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# **Section IV**

## **Capital Budget**

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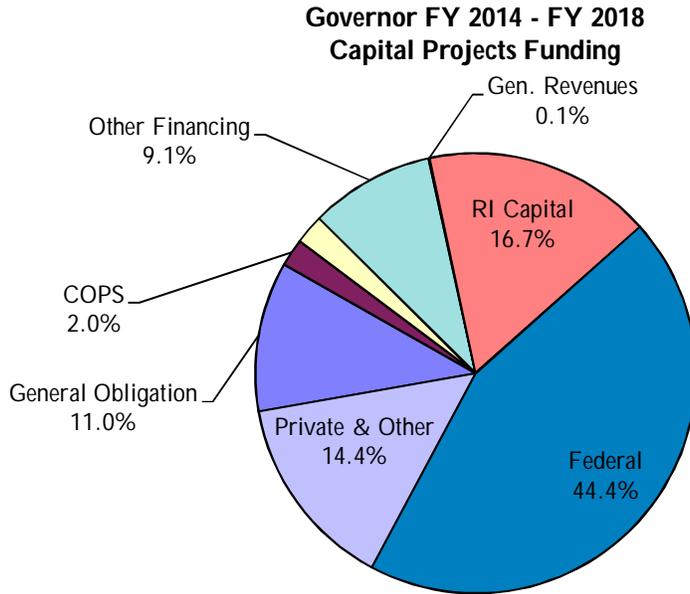
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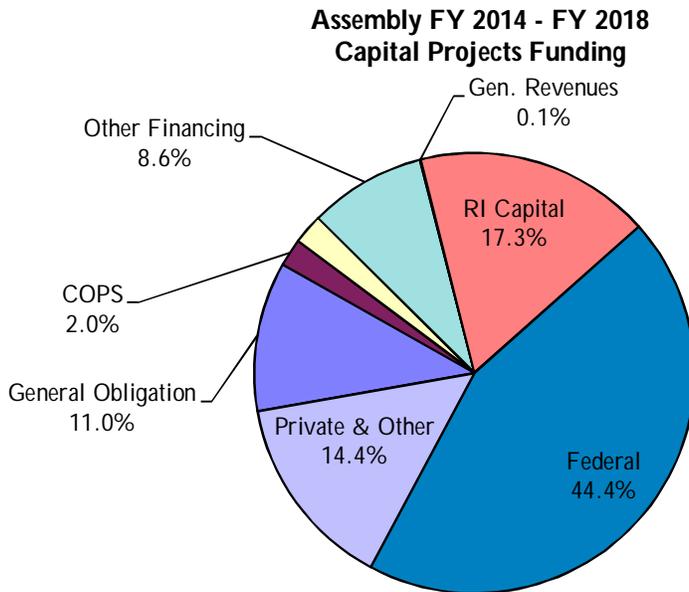
# Capital Budget

## Summary

The Governor's five-year capital recommendations for FY 2014 through FY 2018 call for total outlays of \$3,326.0 million for the period. Financing the plan requires \$810.3 million of debt issuances and \$2,535.8 million from current revenue streams.

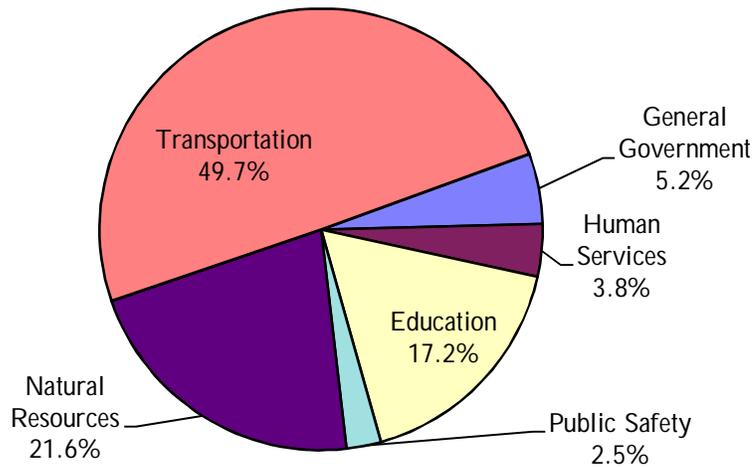


The Assembly is adopted plan includes capital outlays of \$3,327.4 million for the period. Financing the plan requires \$791.6 million of debt issuances and \$2,535.8 million from current revenue streams.



- **Outlays and Funding.** The FY 2014 through FY 2018 adopted plan includes \$3,327.4 million of outlays on \$9,025.9 million of project estimates. Average outlays would be \$665.5 million per year for the five-year period with \$761.7 million required at the end of the period to complete the projects.
- **General Obligation Bonds Referenda.** Financing the plan is based on \$365.6 million of general obligation bond debt issuances, including \$167.5 million from new general obligation bonds to be presented to the voters on the November 2014 ballot. Legislation to include the projects would be considered as part of the FY 2015 budget.
- **Other Debt Approvals.** As in prior years the capital budget includes expenditures from other debt sources but the corresponding legislation required has been excluded from the Governor's recommendations. For Public Higher Education, the Governor's recommendation includes \$213.3 million from revenue bonds, including \$115.5 million in the five-year period for which the Governor did not submit a resolution for Assembly approval of the debt issuance. This is the case for seven revenue bond funded projects for higher education, including two projects that assume expenditures beginning in FY 2014. The adopted plan is based on current law, and because no authorization was ever enacted for these projects, they have been removed from the plan.
- **Financing.** Paying for the five-year outlays includes \$791.6 million from debt financing and \$2,535.8 million from current or pay-go sources. Pay-go represents 76.2 percent with debt funding being 23.8 percent.
- **Debt Levels.** Total net tax supported debt decreases by \$274.4 million from the \$1,872.6 million reported for the end of FY 2012 during the period through FY 2018 to \$1,598.2 million. However, past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget.
- **Debt Ratios.** Net tax supported debt would decrease from 3.9 percent of personal income reported for FY 2012 to 3.7 percent in FY 2013 before dropping gradually back to 2.5 percent in FY 2018 assuming that the capital budget is not increased. However, as with debt levels, past practice indicates it is likely to be higher than projected.
- **Debt Service.** Debt service in the recommended capital budget would increase from 6.9 percent of useable general revenues in FY 2013 to 7.1 percent in FY 2018.
- **Rhode Island Capital Plan Fund.** The recommended plan relied heavily on the use of Rhode Island Capital Plan funds, an important source of pay-go funds designed to reduce the need for borrowing. In many instances the use of funds did not follow past practices, as illustrated in the recommendation for the Rhode Island Public Transit Authority, which includes funding for operating expenditures, traditional maintenance activities and upgrades to its existing fleet. The adopted plan removed these expenditures where appropriate.

