350,000	\$ 15,433,892
Certificates of Participation		2,777,078	13,900,000
Restricted Receipts		-	317,215
Total		\$ 13,127,078	\$ 29,651,107

Summary. The Judiciary's five-year capital plan includes five projects totaling \$46.6 million, of which \$24.1 million would be spent in the FY 2012 through FY 2016 period. This is \$12.9 million more than the approved plan to reflect the Department's revised estimates and a wider scope of the approved projects. *The Governor recommended \$29.7 million for the Judiciary's capital budget, including \$13.1 million for the five-year period. His recommendation is \$16.9 million less than requested.* **The Assembly concurred.**

Judicial Complexes - HVAC. The Judiciary requested \$4.7 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period and \$300,000 for FY 2011 for the replacement and restoration and cleaning of the heating, ventilation and air conditioning system for all judicial complexes including Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal and Fogarty Judicial Annex. The project total of \$11.1 million is \$6.3 million more than the approved plan and \$4.6 million more than the amount requested last year to reflect the Department's revised estimates and a wider project scope, which includes \$1.1 million for FY 2016 and \$3.9 million for the out-years. Additional work includes water pump and boiler installation and the replacement of water lines, fan coils, exhaust fans, air handlers, baseboard control valves, the variable air volume box, and the domestic water system. Also, the Department included a 7.1 percent escalation factor for the FY 2012 through FY 2016 period to reflect incremental increases to the project costs each fiscal year.

The Governor recommended \$3.1 million for the five-year period. He recommended \$5.5 million less than requested for total project costs. His recommendation is consistent with previously approved levels and adds \$0.8 million for FY 2016. **The Assembly concurred.**

Licht Judicial Complex Restoration. The Judiciary requested \$10.5 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period for the restoration of the Licht Judicial Complex. This is \$6.5 million more than the approved plan to reflect a much larger project scope. The approved plan provided funding for plaster repair, courtroom benches restoration, carpet replacement and interior renovation of the courthouse. This request is renewed from last year to include renovations to the Supreme Court, the Bourcier Lounge and the Supreme Court conference room. Other additions to the project include woodwork and ornamental plaster restoration, repairs of

the Courthouse's outside doors at the Benefit Street entrance, and fixing the outdoor floor at the North Main Street entrance. *The Governor recommended \$4.0 million, which is \$6.5 million less than requested, consistent with previously approved levels.* **The Assembly concurred.**

Law Library Restoration. The Judiciary requested \$0.4 million from restricted receipts, including \$182,183 for FY 2011 for repair and infrastructure upgrades to the State Law Library located in the Licht Judicial Complex. The request correctly reflects the Champlin Foundation grant award anticipated for FY 2011 to restore the Law Library's walls and ceilings, as well as painting and infrastructure upgrades for the eighth and ninth floor lobbies. *The Governor recommended \$0.3 million, which is \$0.1 million less than requested based on available funds awarded for FY 2011.* **The Assembly concurred.**

Judicial Complexes Asset Protection. The Judiciary requested \$6.1 million from Rhode Island Capital Plan funds for the FY 2012 through FY 2016 period and \$550,787 for FY 2011, for a total of \$10.7 million for asset protection projects. This is \$6.1 million more than the approved plan to reflect the Department's revised cost estimates primarily for interior and exterior refurbishments, security or safety improvements, toilet replacements and courtroom renovations. The asset protection projects also include elevator upgrades, courtyard drain repairs, dome restorations and cellblock improvements. This request also includes an additional \$3.2 million or \$1.2 million for FY 2016 and \$2.0 million for the out-years, including \$1.0 million for each FY 2017 and FY 2018. The asset protection account reflects the nature of the work being undertaken and allows for greater agency flexibility when prioritizing these types of projects.

The Governor recommended \$5.9 million for the Judiciary's asset protection projects, which is \$4.9 million less than requested. His recommendation is consistent with previously approved levels, with the exception of adding \$25,000 for FY 2015 and \$0.7 million for FY 2016. **The Assembly concurred.**

Judicial Complexes Technology Improvements. The Judiciary requested \$13.9 million from Certificates of Participation, including \$1.0 million for FY 2011 for courts technology improvements. Technology improvements include electronic case filing, case management software and systems upgrades, online indigent defense timecard system, digital recording of courtroom proceedings, development and implementation of a new financial management system, Garrahy computer center upgrades, and purchased services and other equipment. This is consistent with the approved plan, but reprogrammed a year later to purposely delay the completion of this project and thereby delay the request for additional funds for the new systems' licensing and maintenance expenses.

The Governor recommended funding as requested. His recommendation is consistent with previously approved levels, but reprogrammed a year later to delay a new funding request for this project based on the Department's revision. **The Assembly concurred.**

Military Staff

Projects	Status	5 Year Total	Project Total
Emergency Management Building	New	\$ 125,000	\$ 125,000
Bristol Readiness Center	New	625,000	625,000
Burrillville Regional Training Institute	New	275,000	275,000
Armory of Mounted Commands	Revised	4,565,000	9,350,308
Asset Protection	Revised	3,280,000	6,114,629
Federal Armories Fire Code Compliance	Revised	75,000	437,576
Quonset Point Hanger	Revised	-	34,431,727
Benefit Street Armory	Ongoing	800,000	817,000
United States Property & Fiscal Office Roof	Ongoing	-	539,900
Field Maintenance Shop #2 Roof	Ongoing	400,000	415,000
Camp Fogarty Armory Roof	Ongoing	1,500,000	1,500,000
Command Readiness Center Addition	Ongoing	675,000	675,000
Field Maintenance Shop #3 Windows	Ongoing	-	65,000
State Armories Fire Code Compliance	Ongoing	90,000	758,750
Logistics/Maintenance Fac. Fire Code Compliance	Ongoing	50,000	330,000
Quonset Point Airport Tower	Ongoing	-	7,700,000
Total		\$ 12,460,000	\$ 64,159,890
Sources of Funds			
Rhode Island Capital Funds		\$ 7,241,250	\$ 14,842,779
Federal Funds		5,218,750	48,607,111
Other Funds		-	710,000
Total		\$ 12,460,000	\$ 64,159,890

Summary. The Military Staff submitted a capital budget totaling \$64.0 million for 23 projects, six are new. The request included \$12.1 million for FY 2012 through FY 2016, \$6.5 million more than the approved plan, primarily for the new projects and adds \$0.4 million from all funds for FY 2011 and \$10.8 million for FY 2016. *The Governor recommended a total of 21 projects and \$64.2 million from all sources. This is \$0.2 million more than requested and \$0.3 million more for FY 2012 through FY 2016.* **The Assembly concurred; however, shifted \$1.4 million from Rhode Island Capital Plan funds to later years to reflect updated spending projections.**

Emergency Management Building. The Military Staff requested \$0.1 million from Rhode Island Capital Plan funds for FY 2012 for a feasibility study for a new Rhode Island Emergency Management Agency building. This project would allow the Agency to construct a new and separate facility that would house administration offices, the State Emergency Operations Center and equipment storage. The current capital request also includes a project for an addition to the Command Readiness Center to add space for the Emergency Management Agency. The Military Staff indicates the additional project would still be required to meet the Homeland Security standard of having a back up functional location during a statewide emergency. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bristol Readiness Center. The Military Staff requested \$0.7 million from all sources for a new project to renovate an existing site and construct a new armory facility in Bristol that will meet all updated requirements and is capable of supporting two Rhode Island National Guard units and a field maintenance shop. This project is the result of completion of a master plan. The existing West Bay armories and field shop do not meet the standards or needs of the National Guard. The Military Staff indicates that the design will be modeled after a similar facility in Minnesota; however, can provide no further details. The request includes \$0.4 million from Rhode Island Capital Plan funds and \$0.3 million from federal funds for FY 2014 through FY 2016 to conduct a feasibility study in FY 2013. The construction of the facility will be 100 percent federally funded. *The Governor recommended \$25,000 less than requested. The National Guard intended to use \$25,000 from Asset Protection funds to conduct an environmental study of the property.* **The Assembly concurred.**

Burrillville Regional Training Institute. The Military Staff requested \$0.3 million for planning costs related to a new Regional Training Institute to be located on 133 acres of land in Burrillville. The Rhode Island National Guard plans to construct a state-of-the-art education facility that will provide sufficient space to train soldiers on the essential skills of land navigation, map reading and compass reading. The facility will consist of administration, general instruction, dining and individual sleeping quarters. Total square footage is estimated at 150,000 square feet, similar to the Camp Rell facility in Niantic, Connecticut. This includes \$0.2 million from federal funds for environmental remediation in FY 2012 and \$0.1 million from Rhode Island Capital Plan funds for a feasibility study in FY 2013. The construction of the facility will be 100 percent federally funded. *The Governor recommended funding as requested.* **The Assembly concurred.**

Armory of Mounted Commands. The Military Staff's request includes total costs of \$7.4 million from all sources for the fourth and final phase of renovations to the Armory of Mounted Commands. This phase will replace the heating and air conditioning systems as well as lead and asbestos abatement and includes \$2.5 million for all sources for the five year period, including \$1.5 million from Rhode Island Capital Plan funds. The request also includes \$0.6 million for FY 2011 from Rhode Island Capital Plan funds and is consistent with the approved plan. *The Governor recommended a total project of \$7.5 million from all sources, \$0.1 million more than requested and shifted \$0.2 million from the current year to FY 2013 to reflect a revised project schedule.* **The Assembly reduced FY 2011 expenditures by \$1.0 million and shifted funds to out-years based on revised project schedules. It also shifted the Armory of Mounted Commands elevator project, the Headshed roof project, the parking lot repaving and repointing projects and all associated funding into this project as noted below.**

Asset Protection. The Military Staff requested total funding of \$4.0 million from all funds for asset protection projects, of which \$1.2 million is from Rhode Island Capital Plan funds for the period FY 2012 through FY 2016. The request is consistent with the approved plan and includes \$0.6 million for FY 2011 of which \$0.3 million is Rhode Island Capital Plan funds. The request does not include federal funds although all projects have some degree of federal matching funds. *The Governor recommended a total of \$4.3 million from all sources, \$0.2 million more than requested.* **The Assembly shifted \$0.9 million recommended for the repaving parking lots project for the Command Readiness Center and Schofield Armory into asset protection and shifted \$1.0 million from FY 2011 asset protection spending to FY 2012.**

Federal Armories Fire Code Compliance. The Military Staff requested a total of \$0.4 million for the design and installation of automatic fire detection and suppression systems in all Rhode Island National Guard buildings. The request is consistent with the approved plan and includes \$105,000 from all sources for FY 2012 through FY 2016, including \$18,750 from Rhode Island Capital Plan funds. It

also includes \$25,000 for FY 2011 of which \$6,250 is from Rhode Island Capital Plan funds. *The Governor recommended \$2,500 less than requested to reflect revised project expenditures.* **The Assembly concurred with total spending; however, shifted \$50,000 from Rhode Island Capital Plan funds from FY 2011 to FY 2012.**

Quonset Point Hangar. The Military Staff requested \$34.4 million for land acquisition and construction of a new maintenance hangar and armory at Quonset Point, consistent with the approved plan. This facility will provide the needed space and modern facilities for the Blackhawk helicopters and will modernize the Rhode Island National Guard Army aviation armory. The request includes \$4.4 million from Rhode Island Capital Plan funds and \$30.0 million from federal funds. Construction is in its final phase and is expected to be completed during the spring of FY 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Benefit Street Armory. The Military Staff requested a total of \$817,000 from Rhode Island Capital Plan funds, including \$800,000 for FY 2014 to complete the continued rehabilitation of the Benefit Street Armory. The historical structure was built in 1843 and must be maintained in accordance with Rhode Island General Laws and its status on the national register of Historical Places. Funds will be used for exterior masonry work, painting, window and shutter repair, interior electrical, water damage repair, fire alarm and sprinkler installation and emergency egress. The request is consistent with the approved plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

United States Property and Fiscal Office Roof. The Military Staff requested \$539,900 from Air National Guard stimulus funds to replace the roof on the United States Property and Fiscal Office building located at 330 Camp Street in Providence. The agency is requesting all funding in FY 2011. The roof project was completed on June 23, 2010. *The Governor recommended funding as requested.* **The Assembly concurred.**

Field Maintenance Shop #2 Roof. The Military Staff requested a total of \$0.4 million from all sources to replace the field maintenance shop #2 roof located in Warren. This includes \$15,000 from Rhode Island Capital Plan funds and is consistent with the approved plan. Construction is scheduled for FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Camp Fogarty Armory Roof. The Military requested a total of \$1.5 million including \$375,000 from Rhode Island Capital funds and \$1,125,000 of matching federal funds for FY 2013 to replace the roof at the Camp Fogarty Armory. The request is consistent with the approved plan. Renovations to the roof are not adequate and the roof membrane and some understructure needs to be completely replaced. The project is scheduled for FY 2013. The agency indicates that it does not want to commit its asset protection funds to this project due to the high cost. *The Governor recommended funding as requested.* **The Assembly concurred.**

Command Readiness Center Addition. The Military Staff requested a total of \$675,000 to construct an addition to the existing command readiness center cafeteria. This includes \$250,000 from federal funds for construction and \$425,000 from Rhode Island Capital Plan funds for a feasibility study, design and construction. This is consistent with the approved plan. During state emergencies, this area is utilized by the Emergency Management Agency as a conference and gathering area. The addition will provide for a primary cafeteria space and the existing cafeteria will be permanently dedicated for Emergency Management Agency use.