## FY 2014 - FY 2018 Capital Projects by Function



### Outlays and Funding

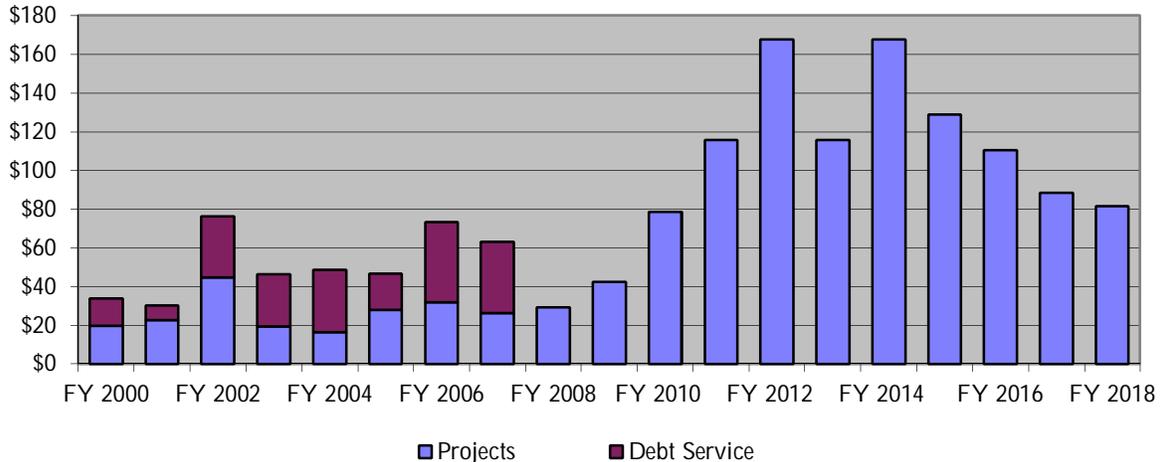
The total five-year outlays of \$3,327.4 million are supported by a mix of pay-as-you-go funding and financing. Over three-quarters, 76.2 percent of outlays, are supported by current revenues, or pay-go, with the remaining 23.8 percent from financing.

**Pay-Go.** The pay-go sources include \$1,477.3 million from federal sources, \$577.1 million from Rhode Island Capital Plan funds, \$2.1 million from general revenues, and \$479.2 million from private and other sources. Federal funds remain the largest source of capital funding, providing 44.4 percent of all funding, and 58.3 percent of pay-go funding.

A primary source of state pay-go funding is the Rhode Island Capital Plan Fund. These revenues are derived from the amounts exceeding 2.0 to 5.0 percent of revenues in the state's Budget Stabilization and Cash Reserve Account, or "rainy day fund." The rainy day fund received 2.0 percent of all revenues and opening surpluses in FY 2008, increasing by 0.2 percent per year until reaching 3.0 percent in FY 2013. It was capped at 3.0 percent in FY 2008, increasing by 0.4 percent per year until reaching a 5.0 percent cap in FY 2013. Resources above the cap are transferred to the Rhode Island Capital Plan Fund.

The fund was used nearly exclusively for debt service in the early 1990s. Governor Almond began moving debt service back to general revenues as the economy improved in the latter half of that decade, reserving the capital fund for pay-go capital projects so as not to use any for debt service by FY 2002. However, the economic slowdown caused that to reverse beginning in FY 2002, with significant amounts again used for debt service. The 2006 Assembly noted that the Governor's budget was increasingly relying on these funds for debt service, while increasing the amounts being financed. It submitted a constitutional amendment to limit the use of the fund to capital projects beginning in FY 2008, which the voters approved.

### Use of Rhode Island Capital Fund



With the transition to the 5.0 percent cap complete, resources in the Rhode Island Capital Plan Fund have grown and begun to fulfill the purpose of lowering borrowing needs. An example of this is the annual funding being used in lieu of borrowing to match federal transportation funds. This includes \$21.1 million for FY 2014, \$21.7 million for FY 2015 and \$23.2 million for FY 2016 and each year thereafter. This annual use of funds will save debt issuance and service costs in future years.

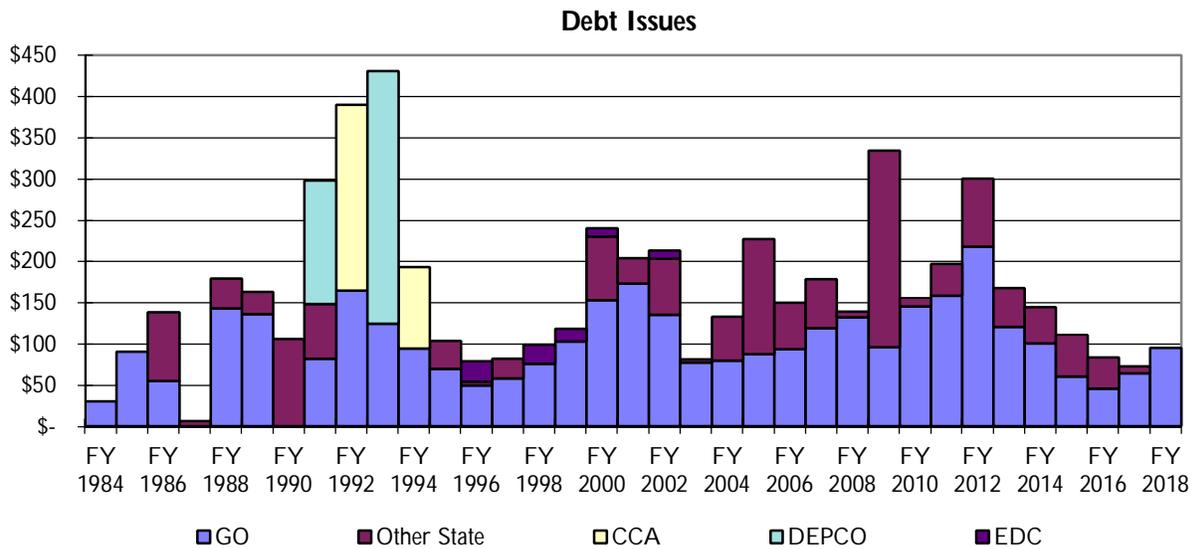
**Debt Financing.** Proceeds from financing, \$791.6 million, constitute 23.8 percent of the funds available for outlays during the period. Tax supported financing comprises \$433.4 million, including \$365.6 million from general obligation bonds and \$67.9 million from Certificates of Participation. Outlays from tax supported financing are 54.7 percent of the financed outlays and 13.0 percent of all outlays.