The current capital request also includes a project for a new Emergency Management building. If a new building is approved, this addition will be used as back up space as required by Homeland

Security standards. The project will add approximately 1,500 square feet and is scheduled for design in FY 2012 and construction in FY 2013. The request indicates that the agency plans to use Rhode Island Capital Plan asset protection funds for the design portion of the project; however, this project does not appear to meet the definition of asset protection. *The Governor recommended funding as requested.* **The Assembly concurred.**

Field Maintenance Shop #3 Windows. The Military Staff requested a total of \$65,000 from federal stimulus funds to replace the deteriorated windows in the field maintenance shop #3 located on Airport Road in Warwick. The project is completed; however, it is included in the Military's FY 2012 through FY 2016 request. *The Governor recommended funding as requested.* **The Assembly concurred.**

Repaving Parking Lots for Command Readiness Center & Schofield Armory. The Military Staff requested \$1.8 million from all sources to repave the existing Command Readiness and Schofield Armory parking lots and roadways. The request also includes the construction of a new entry control facility to improve security at the facility and meet anti-terrorism force protection regulations. The request is consistent with the approved plan and includes \$0.9 million from Rhode Island Capital Plan funds and \$0.9 million from federal funds for FY 2012 through FY 2016. *The Governor recommended funding as requested, but shifted the \$50,000 requested in FY 2012 to FY 2013.* **This project meets the definition of an asset protection project; therefore, the Assembly shifted \$0.9 million from Rhode Island Capital Plan funds to asset protection for this project as previously noted.**

State Armories Fire Code Compliance. The Military Staff requested a total of \$708,777 from all sources for fire alarm installation at the State Armories. The request includes \$90,000 for the period FY 2012 through FY 2016, of which \$45,000 is from Rhode Island Capital Plan funds. The request also includes \$50,000 for FY 2011. This is consistent with the approved plan. *The Governor recommended funding as requested for the five-year period, and added \$49,973 to FY 2011 for a total project cost of \$758,750.* **The Assembly concurred with total spending; however, shifted \$155,000 from Rhode Island Capital Plan funds from FY 2011 to FY 2012.**

Logistics/Maintenance Fac. Fire Code Compliance. The Military Staff requested a total of \$330,000 for the design and installation of an automatic fire alarm system that is in compliance with state law at the Logistic and Maintenance Facilities numbers 2, 3 and 4. The request is consistent with the approved plan. This includes \$12,250 from Rhode Island Capital Plan funds and \$37,500 from federal funds for the five-year period. It also includes \$25,000 for FY 2011, of which \$6,250 is from Rhode Island Capital Plan funds. The installation began in FY 2009 and is scheduled to continue through FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred with total spending; however, shifted \$65,563 from Rhode Island Capital Plan funds from FY 2011 to FY 2012.**

Quonset Point Airport Tower. The Military Staff requested \$7.7 million from federal funds to construct a new Quonset Point air and control tower for the Army and Air National Guard at Quonset Point Airport. This is \$0.7 million more than the initial estimate. The existing tower provides air traffic control for Air National Guard C-130 J Model aircraft and Blackhawk helicopters. This tower is outdated and scheduled to be torn down. A project location has been established, project design has been completed and construction is scheduled to be executed in FY 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Armory of Mounted Commands Repointing. The Military Staff requested a total of \$180,000 for the repointing of the Armory of Mounted Commands building in FY 2016. The primary area for brick and mortar replacement begins on the north side of the building, wraps around the northeast side and is expanding to the south wall. This includes \$90,000 from Rhode Island Capital Plan funds matched by a like amount of federal funds. *The Governor recommended funding as requested.* **The Assembly shifted \$90,000 from Rhode Island Capital Plan funds for the Armory of Mounted Commands Repointing project to the Armory of Mounted Commands project as previously noted.**

Armory of Mounted Commands Headshed Roof. The Military Staff requested \$0.4 million for the replacement of the Armory of Mounted Commands main administrative building roof. This project will include replacing the existing structure with a vinyl membrane roofing system before it causes significant damage to structural beams and interior finishes. This new project includes \$220,000 from Rhode Island Capital Plan funds, including \$20,000 for design and \$200,000 for construction. The project is slated for design in FY 2014, with construction in FY 2015. *The Governor recommended funding as requested.* **The Assembly shifted \$0.2 million from Rhode Island Capital Plan funds for the Armory of Mounted Commands Headshed Roof project to the Armory of Mounted Commands project as previously noted.**

Armory of Mounted Commands Parking Lot. The Military Staff requested a total of \$250,000 from all sources to repair and expand the existing parking areas on the north side of the Armory of Mounted Commands building. The existing parking areas are not adequate in size to handle the volume of vehicles that utilize this facility. The request is consistent with the approved plan and includes \$150,000 from Rhode Island Capital Plan funds, of which \$50,000 is for design. The project is scheduled for design in FY 2013, with construction in FY 2014. *The Governor recommended funding as requested.* **The Assembly shifted \$0.2 million from Rhode Island Capital Plan funds for the Armory of Mounted Commands parking lot project to the Armory of Mounted Commands project as previously noted.**

Armory of Mounted Commands Elevator. The Military Staff requested a total of \$1.0 million from all funds to install an elevator in the Armory of Mounted Commands. The request is consistent with the approved plan and includes \$540,000 from Rhode Island Capital Plan funds, of which \$65,000 is for design in FY 2014. Currently, the four story building is not compliant with the Americans with Disabilities Act. Construction is scheduled for FY 2015. *The Governor recommended funding as requested.* **The Assembly shifted \$0.5 million from Rhode Island Capital Plan funds for the Armory of Mounted Commands elevator project to the Armory of Mounted Commands project as previously noted.**

Bristol Armory Roadway and Parking Lot. The Military Staff requested a total of \$80,000 for a new project to install a roadway to and from the controlled humidity preservation building in FY 2014. The continued vehicle traffic has caused excessive potholes in the unpaved area causing damage to military vehicles and equipment. This includes \$40,000 from Rhode Island Capital Plan funds and \$40,000 from federal funds. It appears that this project should have been part of the asset protection request. *The Governor did not recommend this as a separate project, and supported documents indicate it should be funded from the asset protection project.* **The Assembly did not include funding for this project.**

Woonsocket Building Demolition. The Military Staff requested a total of \$71,250 from Rhode Island Capital Plan funds for the demolition of one of the organizational maintenance shop buildings in Woonsocket. This request appears to be in error as work was completed in September 2009 at a total

cost of \$46,540. *The Governor did not include this project as it was completed in FY 2010.* **The Assembly concurred.**

Department of Public Safety

Projects	Status	5 Year Total	Project Total
DoIT/Treasury/State Police - 50 Service Avenue	New	\$ -	\$ 175,000
Headquarters Complex Expansion	Revised	800,000	800,000
Headquarters Repairs/Renovations	Revised	450,000	1,654,498
Barracks Renovations	Revised	5,810,000	8,225,021
State Microwave/IT Upgrade	Revised	1,000,000	7,070,000
State Fire Training Academy	Revised	2,825,000	9,225,000
Parking Area Improvements	Revised	750,000	826,090
New Headquarters	Ongoing	-	30,652,141
Total		\$ 11,635,000	\$ 58,627,750
Sources of Funds			
General Obligation Bonds		\$ -	\$ 10,739,904
Rhode Island Capital Funds		11,635,000	47,887,846
Total		\$ 11,635,000	\$ 58,627,750

Summary. The Department of Public Safety submitted a five-year capital request that includes projects totaling \$60.3 million, of which \$12.4 million would be spent in the FY 2012 through FY 2016 period. This is \$3.2 million more than the approved capital plan, including \$2.1 million of funds that were unspent in FY 2010. *The Governor recommended projects totaling \$58.6 million with \$10.6 million from Rhode Island Capital Plan funds to be spent in the FY 2012 through FY 2016 period. The Assembly included funding totaling \$58.6 million with \$11.6 million from Rhode Island Capital Plan funds to be spent in the FY 2012 through FY 2016 period, which reflects shifting funding to later years.*

DoIT/Treasury/State Police – 50 Service Ave. The Department requested \$175,000 from Rhode Island Capital Plan funds for repairs and renovations at 50 Service Avenue in Warwick during FY 2011. This is a recently purchased 80,000 square foot building that the Department will share with the Division of Information Technology and the General Treasurer. It will replace leased office space for the Internet Crimes Against Children task force and the High Intensity Drug Trafficking Unit. *The Governor recommended funding as requested. The Assembly concurred.*

Headquarters Complex Expansion. The Department requested \$800,000 from Rhode Island Capital Plan funds for FY 2011 through FY 2013 for repairs and renovations to the Headquarters Complex. Total project funding is consistent with the approved capital plan; however, the Department advances \$100,000 from FY 2013 to FY 2012 to expedite the replacement of damaged roofs. *The Governor recommended total project funding as requested and enacted; however, shifted \$50,000 from FY 2011 and \$100,000 from FY 2012 to FY 2013 due to a revised project schedule. The Assembly concurred.*

Headquarters Repairs/Renovations. The Department requested \$634,979 from Rhode Island Capital Plan funds for FY 2011 through FY 2014 for repairs and renovations at the State Police Headquarters in North Scituate, \$250,000 more than the approved capital plan. This is in addition to \$84,979 not spent in FY 2010 due to project delays. The Department includes \$100,000 in FY 2013 and \$150,000 in FY 2014 from Rhode Island Capital Plan funds for repairs to the radio and intelligence buildings.

The renovations to the radio building were previously not included in the approved capital plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

Barracks Renovations. The Department requested \$8.3 million from Rhode Island Capital Plan funds for the continued renovations to State Police barracks, \$1.6 million more than the approved capital plan. This additional funding is for the construction of new office space and training room areas at the training academy in FY 2015. This request includes pre-FY 2011 expenditures of \$0.5 million, \$1.9 million for FY 2011, \$1.0 million for FY 2012, \$1.8 million for FY 2013, \$1.4 million for FY 2014 and \$1.7 million for FY 2015. This request includes \$0.4 million of funds that were unspent in FY 2010. *The Governor recommended a reduction of total project funding by \$45,000. This includes the advancement of \$100,000 from FY 2015 to FY 2014 to expedite the project and the reduction of \$45,000 in FY 2015.* **The Assembly concurred.**

State Police Microwave/IT Upgrade. The Department of Public Safety requested a total of \$7.1 million from Rhode Island Capital Plan funds for the State Police Information Technology and Microwave Ring Upgrade. The Department requested \$4.1 million for the microwave upgrade in FY 2011; this includes \$1.6 million that was unspent in FY 2010 due to project delays. The project will be completed in FY 2011 to coincide with completion of the new State Police Headquarters to provide telecommunication and related equipment for that facility. *The Governor recommended funding as requested, but shifted \$0.2 million of Rhode Island Capital Plan funds from FY 2011 to FY 2010 to reflect actual expenditures.* **The Assembly concurred with total funding for the project; however, shifted \$1.0 million from FY 2011 to FY 2012 due to project delays.**

State Fire Training Academy. The Department requested \$10.7 million from all sources for FY 2011 through FY 2013, including \$6.4 million from general obligation bonds and \$4.3 million from Rhode Island Capital Plan funds to build a State Fire Training Academy. This is \$4.3 million more than the approved capital plan. The voters approved the issuance of \$6.4 million from general obligation bonds in November 2002; however, the project had been delayed pending final site selection.

The Department notes that the current allocation is no longer enough for the completion of the Academy building portion of the project. The general obligation bond funding will allow for the construction of the exterior hands-on training facility. The additional \$4.3 million from Rhode Island Capital Plan funds would fund a 9,000 square foot classroom and administrative building in FY 2012 and FY 2013. The Department requested the additional funding in its capital request last year; however, the Governor did not provide the additional funding and the Assembly concurred.

The Governor recommended total project funding of \$9.2 million from all sources, including \$6.4 million from general obligation bonds and \$2.8 million from Rhode Island Capital Plan funds to build a State Fire Training Academy. This is \$1.3 million less from Rhode Island Capital Plan funds based upon a revision to the size of the project. **The Assembly concurred.**

Parking Area Improvements. The Department requested \$1.1 million from Rhode Island Capital Plan funds for parking area improvements at the patrol barracks and the training academy. The request is \$825,000 more from Rhode Island Capital Plan funds for improvements to two additional locations that were not included in the approved capital plan. *The Governor recommended \$0.8 million from Rhode Island Capital Plan funds for parking area improvements; this is \$250,000 less than requested based on revised project costs.* **The Assembly concurred.**

New Headquarters. The State Police requested total funding of \$30.7 million, including \$26.3 million from Rhode Island Capital Plan funds and \$4.3 million from general obligation bonds for the new headquarters project, consistent with the approved plan. The request includes \$6.3 million from Rhode Island Capital Plan funds for FY 2011 to complete the project. The building opened on October 22, 2010.

In November 2002, the voters passed a \$55.0 million bond referendum that included \$48.1 million for the new headquarters. The State Police requested and received an additional \$9.0 million from Rhode Island Capital Plan funds in FY 2007 and an additional \$6.0 million in FY 2008 from Rhode Island Capital Plan funds for the project due to cost overruns. After numerous site changes, design delays and escalating costs, the state opted to construct a smaller headquarters on the present Scituate site.

*The Governor recommended funding as requested, but showed \$2.4 million of Rhode Island Capital Plan fund expenditures in FY 2010 to reflect actual activity. **The Assembly concurred.***

Department of Environmental Management

Projects	Status	5 Year Total	Project Total
Rocky Point Acquisition	New	\$ 10,000,000	\$ 10,000,000
India Point Acquisition	New	3,200,000	3,200,000
Blackstone Valley Bike Path	New	1,250,000	1,500,000
Natural Resources Visitors Center	New	3,300,000	3,300,000
Dams Repair	Revised	3,950,000	8,429,919
Bay Islands Park System	Revised	-	925,687
Narragansett Bay and Watershed Restoration	Revised	11,750,000	18,500,000
Fort Adams Restoration	Revised	4,950,000	10,700,000
Galilee Piers	Revised	3,750,000	9,088,660
Newport Piers	Revised	750,000	6,162,206
Historic and Passive Grants	Ongoing	-	4,000,000
Local Bikeways and Recreational Greenways	Ongoing	841,529	5,000,000
Fish & Wildlife Maintenance Facility	Ongoing	650,000	650,000
Farmland Development Rights	Ongoing	4,000,000	15,500,000
State Open Space	Ongoing	720,000	10,000,000
Local Open Space	Ongoing	5,000,000	21,400,000
Local Recreation Development Grants	Ongoing	2,000,000	37,517,906
Roger Williams Park	Ongoing	800,000	28,500,000
Sewer Interceptors	Ongoing	760,000	5,000,000
State Recreation Facilities Improvement	Ongoing	7,690,000	28,487,594
State Bike Path	Ongoing	-	5,000,000
Total		\$ 65,361,529	\$ 232,861,972
Sources of Funds			
New General Obligation Bonds		\$ 21,500,000	\$ 21,500,000
General Obligation Bonds		13,171,529	124,136,108
General Revenues		5,000,000	23,072,485
Federal Funds		3,100,000	17,752,739
Restricted Receipts		1,700,000	1,850,000
Rhode Island Capital Funds		20,890,000	44,550,640
Total		\$ 65,361,529	\$ 232,861,972

Summary. The Department requested \$66.8 million from all funds to be used in the five-year period for 22 projects totaling \$257.4 million. Funding consists of \$12.5 million from general obligation bonds, of which \$2.5 million was approved by the voters on the November 2008 ballot, \$3.0 million from federal funds and \$23.3 million from Rhode Island Capital Plan funds. The request also includes \$21.5 million of new general obligation bonds, of which \$14.7 million was approved by the voters on the November 2010 ballot.

The Governor recommended \$63.9 million for the five-year period for 21 projects totaling \$233.2 million. The recommendation includes the new general obligation bonds approved in November 2010, as well as those proposed for future referenda. The recommendation is \$2.9 million less than requested in the five-year period, which reflects numerous adjustments for revised construction

schedules, as well as the removal of the Narragansett Bay Fishing Piers project. The Assembly concurred with the projects, but made adjustments primarily to reflect revised project schedules.

Rocky Point Acquisition. The Department requested \$10.0 million from general obligation bond proceeds to purchase the approximately 83 acre property formerly known as Rocky Point Park. The November 2010 ballot included a referendum that voters approved to allow the state's purchase of the property. The Department would program the funding in FY 2012, and later develop the property for use as a state park. It should be noted that the funds included on the ballot can only be used for the purchase of that property. Should the sale of the property not be completed, the authority for the Department to use the funds would be extinguished. *The Governor recommended funding as requested. The Assembly concurred.*

India Point Acquisition. The Department requested \$3.2 million from general obligation bond proceeds to purchase the property of 25 India Street in Providence, from the Department of Transportation. The November 2010 ballot included a referendum that voters approved to allow the state's purchase of the property. The land is locally referred to as the "Shooters" property, and would be developed for recreation and open space. It should be noted that the funds included on the ballot can only be used for the purchase of that property. Should the sale of the property not be completed, the authority for the Department to use the funds would be extinguished. *The Governor recommended funding as requested. The Assembly concurred.*

Blackstone Valley Bike Path. The Department requested \$1.5 million from Rhode Island Capital Plan funds for construction of the Blackstone Valley Bike Path. The Blackstone Valley Bike Path is scheduled to be a 17.1 mile by 12-foot wide scenic bike-path that will connect to the East Bay Bike Path, eventually making a continuous 31.9 mile route for alternative transportation. Programmed expenditures include \$0.5 million each year for FY 2011 through FY 2013. *The Governor recommended funding as requested. The Assembly shifted \$0.3 million from FY 2011 to the out-years to reflect delays in construction.*

Natural Resources Visitors Center. The Department requested a total project cost of \$3.8 million from Rhode Island Capital Plan funds for the construction of a new office facility for the natural resources division in the Arcadia Management Area at Browning Mill Pond. The facility would include office and lab space for mosquito abatement tests and the state veterinarian, as well as a visitor's center for people using the Arcadia Management Area. The request is for a new project; however, it should be noted elements of this project were included in the previously approved capital plan under the project title Great Swamp Office Building. *The Governor recommended \$3.3 million, \$0.5 million less than requested to reflect a revised construction estimate. The Assembly concurred.*

Dams Repair. The Department requested total project costs of \$9.7 million from Rhode Island Capital Plan funds to repair state owned dams at various recreational management areas. This project includes design and construction at the John L. Curran Dams in Cranston, Breakheart Hill Dam in the Arcadia Management Area and Bleachery Pond Dam in East Greenwich. The request is \$500,000 more than the approved plan due to the inclusion of funding for repairs to the Burlingame Reservoir Dam. *The Governor recommended \$8.6 million, \$1.1 million less than enacted to reflect a revised construction schedule for dam repairs. The Department is still in the design and engineering stage for its current project, and the Assembly reduced funds by \$0.6 million to reflect the project's status.*

Bay Islands Park System. The Department requested a total project cost of \$6.0 million to build a public wharf on the southern tip of Prudence Island in Narragansett Bay. The project will include

restrooms, a rain/shade shelter and will allow access to boating and marine recreational opportunities. The wharf will also provide access to the Department's Narragansett Bay National Estuarine Research Reserve, which will increase the public's access to environmental education. The total project costs are consistent with the approved plan; however, the request delays the start of construction from FY 2014 to the post-FY 2016 period. *The Governor recommended \$0.9 million of spent funds, \$5.1 million less than requested to reflect the removal of future funding for this project.* **The Assembly concurred.**

Narragansett Bay and Watershed Restoration. The Department requested a total of \$18.8 million from general obligation bond proceeds and federal funds to restore and protect water quality in Narragansett Bay. The project provides matching grants to state and local agencies; non-profit organizations and for-profit businesses to abate sources of pollution causing beach closures, fish kills, and other problems identified in water quality restoration plans. The request is \$10.0 million more than the approved plan due to the inclusion of \$10.0 million of new general obligation bond proceeds, which would be presented to the voters on the November 2012 ballot. Absent the new general obligation bonds, the program would conclude in FY 2012. *The Governor recommended \$18.5 million, \$0.3 million less from authorized general obligation bonds to reflect available proceeds.* **The Assembly concurred.**

Fort Adams Restoration. Consistent with the approved plan, the Department requested a total project cost of \$9.3 million from all funds to restore and repair historic structures at the Fort Adams State Park in Newport. The state operates the Fort and is responsible for conducting programs, developing restoration plans, and raising funds from public and private sources. Funding of \$3.5 million in the five-year period includes \$1.5 million of general obligation bonds to be presented to the voters on the November 2010 ballot and \$2.0 million from Rhode Island Capital Plan funds.