Other non-tax supported financing supports \$376.9 million of outlays, or 11.3 percent. It includes debt such as \$16.2 million from GARVEE bonds for five major highway and bridge projects and \$93.7 million issued by the Rhode Island Health and Educational Building Corporation for higher education projects supported by University and College funds. The capital budget omits other non-tax supported financing from the state's quasi-public agencies. Historically, this debt had been included in the state's totals. They have been removed from the current capital budget based on a decision by the previous administration that since state sources are not used, they should not be included in the totals.

The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. Debt that is an obligation of the state for which a non-state entity makes the debt service payments is not generally considered as net tax supported debt. An example is the bonds issued for the Narragansett Bay Water Quality Management District Commission for which the Commission pays the debt service from user charges.

Debt issued by the colleges and university through the Rhode Island Health and Educational Building Corporation is also not included. This five-year budget includes expenditures of \$93.7 million from these bonds. It should be recalled that it is the total charges to students that is used in tuition and fee comparisons to other institutions. This forms the context for general revenue appropriation requests by the Board of Higher Education. Thus, any tuition and fees used for debt service are not available for general operating expenses. Further, in the event of insufficient University and College fund

revenues, it is likely that the state would intervene with appropriations rather than allow bondholders to take over the assets financed.



**38 Studios.** In 2010 the Economic Development Corporation backed a \$75.0 million loan to 38 Studios through the Job Creation Guaranty Program. Of the loan amount, \$12.7 million was held in a capital reserve account and \$10.6 million was held on a capitalized interest account. 38 Studios defaulted on the loan after paying \$1.125 million of guaranty fees. The Corporation used the \$10.6 million to cover interest only debt service from FY 2011 through FY 2013. The adopted plan includes a payment of \$2.5 million from general revenues. The debt service payments are accounted for in the Capital Budget along with all other debt obligations for the state.

General revenue is used for these payments, and they are budgeted in the Department of Administration. The 2013 Assembly included an appropriation of \$50,000 to perform a market analysis to further explore the implications of the state not repaying the debt authorized for the project. Assuming no further action is taken, and the state continues to pay, debt service payments for 38 Studios will continue through FY 2021 for a total amount of \$89.3 million. The total outstanding debt is summarized in the table below, which does not reflect proceeds from any pending litigation.

Fiscal Year	Projected Debt Service
2014	\$ 2,500,000
2015	12,511,463
2016	12,499,113
2017	12,449,288
2018	12,378,881
2019	12,352,638
2020	12,322,300
2021	12,288,413
<b>Total</b>	<b>\$ 89,302,096</b>

**Fire Code Compliance.** The Capital Budget contains numerous projects in seven separate agencies to bring state buildings into compliance with changes in the state fire code since the 2003 Station nightclub fire. The passage of the Comprehensive Fire Safety Act of 2003 resulted in the adoption of significant additions and revisions to the state fire code. The adopted plan includes approximately \$30

million for upgrades related to the revised fire code in the five-year period, with approximately \$10 million included in FY 2013. It appears the majority of these projects will be completed by the end of the period, with \$1.0 million scheduled for the post-FY 2018 period.

### New Debt Authorizations

The adopted plan includes \$258.0 million of new debt authority for projects in the five-year period that require approval by the General Assembly for Public Higher Education. Of this amount, \$167.5 million would be derived from general obligation bonds that would be placed on the November 2014 ballot for voter approval, and \$90.5 million would require approval by the Assembly under the Public Debt Management Act.

The Public Debt Management Act requires that all new debt authorizations be approved by the Assembly unless the Governor certifies that federal funds will be available to make all of the payments which the state is or could be obligated to make under the financing lease or guarantee; or the General Assembly has adjourned for the year with the expectation that it will not meet again until the following year and the Governor certifies that action is necessary, because of events occurring after the General Assembly has adjourned, to protect the physical integrity of an essential public facility, to ensure the continued delivery of essential public services, or to maintain the credit worthiness of the state in the financial markets. Select quasi-public agencies are exempt. The 2009 Assembly rescinded the exception previously granted to the Rhode Island Resource Recovery Corporation. The Governor's proposal for new debt does not include any authorizations under the Public Debt Management Act; however, it does program funding from debt issuances in Public Higher Education.

The following table shows the new debt authority authorized by the 2013 Assembly. It is followed by descriptions of the projects.

FY 2014 - FY 2018 Capital Plan	2014	2016	Total
<b>Higher Education</b>			
URI Engineering Building Renovations	\$ 102,500,000	\$ -	\$ 102,500,000
URI Fine Arts Center - Renovation and Addition	65,000,000	-	65,000,000
<b>Total New Referenda</b>	<b>\$ 167,500,000</b>	<b>\$ -</b>	<b>\$ 167,500,000</b>
<b>Other Debt Instruments - RIHEBC Bonds</b>			
RIC Student Union Addition/Renovation	\$ -	\$ 34,477,689	\$ 34,477,689
URI Kingston Parking Garage	15,700,000	-	15,700,000
URI Infrastructure Upgrade	10,500,000	11,000,000	21,500,000
URI Bay Campus Utilities and Infrastructure	-	2,000,000	2,000,000
URI Health and Counseling Center	-	16,800,000	16,800,000
<b>Subtotal</b>	<b>\$ 26,200,000</b>	<b>\$ 64,277,689</b>	<b>\$ 90,477,689</b>
<b>Total New Debt Authorization Recommended</b>	<b>\$ 193,700,000</b>	<b>\$ 64,277,689</b>	<b>\$ 257,977,689</b>

**General Obligation Bond Referenda.** The plan includes \$167.5 million in new referenda to be presented to the voters in November 2014. Section 35-3-7.1 of the Rhode Island General Laws provides that bond referenda only be presented during election years absent special circumstances. These individual projects are described in greater detail below.