The Governor recommended \$10.7 million, \$1.4 million more than requested, including a reduction of \$0.3 million from Rhode Island Capital Plan funds in FY 2012, offset by an increase of \$1.7 million from restricted receipts in the same year. The receipts would be available from a newly proposed Tourism Asset Protection Fund, which is described in greater detail in the Revenues Changes section of this analysis. **The Assembly did not include the \$1.7 million from the proposed Tourism Asset Protection Fund. In its place, the Assembly provided \$1.3 million of Rhode Island Capital Plan funds to be used for upgrades to the areas surrounding the Fort in anticipation of hosting America's Cup pre-regatta races.**

Galilee Piers. Consistent with the approved plan, the Department requested total project costs of \$9.1 million from Rhode Island Capital Plan funds to use through FY 2015 for facilities and infrastructure improvements at the Port of Galilee. The purpose of this project is to repair and improve the Galilee State Pier by replacing deteriorated wooden bulkheads, paving the parking lot on the pier, and providing treatment for stormwater runoff. The Department has completed repairs to the north bulkhead, which supports the charter fishing boats docks. Design of the south bulkhead has been completed and construction has begun. *The Governor recommended funding as requested.* **The Assembly shifted \$0.8 million of Rhode Island Capital Plan funds from FY 2011 to FY 2014 to reflect anticipated expenditures for construction projects at the state owned piers in Galilee.**

Newport Piers. Consistent with the approved plan, the Department requested \$1.0 million from Rhode Island Capital Plan funds to use in FY 2011 through FY 2015 for ongoing infrastructure improvements to the Newport Piers. Planned work includes \$130,000 for L-Pier development, \$250,000 for a maintenance facility and workshop garage, as well as \$470,000 for T-Pier construction. Programmed expenditures include \$250,000 each year for FY 2011 through FY 2014. *The Governor recommended funding as requested.* **The Assembly removed \$150,000 from FY 2011 to reflect actual expenditures.**

Historic and Passive Grants. Consistent with the approved plan, the Department requested a total project cost of \$4.0 million from authorized general obligation bonds to be used through FY 2012 to provide grants to municipalities for recreation projects. In August of 2008, the Department awarded 14 grants totaling \$1.2 million, and the Department is in the process of administering these grants. *The Governor recommended total funding as requested, but shifted the remaining available funds to FY 2011.* **The Assembly concurred.**

Local Bikeways and Recreational Greenways. Consistent with the approved plan, the Department requested total project costs of \$5.0 million from authorized general obligation bond proceeds for the design and construction of local bikeways and recreational greenways. Bond proceeds from the 1998 Rhode Island Greenways Bond program provide the 20.0 percent matching share for Department of Transportation funds. The Department of Transportation's federal funding is being used to complete the design and construction of the Blackstone River Bikeway and the Washington Secondary Bikeway. *The Governor recommended total funding as requested, but recommended \$0.8 million for both fiscal years 2011 and 2012 to reflect available proceeds.* **The Assembly concurred.**

Fish & Wildlife Maintenance Facility. Consistent with the approved plan, the Department requested a total of \$650,000, including \$325,000 from Rhode Island Capital Plan funds to provide state matching funds for the construction of a maintenance facility for the Division of Fish and Wildlife at the Great Swamp Management Area. The Department indicated that the current facility is over 30 years old and is too small to store equipment. *The Governor recommended funding as requested, but delayed the start of construction until FY 2014.* **The Assembly concurred.**

Farmland Development Rights. Consistent with the approved plan, the Department requested total project costs of \$20.5 million from general obligation bonds, including \$6.9 million to be used in FY 2011 through FY 2013 for farmland acquisition. The Agricultural Land Preservation Commission accepts and scores farmland applications for these funds. The goal is to eliminate economic pressures on farmers to sell land for residential or commercial development. The request includes \$2.5 million of general obligation bonds that were approved by the voters on the November 2008 ballot. *The Governor recommended \$15.5 million, \$5.0 million less than requested due to the exclusion of previously spent funds.* **The Assembly concurred.**

State Open Space. Consistent with the approved plan, the Department requested total project costs of \$24.0 million, including \$0.7 million in the five-year period for the purchase of land, development rights and conservation easements statewide. Land acquired under this program includes forested land, open fields, river access sites, shoreline access areas, farmlands, trail connections and water supply protection areas. *The Governor recommended \$10.0 million, \$14.0 million less than requested to reflect the exclusion of previously spent funds.* **The Assembly concurred.**

Local Open Space. Consistent with the approved plan, the Department requested \$21.4 million from general obligation bonds to be used through FY 2015 for the Local Land Acquisition Grants for Open Space program. The purpose of the program is to provide grants to municipalities, local land trusts, and non-profit organizations to preserve open space in Rhode Island. Since 1988, the program has awarded over 130 grants preserving over 6,200 acres of land. In FY 2007, the Department awarded a total of \$5.3 million in grants to fund 17 projects and preserved 1,600 acres; the Department is currently administering these grants. The next grant round is scheduled for the spring of 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Local Recreation Development Grants. Consistent with the approved plan, the Department requested \$1.0 million each year for FY 2011 through FY 2013 from authorized general obligation bonds to be

used to provide grants to municipalities for recreation development and acquisition projects. Since 1998, the Department has awarded over 200 grants totaling \$35.0 million, and is responsible for the administration of these grants. *The Governor recommended funding as requested.* **The Assembly concurred.**

Roger Williams Park. Consistent with the approved plan, the Department requested \$4.2 million from general obligation bonds to be used in FY 2011 and FY 2012 to complete the improvements to the Roger Williams Park, for a total project cost of \$28.5 million. The bond proceeds are being used to construct botanical gardens as well as other improvements to the Park Zoo. *The Governor recommended funding as requested.* **The Assembly concurred.**

Sewer Interceptors. Consistent with the approved plan, the Department requested \$1.3 million from general obligation bond proceeds to be used in FY 2011 and FY 2012 to provide 50.0 percent matching grants to municipalities for the construction of extensions to interceptor sewer lines to areas with failed septic systems and leach fields. *The Governor recommended funding essentially as requested, but made minor adjustments to reflect available general obligation bond proceeds.* **The Assembly concurred.**

State Recreation Facilities Improvements. Consistent with the approved plan, the Department requested \$7.7 million to use in FY 2012 through FY 2016 for improvements at Rhode Island parks and management areas. The request includes funding for five bridges in the Arcadia Management Area, restroom improvements and replacements, design and construction of additional camping facilities at George Washington Management Area and other facilities. *The Governor recommended total funding as requested; however, he advances \$0.2 million of Rhode Island Capital Plan funding from the out-years to FY 2011 for renovations at Fort Adams State Park in Newport.* **The Assembly provided an additional \$0.5 million to reflect a revised construction schedule for the facilities.**

State Bike Path. The Department requested \$5.0 million from previously approved general obligation bonds for the construction of bike paths throughout the state. The request includes \$4.2 million of spent funds with the remainder to be spent in FY 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Narragansett Bay Fishing Piers Program. The Department requested \$3.0 million from Rhode Island Capital Plan funds for the Narragansett Bay Fishing Piers program, which will construct, renovate and improve public salt water fishing piers in the state. This is a new project and includes fishing pier construction at sites in Middletown, Warwick, Tiverton, North Kingstown and East Providence. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Coastal Resources Management Council

Projects	Status	5 Year Total	Project Total
South Coast Restoration Project	Revised	\$ 971,255	\$ 7,233,430
Fish Passage Restoration	Ongoing	-	3,030,564
Coastal & Estuary Habitat Restoration Program	Ongoing	1,250,000	3,654,309
Total		\$ 2,221,255	\$ 13,918,303
Sources of Funds			
Federal Funds		\$ 542,155	\$ 7,342,535
General Revenues		-	263,095
Rhode Island Capital Funds		429,100	574,100
Restricted Receipts		1,250,000	5,631,309
Other (Local Funds)		-	107,264
Total		\$ 2,221,255	\$ 13,918,303

Summary. The Council requested \$13.7 million for the FY 2012 through FY 2016 period for projects totaling \$22.2 million. Funding includes \$7.1 million from federal funds, \$5.3 million from Rhode Island Capital Plan funds and \$1.3 million from the Oil Spill Prevention, Administration and Response Fund, which are restricted receipts. The request is for eight projects, two of which are new.

The Governor recommended \$2.2 million for the five-year period to fund three projects. The recommendation is \$11.5 million less than requested and does not include funding for five of the projects. The Assembly provided \$0.3 million more to reflect a revised project schedule for the South Coast Restoration project.

South Coast Restoration Project. The Council requested \$971,255 for FY 2012 to complete habitat restorations along the southern coast of Rhode Island, including \$542,155 from federal funds and \$429,100 from Rhode Island Capital Plan funds. The \$7.2 million project included removing sediments in the breachway and eelgrass seeding and transplants. Funding in FY 2012 would be used for sediment removal and habitat restoration at Winnapaug and Quonochontaug ponds. This project was included in the FY 2008 through FY 2012 approved capital plan, but was excluded from the subsequent capital plans because of a lack of available match funds. *The Governor recommended funding as requested. Due to a delay in this project, the Assembly shifted the \$0.4 million to FY 2013, and provided \$0.3 million from Rhode Island Capital Plan funds in FY 2012 to maintain the previous dredging of the Charlestown Breachway.*

Fish Passage Restoration. The Council requested \$3.0 million for the Fish Passage Restoration Project. Funding will be used for six individual projects in the Pawcatuck River and Ten Mile River areas that will restore access to the rivers for migratory fish as well as restoring the surrounding habitat. *The Governor recommended funding as requested. The Assembly concurred.*

Coastal and Estuary Habitat Restoration Program and Trust Fund. The Council's request includes total project expenditures of \$3.5 million from the Oil Spill Prevention, Administration and Response Fund for the Coastal and Estuary Habitat Restoration Program and Trust Fund. The 2004 Assembly passed Article 44 of 2004-H 8219, Substitute A, as amended, and mandated the annual amount of

\$250,000 from the Oil Spill Prevention, Administration and Response Fund to the program and trust fund. The request is consistent with the approved plan, and adds \$250,000 in new expenditures for FY 2016. *The Governor recommended funding as requested.* **The Assembly concurred.**

Dredged Material Management Plan. The Council requested \$1.5 million from Rhode Island Capital Plan funds for a new project to develop a dredged material management plan for the state's tidal waters. The project would involve expenditures of \$500,000 per year from FY 2012 through FY 2014. The project was requested in each of the past nine fiscal years, but not approved. This plan is a request for a consultant study and does not meet the definition of a capital project. *The Governor did not recommend funding.* **The Assembly concurred.**

Ninigret Pond Breachway Rock Removal. The Council requested \$900,000 to be used in FY 2012 for a project to remove large rocks and groups of smaller rocks that are obstructing navigation in Ninigret Pond. The request includes \$0.7 million from federal funds and \$0.2 million from Rhode Island Capital Plan funds, which would be used as the 20.0 percent state match for the federal funds. *The Governor did not recommend funding.* **The Assembly concurred.**

South Coast Restoration Project Breachways. The Council requested \$1.0 million from Rhode Island Capital Plan funds to be used in FY 2012 to maintain breachways. This project will involve the removal of sedimentation that enters the breachways of three salt ponds in the south county area, with a focus on Ninigret Pond. This project was included in the FY 2007 through FY 2011 approved capital plan for \$630,000 from the Oil Spill Prevention, Administration and Response Fund, but was removed in subsequent years due to project delays. *The Governor did not recommend funding.* **The Assembly concurred.**

Brushneck Cove Aquatic and Ecosystem Restoration Project. The Council requested \$980,000 to be used in FY 2012 for a project to restore 125 acres of estuary habitat in the Brushneck Cove area of Greenwich Bay. Funding includes \$343,000 from Rhode Island Capital Plan funds and a federal match from the Army Corps of Engineers of 65.0 percent, or \$637,000. This project was included in the FY 2008 through FY 2012 approved plan, but was removed in the following plan due to a limited amount of restricted receipts from the Oil Spill Prevention, Administration and Response Fund. The subsequent requests replaced the restricted receipt funding with Rhode Island Capital Plan funds. *The Governor did not recommend funding.* **The Assembly concurred.**

Narrow River Aquatic Ecosystem Restoration. The Council requested total project costs of \$7.1 million to be used in FY 2012 for the restoration of the aquatic habitat and salt marshes in Narrow River. The request includes \$1.8 million from Rhode Island Capital Plan funds and \$5.2 million from federal funds. The Council indicates that shoaling in the lower Narrow River may be contributing to a decline in submerged aquatic vegetation, primarily eelgrass and shellfish habitat in the river and its coves. This project was included in the FY 2008 through FY 2012 approved capital plan, but was removed in the following year due to the unavailability of restricted receipts from the Oil Spill Prevention, Administration and Response Fund. The current request removes these funds and replaces them with Rhode Island Capital Plan funds. *The Governor did not recommend funding.* **The Assembly concurred.**

State Water Resources Board

Projects	Status	5 Year Total	Project Total
Big River Management Area Site Improvements	New	\$ -	\$ 442,632
Big River Groundwater Development	Revised	15,000,000	15,539,194
Supplemental Water Supplies Development	Revised	-	1,566,987
Emergency Interconnect Project	Revised	4,397,603	15,000,000
Water Allocation Plan	Ongoing	2,289,500	9,968,042
South County Groundwater Development	Ongoing	7,223,245	9,300,000
Big River Management Area Maintenance	Ongoing	680,000	1,860,837
Bristol Country Water Treatment Facilities	Ongoing	6,256,403	15,624,554
Total		\$ 35,846,751	\$ 69,302,246
Sources of Funds			
Authorized General Obligation Bonds		\$ 17,877,251	\$ 39,924,554
Rhode Island Capital Funds		680,000	4,052,819
Restricted Receipts		-	2,707,911
Federal Funds		-	2,042,632
General Revenues		2,289,500	5,274,330
Other (Revenue Bonds)		15,000,000	15,300,000
Total		\$ 35,846,751	\$ 69,302,246

**The Assembly merged the State Water Resources Board into the Department of Administration. The FY 2012 approved projects for the Board are shown under Water Resources Board. Subsequent projects will be shown under the Department.*

Summary. *The Water Resources Board requested \$56.3 million for the FY 2012 through FY 2016 period for eight projects totaling \$100.6 million. Funding includes \$20.0 million from general obligation bonds to be submitted to the voters on the November 2012 ballot, \$17.9 million from approved general obligation bonds, \$1.0 million from Rhode Island Capital Plan funds, \$2.4 million from general revenues and \$15.0 million from revenue bonds to be issued by the Board Corporate. It should be noted that the 2009 Assembly passed Article 5 of 2009-H 5983 Substitute A, as amended, which prohibits any future borrowing by the Board Corporate.*

The Governor recommended \$35.8 million for the five-year period, \$20.5 million less than requested primarily due to the exclusion of the new general obligation bonds. The recommendation includes funding from revenue bonds and it should be noted the Governor has not proposed legislation for approval by the Assembly of those revenue bonds, nor did he include legislation that would allow the Board Corporate to issue any further debt.

The Assembly concurred with the individual project recommendations, but included Article 9 of 2011-H 5894 Substitute A, as amended, which merged the Water Resources Board into the Department of Administration's Division of Statewide Planning. The projects included in the five-year plan are shown below, but will be included with the Department of Administration in subsequent analyses.

Big River Management Area Site Improvements. The Board requested total project costs of \$0.6 million to be used in FY 2011 for site improvements at the Big River Management Area. Funding for this new project will be used to prepare for the replacement of the Congdon Mill Bridge, repair washed-out roads and improve various safety hazards in the management area. Funding consists of

\$0.1 million from Rhode Island Capital Plan funds and \$0.4 million from federal funds. *The Governor recommended \$0.4 million from federal funds but did not recommend the \$0.1 million from Rhode Island Capital Plan funds to reflect actual expenditures.* **The Assembly concurred.**

Big River Groundwater Development. The Board requested \$15.5 million, including \$15.0 million from revenue bonds for the development of a water supply system in the Big River Management Area, which could potentially provide an additional four million gallons of water per day to the state, which equates to a 5.0 percent increase in capacity. Assuming a 5.0 percent interest rate for 20 years, annual debt service would be approximately \$1.1 million and would be paid by revenues generated from the sale of water. It should be noted the requested revenue bonds would require legislative approval. It should also be noted that the 2009 Assembly passed legislation contained in Article 5 of 2009 H-5983 which disallows the Board from issuing future debt, and transfers this function to the state Clean Water Finance Agency. The request includes \$0.5 million through FY 2011 for the design, environmental assessment and hydraulic modeling for the proposed water supply system. The request is \$11.6 million more than the approved plan to reflect a revised construction cost estimate.

The Governor recommended funding essentially as requested, but delayed the use of revenue bonds from FY 2012 to FY 2013. It should be noted the Governor did not propose legislation for approval by the Assembly of those revenue bonds, nor did he include legislation that would allow the Board Corporate to issue any further debt. **The Assembly concurred.**

Supplemental Water Supplies Development. The Board requested a total project cost of \$31.6 million to identify and utilize potential supplemental water supplies in the event of contamination or drought. Phase I of this project studied and identified supplemental water supplies for the state. The second phase of this project would provide the necessary infrastructure including land purchase, well drilling, and construction of interconnections and treatment facilities. The request is \$10.0 million more than the approved plan due to the inclusion of new general obligation bonds in the out years. It should be noted that the approved plan includes \$20.0 million of Rhode Island Capital Plan funds, which have been replaced with \$30.0 million of new general obligation bonds in the current request. *The Governor recommended the \$1.6 million of previously spent funds, but did not include the new general obligation bonds or any additional funding for this project.* **The Assembly concurred.**

Emergency Interconnect Project. The Board requested \$15.0 million from previously approved general obligation bonds to continue a project to establish statewide emergency water interconnections between the state's 32 largest water supply systems. Of the 32 identified interconnections, the Board indicates that 15 have been completed, and five more projects have been approved. The interconnections are funded from \$15.0 million in bond proceeds authorized by the voters in 2004. The request is \$10.0 million less than the approved plan due to the Board's removal of \$10.0 million of new general obligation bonds to reflect a revised construction schedule. *The Governor recommended funding essentially as requested, but made minor adjustments to annual expenditures to reflect available general obligation bond proceeds.* **The Assembly concurred.**

Water Allocation Plan. Consistent with the approved plan, the Board requested total project costs of \$10.8 million for an ongoing project to develop a Water Allocation Program. The program consists of multi-year efforts to first quantify available supplies, then uses that information as a basis to assess water management needs, and then assess the options to satisfy those needs. The 2005 Assembly reclassified this project as purchased services to better reflect that it is a consultant study rather than capital improvement. This project has been included in the Board's capital request in each of the last seven years and not approved until the FY 2009 through FY 2013 plan. The Board's FY 2011

operating budget includes \$0.2 million from general revenues for purchased services related to the Water Allocation Plan projects.

*The Governor recommended \$10.0 million, \$0.8 million less than requested from general revenues to reflect the removal of \$0.7 million of funding in the post-FY 2016 period and \$0.1 million less in FY 2012 to reflect revised expenditures for the project. **The Assembly concurred.***

South County Groundwater Protection/Acquisition Program. Consistent with the approved plan, the Board requested a total project cost of \$9.3 million from general obligation bond proceeds for the Groundwater Protection/Acquisition Program, of which \$7.2 million would be used in the five-year period to purchase water development rights from private landowners for well sites identified as high capacity. *The Governor recommended funding as requested. **The Assembly concurred.***

Big River Management Area Maintenance. The Board requested \$2.3 million from Rhode Island Capital Plan funds to fund infrastructure repairs and improvements at the Big River Management Area. This project includes funding for security, litter/dumping enforcement and demolition of vacant homes. The Board is responsible for protecting the Big River Management Area for future development as a source of potable water. The request is \$0.6 million more than the approved plan to reflect the Board's goal of demolishing 24 rental properties in FY 2012.

*The Governor recommended \$1.9 million, \$0.4 million less than requested, including a \$0.1 million reduction to pre-FY 2011 expenditures to reflect actual expenses, as well as \$0.3 million less for FY 2012 to reflect historical spending by the Board for maintenance activities. **The Assembly concurred.***

Bristol County Water Treatment Facility. Consistent with the approved capital plan, the Board requested a total project cost of \$15.6 million from authorized general obligation bond proceeds to upgrade the Bristol County Water Treatment Facility and the Shad Factory Pipeline. Programmed expenditures include \$0.7 million in FY 2011, \$4.7 million in FY 2012 and \$1.5 million in FY 2013. The Shad Factory Pipeline must be replaced to ensure continued use of the Massachusetts Reservoir water, and the Bristol County facility improvements are necessary to comply with the requirements of the Safe Drinking Water Act. Many of the improvements to the Bristol County Water Treatment Facility have been completed; however, more improvements have become necessary due to a change in these requirements. *The Governor recommended funding as requested. **The Assembly concurred.***

Rhode Island Clean Water Finance Agency

Projects	Status	5 Year Total	Project Total
Clean Water Fund	Revised	\$ 249,845,207	\$ 1,310,201,826
Drinking Water Fund	Revised	156,146,000	457,694,896
Water Pollution Fund	Ongoing	873,823	64,730,767
Total		\$ 406,865,030	\$ 1,832,627,489
Sources of Funds			
General Obligation Bonds		\$ 1,859,383	\$ 108,369,567
Federal Funds		94,891,000	401,578,134
Revolved Capital		88,623,823	204,526,660
Agency Revenue Bonds		221,240,824	1,079,503,270
Interest Earnings		250,000	2,585,258
Federal Stimulus		-	36,064,600
Total		\$ 406,865,030	\$ 1,832,627,489

Summary. The Agency requested \$1,828.7 million, of which \$410.5 million would be used in the five-year period for three projects. Funding includes \$8.9 million from new general obligation bonds for the November 2012 ballot, \$5.8 million from authorized general obligation bonds, \$94.9 million from federal funds, \$0.1 million from interest earnings, \$88.5 million from revolved capital and \$212.3 million from agency revenue bonds. *The Governor recommended \$406.9 million, \$3.9 million more than requested to reflect minor adjustments for each project and the exclusion of the new general obligation bonds from the recommendation.* **The Assembly concurred.**

Clean Water Fund. Consistent with the approved plan, the Agency requested \$253.7 million to be used in the five-year period for a total project cost of \$1,307.2 million for the Clean Water State Revolving Fund, a subsidized loan program for local governmental units to finance wastewater infrastructure projects. The loans are repaid over 20 years and have a subsidy of at least one-third off the market rate. Funding in the five-year period includes \$3.6 million from authorized general obligation bond proceeds, \$48.7 million from federal funds, \$74.0 million from revolved capital and \$121.6 million from agency revenue bonds. *The Governor recommended \$249.8 million for the five-year period, \$3.9 million less than requested to reflect the exclusion of the general obligation bonds offset by an increase of \$3.6 million from the Agency's revenue bonds.* **The Assembly concurred.**

Drinking Water Fund. Consistent with the approved plan, the Agency requested a total project cost of \$460.7 million from all funds, of which \$156.1 million would be used in the five-year period for the Drinking Water State Revolving Fund. The fund operates and is funded by Section 1452 of the Federal Safe Drinking Water Act Amendments of 1996. Federal capitalization grants are awarded to the state and the state contributes a 20.0 percent match. Loans are available to eligible water suppliers for planning, design and construction of safe water supply, treatment and transmission infrastructure projects. *The Governor recommended funding as requested.* **The Assembly concurred.**

Water Pollution Fund. Consistent with the approved plan, the Agency requested a total project cost of \$60.8 million, of which \$0.6 million from the Agency's revolved capital account would be spent in the five-year period for the Water Pollution Control State Revolving Fund. This fund receives capital

funding from general obligation bond proceeds and transfers the appropriate state match to the Drinking Water State Revolving Fund. The request includes \$8.9 million from new general obligation bond proceeds from a referendum to be placed on the November 2012 ballot. *The Governor recommended \$0.9 million for the five-year period, \$0.3 million more than requested to reflect the exclusion of the general obligation bonds offset by \$0.1 million more from revolved capital funds and \$0.3 million more from interest earnings.* **The Assembly concurred.**

Narragansett Bay Commission

Projects	Status	5 Year Total	Project Total
Phase III Combined Sewer Overflow Facilities	New	\$ 12,257,000	\$ 602,962,000
Interceptor Maintenance & Construction	Revised	27,129,000	33,717,000
Sewer System Improvements	Revised	4,277,000	6,747,000
Phase II Combined Sewer Overflow Facilities	Revised	301,723,000	326,480,000
Wastewater Treatment Facility Improvements	Revised	105,572,000	149,573,300
Total		\$ 450,958,000	\$ 1,119,479,300
Sources of Funds			
Other (Revenue Bonds, State Revolving Fund)		\$ 450,958,000	\$ 1,119,479,300
Total		\$ 450,958,000	\$ 1,119,479,300

Summary. The Commission indicated that the Clean Water Finance Agency does not have sufficient capacity to finance the Commission's capital plan. The FY 2012 through FY 2016 request includes \$453.5 million from other funds, which the Commission indicated consists of State Revolving Funds, revenue bonds or other capital funds. The exact amounts from the aforementioned are not yet known. The request includes five projects, one of which is new.