*URI Engineering Building Renovations.* The plan includes \$102.5 million from new general obligation bonds for a project to renovate and make additions to Bliss Hall, Crawford Hall, Gilbreth Hall, Kelly Hall and Annex, Wales Hall, as well as a replacement for the Sheets building serving the Ocean Engineering Program on the Narragansett Bay Campus. The project will focus on the improvement of

classrooms and offices, modernization of teaching laboratories, upgrading elevators, and restrooms. The heating, ventilating, and air conditioning systems will also be replaced along with the roofs. The project encompasses 173,817 square feet of space.

*URI Fine Arts Center Renovation and Addition.* The plan includes \$65.0 million from new general obligation bonds for a project involving the complete renovation and enhancement of the 120,720 square foot fine arts center on the Kingston Campus, which serves the University's program in the musical, theatrical, visual and graphic arts.

**Public Corporation Debt Management Act Debt Issues.** The plan also includes \$90.5 million of new authorization for debt that would not require voter approval. The 2013 Assembly was not presented with any authorizations to approve projects, most of which are not likely needed until FY 2015. The projects are described below.

*RIC Student Union Renovation/Addition.* The plan includes \$34.5 million for the renovation and expansion of the Student Union. The architectural and engineering studies have been completed, and the goal of the project is to provide more space and educational opportunity.

*URI Kingston Parking Garage.* The plan includes \$15.7 million for the design and construction of a multi-level parking structure on a portion of the Chafee Hall faculty and staff parking lot. The project includes parking on five levels, including a sub-entry level, with a total of 561 parking spaces.

*URI Infrastructure Upgrade.* The plan includes \$21.5 million for two phases of utility infrastructure upgrades. Funding is programmed over FY 2014 and FY 2015 for phase I and from FY 2016 through FY 2018 for phase II. The project involves the replacement of the steam/condensate distribution system, water distribution system, electrical distribution system and the sanitary sewer system.

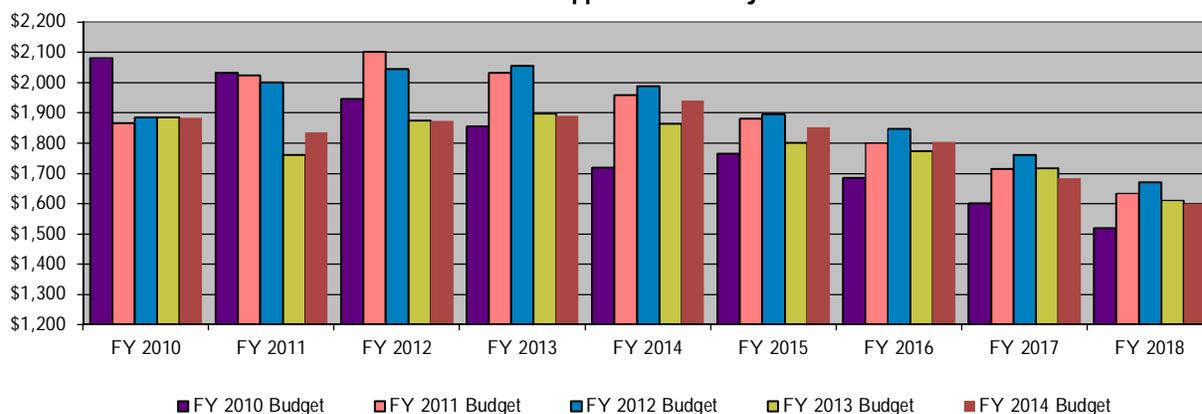
*URI Bay Campus – Utilities and Infrastructure.* The plan includes \$2.0 million for a new project to make infrastructure and utility repairs on the Narragansett Bay Campus. Repairs would be made to roads, parking lots, walkways, potable water and sewage pumping stations, and the pier.

*URI Health and Counseling Center.* The plan includes \$16.8 million for a new project to begin in FY 2018 to combine health services and the counseling center into a single facility. The existing, physically separate facilities require duplications in support staff and medical record keeping. The University reports that the capacity of both facilities is insufficient to meet the growing demand for health and counseling services.

### **Debt Levels**

Total net tax supported debt decreases by \$274.4 million from the \$1,872.6 million reported for the end of FY 2012 during the period through FY 2018 to \$1,598.2 million. Net tax supported debt had dropped to \$1,280.9 million in FY 2003 after the state used \$247.6 million of special revenue bonds backed by the state's tobacco master settlement agreement to defease general obligation bond debt in FY 2002.

**Net Tax Supported Debt Projections**



Past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget. The previous chart shows projected debt levels for FY 2010 through FY 2018 in the past five budgets. The FY 2018 presented in the FY 2014 capital budget is \$11.5 million less than the FY 2018 projection in the FY 2013 capital budget. Indeed, it is \$71.9 million lower than even the projection in the FY 2012 capital budget.

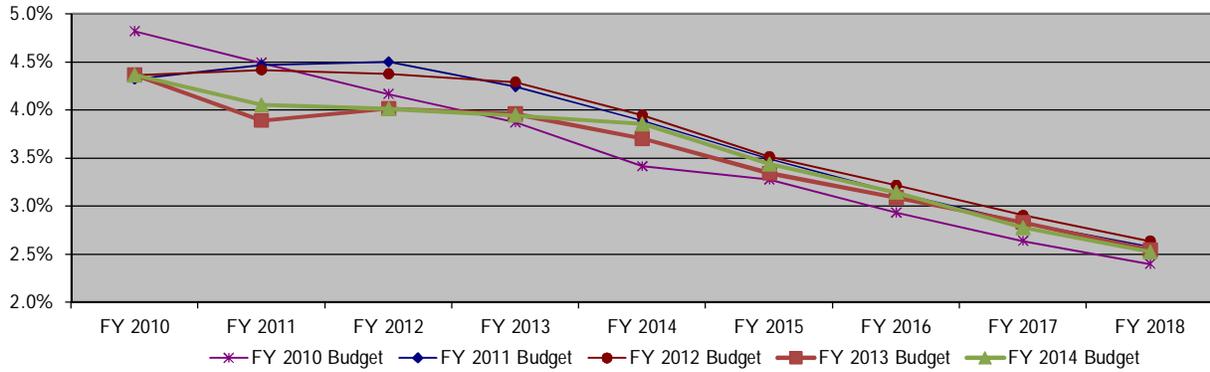
This decrease can be attributed to the exclusion of fewer new projects, as well as a statewide shift to pay-go sources in lieu of borrowing. For example, for the first time in recent history, the Capital Budget does not include future debt authorizations for the Department of Transportation to use as the state match for federal highway funds. This alone accounts for an annual decrease in new debt of \$40.0 million. The plan also excludes a majority of new general obligation bond proceeds for education infrastructure, natural resources and affordable housing, as they were approved in November of 2012, which lowers the projected need in the out-years. As previously noted, it is important to realize that although debt levels appear to be decreasing in the five-year period, they are likely to increase as new initiatives are included.