*The Governor recommended funding essentially as requested, but included \$451.0 million for the five-year period, \$2.5 million less than requested to reflect adjustments to pre-FY 2011 expenditures. Funding differences noted in the below descriptions are due to the Budget Office's classification of components of projects that are not included in the request. **The Assembly concurred.***

Phase III Combined Sewer Overflow Facilities. The Commission requested total project costs of \$603.0 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds to be used through the post-FY 2016 period for design and construction of Phase III of the Combined Sewer Overflow project. This is the final phase for the Narragansett Bay Commission's combined sewer overflow project. Phase III involves the construction of a 13,000 foot tunnel in Pawtucket which will convey flows from combined sewer overflows to the Main Spine Tunnel constructed in Phase I. This phase was not included in the approved plan, but is included in the current request to reflect the beginning of Phase III construction in FY 2016. *The Governor recommended total funding as requested. He programmed the project to begin in FY 2015. **The Assembly concurred.***

Interceptor Maintenance & Construction. The Commission requested \$20.7 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds to be used from FY 2012 through FY 2016 for 10 projects, two of which are new. A number of these projects involve television inspection to indicate if there is any damage to interceptors that might need to be repaired or replaced, as well as the removal of grit from the interceptors to increase sewer capacity. The Commission plans to inspect and clean all of its interceptors in a ten-year period. The request is \$13.1 million less than the approved plan, including \$2.7 million more for the additional projects offset by the completion of four projects that were previously included in the approved capital plan, as well as reduced project costs for ongoing cleaning projects. *The Governor recommended \$33.7 million with \$27.1 million for the five-year period. **The Assembly concurred.***

Sewer System Improvements. The Commission requested a total project cost of \$10.5 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds, of which \$9.6 million will be used from FY 2012 through FY 2016 to improve its sewer system by connecting the wastewater treatment plants in the entire area. The request is for three ongoing projects and one new project. The request is \$3.7 million less than the approved plan to reflect the addition of the new project offset by the completion of two projects that were included in the previously approved capital plan. *The Governor recommended \$6.7 million with \$4.3 million for the five-year period.* **The Assembly concurred.**

Phase II Combined Sewer Overflow Facilities. The Commission requested a total of \$326.5 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds for Phase II of the combined sewer overflow facilities project, which consists of seven individual projects. The Commission's previous request did not breakdown the components of Phase II; however, the current request outlines the individual projects included in this phase. This is the second of three phases for the Narragansett Bay Commission's combined sewer overflow project. Phase II involves the construction of a 19,150 foot tunnel along the Woonasquatucket River and an 8,000 foot tunnel along the Seekonk River. These two interceptors will convey flows from combined sewer overflows in Providence along the Seekonk and Woonasquatucket Rivers to the Main Spine Tunnel constructed in Phase I. The request is \$57.1 million more than the approved plan to reflect updated construction cost estimates provided upon the completion of the preliminary design stage. *The Governor recommended funding as requested.* **The Assembly concurred.**

Wastewater Treatment Facility Improvements. The Commission requested total project costs of \$162.8 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds, of which \$106.7 million will be used from FY 2012 through FY 2016 for various wastewater treatment improvement projects, including effluent discharge removal of nitrogen and ammonia at the Field's Point facility. The upgrades are required to comply with discharge limits mandated by the Department of Environmental Management. The request is for ten projects, one of which is new, and is \$10.9 million less than the approved plan primarily due to revised construction costs for a new facility, as well as the exclusion of three projects which have been completed. *The Governor recommended \$149.6 million with \$105.6 million for the five-year period.* **The Assembly concurred.**

Rhode Island Resource Recovery Corporation

Projects	Status	5 Year Total	Project Total
Leachate Facility	Revised	\$ 8,200,000	\$ 14,303,409
Industrial Park Development	Revised	-	3,718,317
Landfill Operations	Ongoing	8,913,750	32,136,704
Landfill Gas Collection System	Ongoing	629,015	32,570,827
Compost Facility	Ongoing	750,000	2,225,933
Materials Recycling Facility Enhancement	Ongoing	9,605,000	35,434,763
Facility Improvements	Ongoing	110,000	15,414,475
Management Information Systems	Ongoing	243,750	1,375,091
Closure of Landfill Phase IV	Ongoing	1,809,753	29,826,885
Phase V Construction & Closure	Ongoing	23,653,742	54,703,964
Phase VI Design Construction & Post-Closure	Ongoing	37,378,333	75,359,710
Total		\$ 91,293,343	\$ 297,070,078
Sources of Funds			
Resource Recovery General Revenue		\$ 65,829,848	\$ 245,718,771
EPA Mandated Trust Fund/State Subsidy		25,463,495	51,351,307
Total		\$ 91,293,343	\$ 297,070,078

Summary. The Corporation's five-year capital plan totals \$297.1 million from all funds, of which \$91.3 million will be used in the FY 2012 through FY 2016 period for 11 projects. Funding includes \$65.8 million from the Corporation's general revenues and \$25.5 million from the Environmental Protection Agency's mandated trust fund. The request is \$68.3 million less than the approved plan due to the removal of four projects which have been completed. *The Governor recommended funding as requested. The Assembly concurred.*

Leachate Facility. The Corporation requested a total project cost of \$14.3 million, of which \$8.2 million will be used from FY 2012 through FY 2016 to construct a new leachate pretreatment facility. The current facility is sized to process 200,000 gallons per day of raw landfill leachate, and the Corporation is requesting a new facility in order to meet discharge limits as well as to increase the facility's capacity to 400,000 gallons per day. The request is \$6.0 million more than the approved plan due to a construction plan that was revised to include a facility that is capable of treating leachate in a manner consistent with new nitrogen standards proposed by the Narragansett Bay Commission. *The Governor recommended funding as requested. The Assembly concurred.*

Industrial Park Development. The Corporation requested total project costs of \$3.7 million from its general revenues, of which \$0.6 million will be used in FY 2011 to complete the development of an industrial/office park. The Corporation anticipates that this project will be a catalyst for the formation and expansion of a recyclables-based manufacturing industry. The request is \$0.4 million less than the approved plan due to a revised construction cost estimate. *The Governor recommended funding as requested. The Assembly concurred.*

Landfill Operations. Consistent with the approved plan, the Corporation requested a total project cost of \$32.1 million from its general revenues, of which \$8.9 million will be used in the FY 2012

through FY 2016 period to acquire and replace equipment necessary to operate the Central Landfill facilities. Expected purchases include earth moving equipment, compacting equipment, and general-purpose vehicles. Also included in the project costs are outlays for erosion and sedimentation control that are required by state and federal regulations. *The Governor recommended funding as requested.* **The Assembly concurred.**

Landfill Gas Collection System. Consistent with the approved plan, the Corporation requested a total project cost of \$32.6 million from the Environmental Protection Agency's mandated trust fund and the Corporation's general revenues, of which \$0.6 million will be used in the five-year period for the landfill gas collection system. The Corporation will continue to expand, monitor, and maintain the landfill gas collection system in accordance with federal and state regulations. *The Governor recommended funding as requested.* **The Assembly concurred.**

Compost Facility. Consistent with the approved plan, the Corporation requested a total project cost of \$2.2 million from its general revenues, of which \$0.8 million will be used from FY 2012 through FY 2016 for equipment purchases and site improvements at the Corporation's compost facility. The facility collects yard waste material and processes it into organic compost which is used both on site and distributed to the Rhode Island Department of Transportation as well as municipalities for projects requiring compost. *The Governor recommended funding as requested.* **The Assembly concurred.**

Materials Recycling Facility Enhancement. Consistent with the approved plan, the Corporation requested \$35.4 million from its general revenues, of which \$9.6 million will be used from FY 2012 through FY 2016 for capital equipment and machinery component replacements at the Materials Recycling Facility. Equipment and machinery components will be upgraded or replaced due to wear and tear. Funding will also be used to retrofit the facility in order to recycle a single-stream of waste. This will allow for the Corporation to recycle all plastics labeled one through seven at the facility. The current facility is only able to recycle plastics labeled one and two. *The Governor recommended funding as requested.* **The Assembly concurred.**

Facility Improvements. Consistent with the approved plan, the Corporation requested a total project cost of \$15.4 million from its general revenues, of which \$0.1 million will be used in the five-year period for building improvements at the Johnston facility. Improvements include upgrading the electrical system, erecting a salt shed to house snow removal equipment and salt sand mixture, and acquiring equipment for in-house maintenance of overhead lighting and doors. *The Governor recommended funding as requested.* **The Assembly concurred.**

Management Information Systems. Consistent with the approved plan, the Corporation requested a total project cost of \$1.4 million from its general revenues, of which \$0.2 million will be used in the FY 2012 through FY 2016 period to continue to upgrade and maintain its management information system. Equipment to be purchased would include servers, desktop computers, network equipment and other information technology equipment. *The Governor recommended funding as requested.* **The Assembly concurred.**

Closure of Landfill Phase IV. Consistent with the approved plan, the Corporation requested a total project cost of \$29.8 million from the Environmental Protection Agency's mandated trust fund and the Corporation's general revenues, of which \$1.8 million will be used in the five-year period for capping, closure and post-closure costs for the four 40-acre landfill cells. The Corporation noted that Phase IV will reach full capacity in FY 2011, and has been partially capped. *The Governor recommended funding as requested.* **The Assembly concurred.**

Phase V Construction & Closure. Consistent with the approved plan, the Corporation's request includes a total project cost of \$54.7 million from the Environmental Protection Agency's mandated trust fund, of which \$23.7 million would be used in the five-year period for capping construction, closure and post-closure costs for Phase V, which began accepting refuse in 2005 and is expected to reach capacity in 2014. The Environmental Protection Agency requires the implementation of closure and post-closure care cost plans for municipal solid waste landfills as a condition for operating rights. *The Governor recommended funding as requested.* **The Assembly concurred.**

Phase VI Design Construction, Closure and Post-Closure. The Corporation requested a total project cost of \$75.4 million from its general revenues, of which \$37.4 million will be used in the five-year period to design and construct another series of landfill cells, which must be in service before Phase V reaches its design capacity. The Corporation noted the Phase VI site has been approved by the statewide planning council, and Phase VI will be completed before the closure of Phase V in 2014. The request is \$5.5 million less than the approved plan due to a revised construction cost estimate. *The Governor recommended funding as requested.* **The Assembly concurred.**

Department of Transportation

Projects	Status	5 Year Total	Project Total
Highway Projects Match Plan	New	\$ 60,000,000	\$ 60,000,000
Maintenance Facilities Asset Protection	Revised	2,250,000	3,349,919
Salt Storage Facilities	Revised	9,250,000	15,700,226
Cherry Hill/Lincoln Facility	Revised	1,315,204	4,069,050
Highway Improvement Plan	Revised	1,281,954,697	1,951,150,276
I-195 Relocation	Revised	52,600,000	424,696,456
Freight Rail Improvement Plan	Revised	-	149,471,179
Commuter Rail	Revised	34,047,373	186,612,853
Capital Equipment Replacement	Revised	16,098,000	37,458,728
Quonset Access Road	Ongoing	-	114,030,433
Washington Bridge Repairs	Ongoing	16,007,202	72,382,855
Sakonnet River Bridge	Ongoing	442,833	131,852,935
Pawtucket/Central Falls Train Station Study	Ongoing	2,000,000	3,112,853
East Providence Facility Relocation	Ongoing	-	4,400,000
Portsmouth Facility	Ongoing	3,300,000	3,500,000
Maintenance Facility Fire Alarms	Ongoing	450,000	600,000
Total		\$ 1,479,715,309	\$ 3,162,387,763
Sources of Funds			
New Bond Authorization		\$ 79,347,352	\$ 79,347,352
General Obligation Bonds		92,125,846	312,354,744
Federal Funds		879,314,195	1,229,711,259
General Revenue		-	3,000,000
Gasoline Tax Proceeds		-	33,424
Debt Service-Federal		246,096,257	342,876,285
Federal GARVEE Bonds		33,971,637	643,835,947
State Motor Fuel Tax Revenue Bonds		-	105,594,912
Rhode Island Capital Funds		74,499,050	80,839,195
Land Sales		43,078,398	46,082,542
Other		17,829,820	176,898,830
Federal Stimulus		13,452,754	141,813,273
Total		\$ 1,479,715,309	\$ 3,162,387,763

Summary. The Department requested \$1,775.5 million from FY 2012 through FY 2016 for projects totaling \$3,390.7 million. Funding would require \$202.3 million of new general obligation bond authority, \$12.1 million from authorized bond proceeds, \$879.3 million from federal sources, \$34.0 million from federal Grant Anticipation Revenue Vehicle (GARVEE) Bond proceeds, \$45.1 million from the sale of Department owned land and \$16.8 million from Rhode Island Capital Plan funds. The request also includes \$292.8 million in expenditures for which the funding source was not identified.