Debt is often expressed as a percent of state personal income as a measure to compare across jurisdictions; state personal income is a rough measure of the state's wealth, and therefore its potential ability to service its debt. Net tax supported debt would decrease from 4.0 percent of personal income reported for FY 2012 to 3.9 percent in FY 2013 before dropping gradually back to 2.5 percent in FY 2018 assuming that the capital budget is not increased.

Debt ratios began increasing significantly in FY 1988, reaching a peak in FY 1994 as the Depositors Economic Protection Corporation and Convention Center debt was added. In FY 1994, net tax supported debt reached 8.4 percent of personal income, a measure used to compare affordability across states. The prior and current administrations have expressed the reduction of that ratio as a major capital policy goal. The FY 2004 level of 3.7 percent is the lowest since staff began keeping track in FY 1991 due to use of the tobacco master settlement agreement revenues securitization.

However, as with debt levels, past practices indicate it is likely to be higher than projected. The following chart shows projected debt as percent of personal income for FY 2010 through FY 2018 in the past five budgets. The FY 2012 Budget projected FY 2018 debt at 2.6 percent of personal income, which is 10 basis points higher than the 2.5 percent projected in the FY 2013 budget, and that assumes that the debt levels do not increase in subsequent budgets.

### Debt as Percent of Personal Income

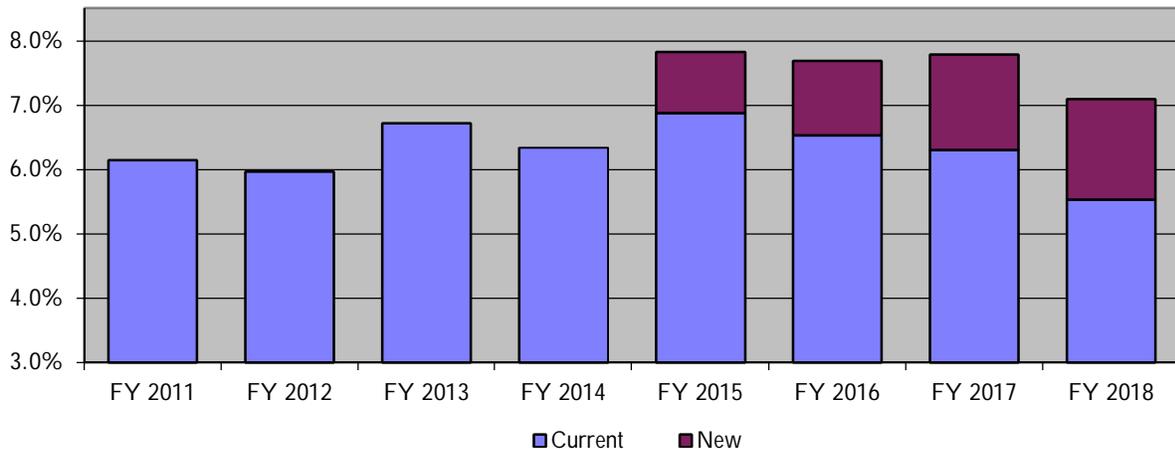


### Debt Service

Useable general revenues are estimated collections net of the transfers to the Budget Stabilization and Cash Reserve account. These transfers ultimately become Rhode Island Capital Plan funds and cannot be used for debt service. The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. Examining net tax supported debt's proportion of useable general revenues provides a snapshot of how the state is leveraging its debt compared to available resources eligible to pay off that debt.

Using actual totals from FY 2011 and FY 2012, debt service as a percent of general revenues was 6.1 percent and 6.0 percent respectively. Debt service in the adopted capital budget would increase from 6.8 percent of useable general revenues in FY 2014 to 7.1 percent in FY 2018, or a 1.1 percent total increase during the FY 2012 through FY 2018 period. During this same period, debt service as a percent of useable general revenues peaks at 7.8 percent, before dropping back to 7.1 percent in FY 2018. This spike can be attributed to payments for previously approved general obligation bonds, which peak in FY 2017, which is when the effects of transitioning to pay-go funds in lieu of financing for certain projects becomes more evident.

### Net Debt Service as Percent of Useable General Revenues





## Department of Administration

Projects	5 Year Total	Project Total
Pastore Center	\$ 28,767,385	\$ 77,673,382
Other State Facilities	77,429,789	141,951,313
Other Projects	46,001,617	134,778,959
<b>Total</b>	<b>\$ 152,198,791</b>	<b>\$ 354,403,654</b>
<b>Sources of Funds</b>		
Certificates of Participation	\$ 14,662,117	\$ 83,058,365
Federal Funds	-	1,850,000
General Obligation Bonds	27,700,000	64,924,554
General Revenues	1,689,500	5,131,616
Other	-	156,888
Restricted Receipts	-	2,048,600
Rhode Island Capital Funds	108,147,174	197,233,631
<b>Total</b>	<b>\$ 152,198,791</b>	<b>\$ 354,403,654</b>

**Summary.** The Department of Administration requested total capital expenditures of \$400.3 million, of which \$179.2 million would be spent in the five-year period for 39 projects; two of which are new. Funding in the five-year plan includes \$16.4 million from new general obligation bonds for the Water Resources Board's Water Facilities Assistance program, \$101.9 million from Rhode Island Capital Plan funds, \$13.9 million from Certificates of Participation, \$44.9 million from approved general obligation bonds and \$2.1 million from general revenues.