The Governor recommended total project costs of \$3,102.4 million, \$288.3 million less than requested, primarily to reflect the removal of unidentified fund sources included in the request, as well as minor reductions to Rhode Island Capital Plan funds. The Assembly concurred, but also provided an

additional \$60.0 million from Rhode Island Capital Plan funds to be used in conjunction with recently established transportation surcharges to provide the state match for Federal Highway Administration funds.

Highway Projects Match Plan. The Department's request includes the annual use of \$40.0 million of general obligation bond proceeds as the state match for Federal Highway Administration funding. Those funds make up a vast majority of total funding for statewide infrastructure improvement projects for highways, roads and bridges.

The Governor includes \$12.0 million for the Department by transferring 20.0 percent of certain transportation related fees previously deposited as general revenues for use as the state match for general obligation bonds. The Governor's proposal increases the transfer of these fees by 20.0 percent each year until FY 2016, when 100 percent of the fees will be dedicated to the Department. The purpose of the proposal is to eventually lower the amount of debt service paid by the Department with gasoline tax proceeds, which will then allow these proceeds to be used for other purposes.

The Assembly enacted legislation that establishes a transportation trust fund and provides that incremental increases of transportation related surcharges will be dedicated to the fund, with the purpose of reducing the Department of Transportation's reliance on borrowing while transitioning to a pay-go system. The incremental increases will begin in FY 2014 and end in FY 2016, where they will generate approximately \$20 million annually. These funds will be combined with a dedicated annual stream of \$20 million from Rhode Island Capital Plan funds, which will together, provide the \$40 million of state matching funds for transportation funding that is currently generated through debt issuance.

Maintenance Facilities Asset Protection. The Department requested total project costs of \$3.3 million from Rhode Island Capital Plan funds to be used through FY 2016 to repair seven of its maintenance facilities as well as resurfacing and restoring the asphalt pavement at all maintenance facilities not scheduled to be replaced. Improvements would include roof and gutter replacement at the Scituate facility; storage space for equipment and supplies at the Lincoln facility; three garage bays and storage space at the Hope Valley facility; garage expansion and generator upgrades at the Gloucester facility and boiler replacement and environmental remediation at the North Kingstown facility. The request is \$1.1 million more than the approved plan due to the inclusion of renovations to the Department's maintenance headquarters facility and additional improvements to limited duty facilities. *The Governor recommended funding as requested. The Assembly concurred.*

Salt Storage Facilities. The Department requested \$10.3 million from Rhode Island Capital Plan funds and general obligation bond proceeds to be used in the five-year period for the construction of salt storage facilities at various locations statewide where salt is currently stored uncovered. The total cost is \$2.3 million more than the approved plan primarily due to the inclusion of an additional year of funding to be used for other salt storage facilities not currently in the plan. *The Governor recommended \$9.3 million, \$1.0 million less from Rhode Island Capital Plan funds for the five-year period to reflect a revised construction schedule. The Assembly concurred.*

Cherry Hill/Lincoln Facility. The Department requested a total project cost of \$4.1 million, of which \$3.0 million would come from previously authorized general obligation bonds and \$1.1 million would come from Rhode Island Capital Plan funds to build a new maintenance facility with salt storage. This facility would consolidate the two maintenance facilities located on Cherry Hill Road in Johnston and Douglas Pike in Smithfield to the former National Guard headquarters in Lincoln. The request is \$0.5

million less than the approved plan due to a downward revision in projected construction expenses. *The Governor recommended funding as requested.* **The Assembly concurred.**

Highway Improvement Plan. The Department of Transportation requested \$1,635.5 million from all funds for highway improvement program expenditures to be used in the five-year period, for total project costs of \$2,186.8 million. The request includes \$200.0 million of new bond authority for state matching funds, of which \$80.0 million was approved by the voters on the November 2010 ballot. The request is \$178.8 million more than the approved plan primarily due to the inclusion of \$316.4 million for the additional fiscal year offset by a \$137.6 million reduction in other Federal Highway Administration funded projects to more accurately reflect the Department's federal award.

The Governor recommended \$1,282.0 million in the five-year period, for total costs of \$1,951.2 million. The recommendation is \$186.6 million less than the approved plan, primarily due to the exclusion of funding for which a source was not identified in the request. It should be noted that beginning in FY 2014, the Governor reduced the general obligation bond recommendation to \$39.0 million, with \$22.0 million for FY 2015 and \$16.0 million scheduled for FY 2016. The plan does not include future general obligation bond debt.

The recommendation is consistent with Article 22 of 2011-H 5894, which would authorize a five-year phase-in of a plan to dedicate all motor vehicles registration, licenses and fees currently used as general revenues for transportation uses. This transfer is being recommended to gradually eliminate the use of general obligation bond proceeds as the state match for federal funds.

The Assembly enacted legislation that establishes a transportation trust fund and provides that incremental increases of transportation related surcharges will be dedicated to the fund, with the purpose of reducing the Department of Transportation's reliance on borrowing while transitioning to a pay-go system. The incremental increases will begin in FY 2014 and reach their peak in FY 2016, where they will generate approximately \$20 million annually. These funds will be combined with a dedicated annual stream of \$20 million from Rhode Island Capital Plan funds, which will together, provide the \$40 million of state matching funds for transportation funding that is currently generated through debt issuance.

I-195 Relocation Project. The Department requested total project costs of \$424.7 million, of which \$52.6 million is from State Motor Fuel Revenue Bonds, Grant Anticipation Revenue Vehicle bonds, Federal Highway Improvement funds and proceeds from land sales that would be used from FY 2012 through FY 2016 for the relocation of Interstate 195 project. The request is \$5.9 million less than the approved plan due to revised construction costs. The project is underway, and the mainline was opened in 2009 with project completion, including demolition of the existing facility and reconnection of city streets by 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

Freight Rail Improvement Plan. The Department of Transportation requested \$149.5 million in total project costs for the Freight Rail Improvement plan, of which \$2.8 million from Federal Highway Administration funds will be spent in FY 2011 for final environmental remediation work along the recently completed 22 miles of new and upgraded track. The request is \$2.8 million more than the approved plan to reflect increased costs for the remediation work. *The Governor recommended funding as requested.* **The Assembly concurred.**

Commuter Rail. The Department requested total project costs of \$186.6 million from all funds, of which \$34.0 million will be used in the five-year period for the Commuter Rail Project. Funding includes \$3.6 million of new general obligation bond authority approved by the voters on the

November 2008 ballot. Phase I of the project involved the extension of commuter rail service south from Providence to T.F. Green Airport in Warwick, and has been completed. The request is \$16.6 million more than the approved plan due to the inclusion of Phase II of the project, which would extend commuter rail service 25 miles to Wickford Junction as part of the South County Commuter Rail project. *The Governor recommended funding as requested. The Assembly concurred.*

Capital Equipment Replacement. The Department requested total project costs of \$38.7 million from all funds to replace capital equipment. This project involves the purchase of heavy trucks, sweepers, loaders, backhoes and tractors to replace the Maintenance Division's existing fleet. This project last appeared in the FY 2008 through FY 2012 approved capital plan and was funded through Certificates of Participation; however, those proceeds were no longer available after FY 2008. Subsequently, the Department used funds from the State Fleet Vehicle Replacement Fund for vehicle purchases, and this project did not appear in subsequently approved capital plans. The project is included in the current request because it programs expenditures from Rhode Island Capital Plan funds. *The Governor recommended \$37.5 million, \$1.2 million less than requested to reflect the exclusion of Rhode Island Capital Plan funds. The Assembly concurred.*

Quonset Access Road. Consistent with the approved plan, the Department requested \$114.0 million in total project costs from GARVEE Bond proceeds, Federal Highway Improvement Funds and State Motor Fuel Tax Revenue Bonds, of which \$4.0 million will be used in FY 2011 to complete Phase II of the Quonset Access Road project. The second phase connected Route 4 with the Quonset Industrial Park. The freeway is open to traffic and the project is essentially complete. *The Governor recommended funding as requested. The Assembly concurred.*

Washington Bridge Repairs. Consistent with the approved plan, the Department requested total project costs of \$72.4 million from GARVEE Bond proceeds, of which \$16.0 million will be used in the five-year period to construct a new Washington Bridge that connects Providence to Interstate 195 East. Construction is underway, and the highway portion of the bridge has been completed, with the pedestrian bridge portion of the project scheduled for completion in 2013. *The Governor recommended funding as requested. The Assembly concurred.*

Sakonnet River Bridge. Consistent with the approved plan, the Department requested total project costs of \$131.9 million from GARVEE Bond proceeds, Federal Highway Funds and State Motor Fuel Tax Revenue Bonds, of which \$0.4 million will be used in the five-year period for the Sakonnet River Bridge project. The new Sakonnet River Bridge will consist of replacing the existing Sakonnet River Bridge on a new alignment immediately south of the existing structure. The contract was awarded in April of 2009 and construction is underway, with completion scheduled for the summer of 2012. *The Governor recommended funding as requested. The Assembly concurred.*

Pawtucket/Central Falls Train Station Study. Consistent with the approved plan, the Department requested total project costs of \$3.1 million, including \$2.5 million from federal funds, \$0.5 million from the City of Pawtucket and \$0.1 million from Rhode Island Capital Plan funds, of which \$2.0 million will be spent in the five-year period to study the feasibility of operating a commuter train station in the Pawtucket/Central Falls area. *The Governor recommended funding as requested. The Assembly concurred.*

East Providence Facility Relocation. Consistent with the approved plan, the Department requested a total project cost of \$4.4 million from authorized general obligation bonds and Rhode Island Capital Plan funds for the relocation of the East Providence maintenance facility from Sachem Road to state

property on the East Shore Expressway on Route 114 and Route 6. *The Governor recommended funding as requested.* **The Assembly concurred.**

Portsmouth Facility. Consistent with the approved plan, the Department requested total project costs of \$3.5 million from authorized general obligation bonds and Rhode Island Capital Plan funds to be used from FY 2011 through FY 2012 for the relocation of the Portsmouth maintenance facility to state owned land in an area between Boyd's Lane and the ramp to Route 24 North Bound. *The Governor recommended funding as requested.* **The Assembly concurred.**

Maintenance Facility Fire Alarms. Consistent with the approved plan, the Department requested total project costs of \$0.6 million from Rhode Island Capital Plan funds to be used in FY 2011 through FY 2013 to install fire alarms at the Department's maintenance facilities to comply with updated fire code regulations. *The Governor recommended funding as requested.* **The Assembly concurred.**

Rhode Island Public Transit Authority

Projects	Status	5 Year Total	Project Total
Providence Community Connector	New	\$ -	\$ 1,000,000
Radio Interoperability	New	2,378,600	4,267,600
Bus Rapid Transit Corridor	Revised	-	1,750,000
Land and Buildings	Revised	2,800,000	5,749,282
Elmwood Facility Upgrades and Repairs	Revised	-	3,573,248
Bus Purchases	Revised	38,041,729	134,338,080
Elmwood Bus Complex Expansion	Revised	-	41,662,299
Paratransit Vehicles	Revised	9,496,955	26,481,565
Bus Washes	Ongoing	-	600,000
Kennedy Plaza Repaving	Ongoing	-	1,346,560
Intelligent Transportation Systems	Ongoing	7,055,000	10,790,932
Total		\$ 59,772,284	\$ 231,559,566
Sources of Funds			
New General Obligation Bonds		\$ 3,070,000	\$ 5,646,000
General Obligation Bonds		4,851,729	15,625,360
Federal Funds		48,136,444	164,560,456
RIPTA Operating Funds		70,000	990,780
RIPTA Revolving Loan Funds		3,154,111	8,367,496
Rhode Island Capital Funds		210,000	8,529,872
Other (Cities and Towns)		280,000	775,498
Federal Stimulus Funds		-	27,064,104
Total		\$ 59,772,284	\$ 231,559,566

Summary. The Rhode Island Public Transit Authority requested \$60.1 million for the five-year period for total project costs of \$231.9 million. Funding includes \$10.0 million from new general obligation bonds, of which \$3.6 million was approved on the November 2008 ballot and \$4.7 million that was approved on the November 2010 ballot, \$10.9 million from approved general obligation bond proceeds, \$192.0 million from federal funds, \$8.7 million from Rhode Island Capital Plan funds, \$0.8 million from other funds and \$9.5 million from Authority sources.