The request is \$73.3 million more than the approved plan, primarily for the inclusion of funding for the Convention Center Authority and the \$28.3 million of new general obligation bonds for the Water Facilities Assistance program.

*The Governor recommended expenditures totaling \$350.6 million, of which \$145.5 million will be used in the five-year period for 39 projects. The recommendation is \$24.3 million more than the approved plan, primarily for the inclusion of \$4.5 million to purchase the land adjacent to the Veterans Memorial Auditorium, \$6.5 million for the Dunkin Donuts Center and \$5.5 million for the Rhode Island Convention Center. The recommendation is \$49.7 million less than requested, \$36.3 million of which is due to the exclusion of water related projects.*

*The Governor subsequently requested several amendments revising the projects to reflect delays and adding new projects.*

**The Assembly provided total project costs of \$354.4 million, of which \$152.2 million will be used in the five-year period. This is \$3.8 million less than recommended. The Assembly also provided \$1.5 million from Rhode Island Capital Plan funds to renovate Harrington Hall and essentially concurred with the remainder of the recommendation.**

Projects	Status	5 Year Total	Project Total
<i>Pastore Center</i>			
Pastore Center Harrington Hall	New	\$ 1,500,000	\$ 1,500,000
Pastore Center Mathias Building	New	1,600,000	1,600,000
Pastore Center Virks Building	New	1,000,000	1,000,000
Pastore Center Buildings Demolition	Revised	6,900,000	8,930,134
Pastore Center Cottages Rehabilitation	Revised	1,430,000	1,500,000
Pastore Center Parking	Revised	300,000	1,700,000
Pastore Central Power Plant	Revised	2,400,000	33,597,712
Pastore Center Rehabilitation	Revised	5,450,000	8,887,928
Pastore Center Fire Code Compliance	Ongoing	4,491,596	9,070,273
Pastore Center Utilities Upgrade	Ongoing	3,245,789	8,324,338
Pastore Center Utilities Water Tanks and Pipes	Ongoing	450,000	1,562,997
<b>Total</b>		<b>\$ 28,767,385</b>	<b>\$ 77,673,382</b>

**Pastore Center Harrington Hall.** The Office of Housing Resources had planned on using a total of \$0.6 million from available federal Consolidated Homeless funds over several years to rehabilitate Harrington Hall in the Pastore Center. The building was built in 1936, has a total square footage of 22,071 and is used as a homeless shelter for men. The shelter occupies a former gymnasium and has an 88-bed capacity with two bathrooms and three showers. *The Governor did not recommend any funding for this project.* **The Assembly provided \$1.5 million from Rhode Island Capital Plan funds, of which \$1.0 million will be used in FY 2014 to renovate the facility. This will free up federal funds, which can now be used for emergency winter shelter operations.**

**Pastore Center Mathias Building.** Subsequent to his budget submission, the Governor requested an amendment to provide \$1.6 million from Rhode Island Capital Plan funds in FY 2014 to renovate the Mathias building on the Pastore Center. The project will include roof repairs, upgrades to the heating, ventilation and air conditioning system and telecommunication improvements. Funding for this was not included in the Department's request. *The Governor recommended \$1.6 million in FY 2014.* **The Assembly concurred with the subsequent amendment.**

**Pastore Center Virks Building.** Subsequent to his budget submission, the Governor requested an amendment to provide \$1.0 million from Rhode Island Capital Plan funds in FY 2014 to repair the roof on the Virks building on the Pastore Center. The funds will also be used for interior renovations. Funding for this was not included the Department's request. *The Governor recommended \$1.0 million in FY 2014.* **The Assembly concurred with the subsequent amendment.**

**Pastore Center Buildings Demolition.** The Department requested \$10.0 million from Rhode Island Capital Plan funds to be used through FY 2018 to remove asbestos and demolish buildings in the Pastore Center. The demolition of Building D is completed and Building A is in the process of being demolished. The buildings have been vacant for more than 15 years. In FY 2013, the Department plans to hire an engineering firm to develop a demolition plan for additional buildings. The total project cost is \$1.5 million more than the approved plan due to demolishing of the Pinel building after the completion of the hospital consolidation project. *The Governor recommended total costs as requested; however, shifted \$525,000 from FY 2015 to FY 2016 to reflect a revised schedule. He subsequently requested an amendment to reduce the FY 2013 appropriation by \$1.1 million to reflect a savings.* **The Assembly concurred.**

**Pastore Center Cottages Rehabilitation.** The Department requested project costs of \$0.6 million from Rhode Island Capital Plan funds to renovate three four-bedroom cottages at the Pastore Center for office space. The Department of Administration noted that an agreement with the Historical Preservation and Heritage Commission requires that the cottages be preserved for their historic significance. The Department indicated that if the cottages are not restored soon, they will be beyond repair and will have to be demolished. The total project cost is consistent with the approved plan; however, the Department shifted \$0.2 million from FY 2016 to FY 2014 to expedite renovations before the cottages are beyond repair. *The Governor recommended funding as requested. He subsequently requested an amendment to provide an additional \$0.9 million for interior renovations.*  
**The Assembly concurred.**

**Pastore Center Parking.** Consistent with the approved plan, the Department requested capital expenditures of \$1.2 million from Rhode Island Capital Plan funds to construct additional parking spaces off of the west end of Howard Avenue in the Pastore Center. The Department indicated that a parking needs assessment was completed in 2002 and since then several state agencies including the Department of Business Regulation, the Traffic Tribunal and the Division of Motor Vehicles have relocated to the Pastore Center. Additionally, during winter conditions overflow parking has made it difficult for emergency vehicles to get by. *The Governor recommended total project costs as requested. He subsequently requested an amendment to add \$1.2 million in the current year by adding \$0.5 million and advancing \$0.7 million from FY 2017 to accelerate the completion of the project.*  
**The Assembly concurred.**

**Pastore Central Power Plant.** The Department requested \$33.0 million from approved Certificates of Participation and Rhode Island Capital Plan funds to continue work on the Central Power Plant in the Pastore Center to bring one of the boilers to a high state of reliability. The approved plan includes \$0.8 million to purchase and install a diesel Blackstart generator. The request includes \$0.4 million more than the approved plan for this purchase; however, the bid came in at \$2.5 million and based on the costs of operating the generator, the Department decided to purchase a natural gas generator instead, which is estimated at \$2.6 million. Operating costs for the natural gas generator could be \$1.0 million less than the diesel generator. The Department is exploring the possibility of obtaining funding from National Grid. *The Governor recommended a total project cost of \$33.6 million, including \$2.6 million in FY 2013 and FY 2014 to purchase and install the generator. He requested an amendment to shift \$1.6 million from FY 2013 to FY 2014 to reflect a project delay.* **The Assembly concurred.**

**Pastore Center Rehabilitation.** The Department requested a total project cost of \$7.8 million from Rhode Island Capital Plan funds, of which \$5.1 million will be used in the five-year period to fund major maintenance and capital repairs for seven of its 14 buildings at the Pastore Center, now under the responsibility of the Division of Facilities Management. Work will focus on the Benjamin Rush, Louis Pasteur, Hazard and Harrington buildings and will address various code and structural deficiencies. The total project cost is \$2.2 million more than the approved plan and has been revised to include funding to replace the floorings in the Regan and Mathias buildings.

*The Governor recommended total expenditures of \$7.7 million. He shifted \$0.5 million of FY 2014 expenditures to FY 2015. The total cost is \$0.1 million less than requested and reflects a revised schedule. He subsequently requested an amendment to shift \$0.9 million from FY 2013 to FY 2014 to reflect a project delay, and to add new expenditures of \$0.8 million in FY 2016 and \$0.4 million in FY 2017 for roof, masonry and window replacement at the Hazard building.*

**The Assembly provided a total project cost of \$8.9 million. Based on subsequent information, it shifted \$0.7 million from FY 2014 to FY 2013 to reflect anticipated expenditures to complete roof and exterior renovations at Benjamin Rush and the Louis Pasteur buildings.**

**Pastore Center Fire Code Compliance.** The Department requested \$8.5 million from Rhode Island Capital Plan funds, of which \$3.9 million will be used from FY 2014 through FY 2018 to install and upgrade existing fire alarm and sprinkler systems on the Pastore Center and the Zambarano Campus of Eleanor Slater Hospital. The total project cost is \$1.0 million more than the approved plan to reflect fire code projects in the Virks and Hazard buildings. *The Governor recommended total project costs as requested; however, shifted \$0.6 million of current year funding to FY 2014 to reflect a project delay. He requested an amendment to provide an additional \$550,000 in FY 2013 to reflect revised costs for the completion of the Adolph Meyer and Louis Pasteur buildings.* **The Assembly concurred.**

**Pastore Center Utilities Upgrade.** Consistent with the approved plan, the Department requested \$8.3 million from Rhode Island Capital Plan funds, of which \$2.5 million will be used in FY 2014 and FY 2016 to repair and make improvements to the electrical distribution system on the Pastore Center. The Department noted that some of the underground wiring is over 40 years old, and has exceeded its useful life. An engineering survey was conducted in 2001 and concluded that the existing system is undersized and would possibly not support major future construction projects like the Reintegration Center, the new training school and others. The request includes expenditures of \$2.0 million in FY 2013, of which \$0.2 million is reappropriated from FY 2012 unexpended funds. *The Governor recommended total project costs as requested; however, shifted \$0.7 million of current year funding to FY 2014 to reflect a project delay.* **The Assembly concurred.**

**Pastore Center Utilities Water Tanks and Pipes.** The Department requested a total project cost of \$2.1 million from Rhode Island Capital Plan funds, of which \$0.5 million will be used in FY 2013 and FY 2014 to identify and address deficiencies at the Pastore Center with respect to water supply and distribution. The total project cost is \$0.4 million less than the approved plan, due to the inadvertent exclusion of the reappropriation from FY 2012 unexpended funds. *The Governor concurred and added \$27,664 to adjust pre-FY 2013 expenditures. He subsequently requested an amendment to reduce the FY 2013 appropriation by \$0.5 million to reflect anticipated expenditures.* **The Assembly concurred.**

Projects	Status	5 Year Total	Project Total
<i>Other State Facilities</i>			
Convention Center Facility Renovations	New	\$ 5,000,000	\$ 5,500,000
Dunkin Donuts Center	New	6,475,000	6,475,000
Board of Elections New Office Building	Revised	1,250,000	1,250,000
Big River Management Area	Revised	660,000	3,041,186
Chapin Health Laboratory	Revised	3,125,000	3,225,000
Cranston Street Armory	Revised	4,650,000	15,276,163
IT Enterprise Operations Center	Revised	250,000	10,719,160
Ladd Center Buildings Demolition	Revised	3,600,000	5,000,000
McCoy Stadium Repairs	Revised	800,000	4,115,252
Old Colony House	Revised	575,000	1,781,184
Old State House	Revised	2,100,000	4,087,592
State House Renovations	Revised	14,279,000	19,363,171
State Office Building	Revised	10,475,000	13,675,764
Veterans Memorial Auditorium Repairs	Revised	9,448,789	18,998,789
William Powers Building	Revised	4,300,000	8,591,082
Cannon Building	Ongoing	1,400,000	3,700,934
Fire Code Compliance	Ongoing	2,500,000	4,643,203
Ladd Rubble Pile	Ongoing	-	450,000
Washington County Government Center	Ongoing	2,012,000	4,810,160
Zambarano Buildings and Utilities	Ongoing	4,530,000	6,497,673
Zambarano Wood Chip Boiler	Ongoing	-	750,000
<b>Total</b>		<b>\$ 77,429,789</b>	<b>\$ 141,951,313</b>

**Convention Center Facility Renovations.** The Department requested new expenditures of \$11.3 million from Rhode Island Capital Plan funds to renovate the Convention Center Facility. The Authority indicated that as the facility continues to age, preventive maintenance is required in order to maintain the beauty of the venue to attract as well as to keep customers. The Authority indicated that bond covenant documents from 1991 required a certain percentage of revenues be set aside for facility renovations; however, during the course of several refundings, the clause was inadvertently deleted.