*The Governor recommended \$60.0 million for the five-year period for total project costs of \$231.7 million, including the recently approved general obligation bonds. The recommendation includes funding essentially as requested, but makes minor changes to reflect funds available from the Authority and funds spent prior to FY 2011. **The Assembly concurred, with the exception of removing \$190,400 of Rhode Island Capital Plan funds for the paratransit vehicles project because it is not an acceptable use of funding.***

Providence Community Connector. The Authority requested total project costs of \$1.0 million to be used for a study to determine the best method to connect the university and medical districts in the City of Providence. The study will examine the feasibility, expense and the preferred mode for a transit line connecting College Hill, Downtown and South Providence. The request includes \$800,000

of federal funds and \$200,000 of matching funds from the city to complete the study in FY 2011. *The Governor recommended funding as requested.* **The Assembly concurred.**

Radio Interoperability. The Authority requested total project costs of \$4.3 million to integrate the Authority's communication system into the Rhode Island Statewide Communications Network (RISCON), enabling full interoperability with state communications. The Authority's fleet currently operates on an analog signal and is not compatible with other agencies on the statewide network. The project is federally funded and completion is scheduled for FY 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bus Rapid Transit Corridor. The Authority requested \$1.8 million from federal stimulus funds for a new project to develop more efficient bus service on the Authority's busiest fixed routes; the number 11 Broad Street bus route and the number 99 Pawtucket bus route. The funding will be used for the planning and development of traffic signal priority, the integration of Intelligent Transportation Systems with hybrid buses as well as improvements to bus stops such as additional lighting and customer information. The request is \$0.8 million more than the approved plan due to revised implementation costs. *The Governor recommended funding as requested.* **The Assembly concurred.**

Land and Buildings. The Authority requested total project costs of \$5.7 million to improve security at its Elmwood facilities, provide upgrades to various transit hubs and park and ride facilities. Requested expenditures include \$0.7 million each year for fiscal years 2011 through 2015. The request is \$0.7 million less than the approved plan to reflect revised construction cost estimates. *The Governor recommended funding essentially as requested, but made slight revisions to funding provided from the Authority's operating funds to reflect availability.* **The Assembly concurred.**

Elmwood Facility Upgrades. The Authority requested total project costs of \$3.6 million to be used for various improvements at its Elmwood Avenue facility. The project will be funded with Authority revenue, federal formula grants as well as a competitive grant awarded through the American Recovery and Reinvestment Act. The request is \$1.8 million more than the approved plan to reflect an updated project scope resulting from the increase in available federal competitive grant funds. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bus Purchases. Consistent with the approved plan, the Authority requested total project costs of \$133.9 million to replace 79 buses, trolleys and flex vehicles. Flex vehicles are vans that supply service to low density areas. The Authority indicates that these vehicles have or will have exceeded their useful lives. This project is considered revised due to the inclusion of \$10.0 million of new general obligation bond authorization including \$4.7 million approved on the November 2010 ballot. The request also includes \$3.6 million approved by the voters in November 2008. The general obligation bonds will serve as the 20.0 percent local match required to obtain federal funds for bus purchases.

The Governor recommended \$134.3 million, \$0.4 million more than requested to reflect revised expenditures from previously authorized general obligation bonds. The recommendation includes \$5.5 million of new general obligation bonds that would be presented to the voters on the November 2012 ballot. **The Assembly concurred.**

Elmwood Bus Complex Expansion. Consistent with the approved plan, the Authority requested total project costs of \$41.7 million for the expansion of its Elmwood Avenue facilities to include a centralized maintenance and administrative operations building, increased bus storage and parking capacity, additional fuel/wash station capacity as well as a new customer service and administrative

operations office building. The new complex is complete and was opened on July 26, 2010. The project is included in the request due to \$2.7 million of expenditures programmed in FY 2011 to complete smaller aspects of the project, as well as to complete payments to vendors. *The Governor recommended funding essentially as requested, but shifted \$0.2 million of Rhode Island Capital Plan funds from the pre-FY 2011 period to FY 2011 to reflect actual expenditures.* **The Assembly concurred.**

Paratransit Vehicles. Consistent with the approved plan, the Authority requested \$10.2 million to be used in the five-year period for the replacement of paratransit vehicles, upgrades to paratransit software, and the installation of surveillance equipment on the vehicles. The request includes total project costs of \$27.2 million, consisting of \$21.7 million from federal funds, \$5.2 million from the Authority's paratransit revolving funds and \$0.2 million from Rhode Island Capital Plan funds.

The Governor recommended \$26.7 million, \$0.5 million less than requested including \$0.4 million less from federal funds to reflect actual federal awards and \$0.1 million less from the Authority's paratransit revolving funds to reflect availability. The recommendation also shifts \$0.2 million of Rhode Island Capital Plan funds from FY 2011 to FY 2012 based on projected expenditures. **The Assembly removed \$190,400 of Rhode Island Capital Plan funds because it is not an acceptable use of funding. Rhode Island Capital Plan funds are not used for vehicles.**

Bus Washes. Consistent with the approved plan, the Authority requested \$600,000 from federal stimulus funds to be used in FY 2011 to replace the existing one lane bus wash at its Newport facility as well as making upgrades to its Elmwood Avenue facility. The new facility will accommodate all of the Authority's vehicles, including non-revenue vehicles and trolleys. Currently, the compressed natural gas powered trolleys are washed by hand. *The Governor recommended funding as requested.* **The Assembly concurred.**

Kennedy Plaza Repaving. The Authority requested total project costs of \$1.3 million to repave Kennedy Plaza as well as to provide pedestrian and passenger enhancements such as repairs to crosswalks and the addition of tables, shelter and lighting. Kennedy Plaza is the central hub for the Authority and accommodates 528 weekday bus trips and requires roadway and bus berth repairs in order to maintain a safe and attractive hub. The request is \$0.1 million less than the approved plan to reflect reduced construction expenses. *The Governor recommended funding as requested.* **The Assembly concurred.**

Intelligent Transportation Systems. Consistent with the approved plan, the Authority requested a total project cost of \$10.8 million from all funds, of which \$7.1 million will be spent in FY 2012 and FY 2013 for the implementation of an Intelligent Transportation System. When fully deployed, the system will integrate real time bus data with the Authority's fleet supervisors and customer service kiosks, using computer aided dispatch and automatic vehicle location technologies. These technologies will allow the phone and web-based systems to accommodate real time arrival information. *The Governor recommended funding as requested.* **The Assembly concurred.**

Rhode Island Airport Corporation

Projects	Status	5 Year Total	Project Total
T.F. Green Expansion	New	\$ 166,718,429	\$ 166,718,429
Outlying Airports General Improvements	Revised	25,163,683	26,611,744
T.F. Green Noise Mitigation & Land Acquisition	Revised	64,579,901	80,842,803
T.F. Green Airport General Improvements	Revised	4,022,000	4,127,000
Environmental Compliance	Ongoing	24,704,906	25,254,906
Warwick Intermodal Train Station	Ongoing	-	111,303,189
Total		\$ 285,188,919	\$ 414,858,071
Sources of Funds			
Federal Funds		\$ 161,069,733	\$ 175,874,963
Passenger Facility Charges		21,614,499	24,849,079
TIFIA Loan		-	42,000,000
Customer Facility Charges		-	29,688,754
Airport Bonds		102,112,550	141,864,485
Airport Operating Funds		392,137	580,790
Total		\$ 285,188,919	\$ 414,858,071

Summary. The Airport Corporation requested total capital expenditures of \$302.5 million, including \$285.2 million during the FY 2012 through FY 2016 period. The request is for five projects, one of which is new, and assumes the issuance of \$102.1 million of future airport corporation bonds.

*The Governor recommended \$414.9 million, \$112.4 million more than requested primarily due to the inclusion of the Warwick Intermodal Train Station project, which was inadvertently excluded from the request. **The Assembly concurred.***

T.F. Green Expansion. The Corporation requested total project costs of \$166.7 million for a new project to extend the runway at T.F. Green Airport, including reconstructing and repaving the runway, relocating roads and modifying the surrounding taxiways to comply with Federal Aviation Administration requirements. Funding includes \$83.4 million from federal funds, \$8.7 million from passenger facility charges and \$74.6 million from the Airport Corporation's revenue bonds. The request programs construction to begin in FY 2012 with completion anticipated in FY 2014. *The Governor recommended funding as requested. **The Assembly concurred.***

Outlying Airports General Improvements. The Corporation requested \$25.5 million for 15 projects to make improvements at the Corporation's five general aviation airports including \$25.2 million for FY 2012 through FY 2016. Projects include construction of maintenance facilities, easement acquisition, drainage improvement projects and the rehabilitation of runways. The request is \$5.8 million more than the approved plan due to the inclusion of five new projects and the exclusion of one completed project. Funding requested in the five-year period includes \$21.6 million from federal funds, \$3.3 million from the Corporation's revenue bonds and \$0.3 million from the Corporation's general revenues. *The Governor recommended \$26.6 million, \$1.1 million more than requested to reflect an increase in spent federal funds during the pre-FY 2011 period. **The Assembly concurred.***

T.F. Green Noise Mitigation & Land Acquisition. The Corporation requested a total project cost of \$80.8 million for noise mitigation projects at T. F. Green Airport, including \$64.6 million to be spent during the FY 2012 through FY 2016 period. These projects involve land acquisition, which was being carried out in two individual projects. The first project consisted of seven phases of land acquisition, which have been completed. The request is \$13.7 million less than the approved plan due to a revised acquisition plan, which is being developed in accordance with a new noise contour map approved by the Federal Aviation Administration. Total expenditures for the land acquisition and noise mitigation program in the five-year period include \$51.6 million from federal funds, \$12.9 million from passenger facility charges and \$0.1 million from airport general revenue. *The Governor recommended funding as requested.* **The Assembly concurred.**

T.F. Green Airport General Improvements. The Airport Corporation requested total expenditures of \$4.1 million for two individual general improvement projects at T.F. Green Airport, \$4.0 million of which will be spent in FY 2012. Requested funding consists of \$3.1 million from federal funds, \$0.9 million from airport revenue bonds and \$0.1 million from the Corporation's operating funds. The request is \$3.1 million less than the approved plan due to the completion of the two larger scale improvement projects included in the previous plan, and the inclusion of two smaller scale projects in the current request. *The Governor recommended funding as requested.* **The Assembly concurred.**

Environmental Compliance. Consistent with the approved plan, the Corporation requested total project costs of \$25.3 million for environmental compliance projects at T.F. Green Airport. The first component of this project involves the identification of a long-term strategy to manage the reclamation of glycol, which is used to de-ice planes at the airport. The second component will establish an environmental management system that will be used to more efficiently manage environmental issues at T.F. Green Airport. Project funding includes \$1.9 million from federal funds and \$23.4 million from Corporation revenue bonds. *The Governor recommended funding as requested.* **The Assembly concurred.**

Warwick Intermodal Train Station. The Corporation's request does not include funding for the Warwick Intermodal Train Station project, an inadvertent exclusion. The station includes access to trains, a bus terminal, rental car operations, additional parking and an elevated moving sidewalk to transport passengers. The \$111.3 million project will be completed in FY 2011. *The Governor's capital budget shows the \$111.3 million project.* **The Assembly concurred.**