To the extent the Convention Center Authority sets aside resources for upkeep, its operating deficit grows. When that happens, the state's debt service costs go up. The state must pay Convention Center debt service that is not covered by operating revenue, but provides no other support. *The Governor recommended total Rhode Island Capital Fund expenditures of \$5.5 million, including \$0.5 million in the current year.* **The Assembly concurred.**

**Dunkin Donuts Center.** The Dunkin Donuts Center was purchased by the Convention Center Authority with revenue bonds and leased back to the state. Rental payments from the Dunkin Donuts Center lease are applied to the debt service payments on the revenue bonds. As part of the bond covenants from the 2006 revenue bonds used to purchase the facility and fund renovations, funds must be set aside each year to maintain the facility. Funding for this was not included in the Department's request. *The Governor recommended Rhode Island Capital Plan fund expenditures totaling \$6.5 million, including \$0.9 million in FY 2014 and \$1.4 million each for FY 2015 through FY 2018.* **The Assembly concurred.**

**Board of Elections New Office Building.** The Department requested \$5.4 million from Rhode Island Capital Plan funds, of which \$5.15 million will be used to purchase a new office for the Board of

Elections. The Board is currently located on state-owned land on Branch Avenue in Providence. The Department indicated that the Board's current facility would require extensive repairs, including security upgrades and HVAC, which could cost as much as \$0.6 million; however, lack of parking space would remain a problem. The project has been revised to include expenditures of \$250,000 in the current year to renovate the facility. A request for proposal will be sent out in FY 2013 to determine the best option to pursue. The project cost is \$750,000 less than the approved plan, to reflect proposals that the Department received for the purchase of a building.

*The Governor recommended total expenditures of \$1,250,000, including \$250,000 in the current year to renovate the existing facility. He subsequently requested an amendment to shift \$250,000 from FY 2013 to FY 2014 to reflect a project delay. **The Assembly concurred.***

**Big River Management Area.** The Department requested total project costs of \$3.1 million, of which \$0.7 million from Rhode Island Capital Plan funds will be used in the five-year period for infrastructure repairs and improvements at the Big River Management Area. This project includes funding for security, litter/dumping enforcement and demolition of vacant homes. The Big River Management Area must be protected for future development as a source of potable water. The request has been revised to include new expenditures of \$1.0 million in FY 2018 and the out-years for remediation costs of a dump site. *The Governor recommended capital expenditures totaling \$3.0 million, \$24,522 less than requested to adjust pre-FY 2013 expenditures. **The Assembly concurred.***

**Chapin Health Laboratory.** Consistent with the approved plan, the Department requested \$3.2 million from Rhode Island Capital Plan funds, of which \$2.5 million will be used in FY 2014 and FY 2015 to renovate the Chapin Health building. Infrastructure updates would include laboratory exhaust retrofit, new decomposition room ventilation, a new boiler system and HVAC upgrade. The current lighting system would also be replaced throughout the building. *The Governor recommended total project costs as requested; however, shifted \$1.6 million from the current year to FY 2014 and FY 2015 to reflect a project delay. **The Assembly concurred with the total project costs; however, shifted \$0.4 million from FY 2014 to FY 2015.***

**Cranston Street Armory.** The Department requested total project costs of \$15.2 million from Rhode Island Capital Plan funds, of which \$7.4 million will be used in the five-year period for ongoing renovations in the Cranston Street Armory building, including replacement of the HVAC system and windows. The primary plan is to stabilize the exterior, as well as interior renovations to eventually occupy the facility. The request includes \$0.4 million in FY 2013 to obtain architectural and engineering services to prioritize repairs that the facility needs. The request shifts \$0.4 million of FY 2013 approved funds to FY 2014 to reflect anticipated expenditures. The total request is \$0.1 million less than the approved plan, due to the inadvertent exclusion of FY 2012 unexpended funds.

*The Governor recommended a total project cost of \$15.3 million, \$27,342 more than requested; however, funds were shifted to later years to reflect a revised project schedule. **The Assembly concurred.***

**IT Enterprise Operations Center.** The Department requested a total project cost of \$11.0 million from all funds, including \$10.0 million from Rhode Island Capital Plan funds for the Information Technology Enterprise Operations Center. In the fall of 2009, the Department purchased an 80,000 square foot building off Jefferson Boulevard. The Department completed renovations and moved in November 2011. The request is \$0.8 million more than the approved plan. The project has been revised to include funding to decommission an elevator, refinishing the surface parking lot and installing a restroom on the first floor. *The Governor recommended a total project cost of \$10.7*

million, \$0.3 million less than requested to adjust pre-FY 2013 expenditures. **The Assembly concurred.**

**Ladd Center Buildings Demolition.** Consistent with the approved plan, the Department requested \$5.3 million from Rhode Island Capital Plan funds to demolish nine buildings at the former Ladd school properties in Exeter. The goal of the project is to demolish structures and backfill all foundations, landscape and provide for additional open space. These buildings were constructed in the mid-1900s and have been vacant for many years. The request includes \$0.3 million in FY 2013 for architectural and engineering studies. *The Governor recommended a total project cost of \$5.0 million, \$0.3 million less than requested and advanced FY 2016 funding to FY 2014 and FY 2015 to reflect a revised construction schedule. He subsequently requested an amendment to advance \$1.1 million from FY 2015 to FY 2013 to demolish four of the nine buildings.* **The Assembly concurred.**

**McCoy Stadium Repairs.** The Department requested total project costs of \$4.2 million from Rhode Island Capital Plan funds to provide asset protection at McCoy Stadium in Pawtucket. The project includes a new concourse drainage system, paving of the outfield parking areas, repairs to expansion joints to prevent water damage to the gift shop, offices and corporate boxes, and other miscellaneous repairs to protect the facility, in which the state made a major investment. The request includes expenditures of \$0.4 million in FY 2013, which was carried forward from FY 2012 to replace the outfield lighting. The project has been revised to include new expenditures of \$1.3 million from FY 2017 through the out-years for additional asset protection projects. *The Governor recommended funding essentially as requested, with the exception of reducing the total project costs by \$95,526.* **The Assembly concurred.**

**Old Colony House.** The Department requested \$1.9 million from Rhode Island Capital Plan funds, of which \$0.4 million will be used in the five-year period for repair and renovation of the Old Colony House, specifically repointing the exterior of the building. Funds have been used to mitigate structural issues, including the clock tower that the Department has recently uncovered, as well as exterior masonry repairs and painting the second floor. The request is \$0.3 million more than the approved plan to address other asset protection projects as they are identified. *The Governor recommended total project costs of \$1.8 million, \$0.1 million less than requested to adjust pre-FY 2013 expenditures.* **The Assembly included total project costs of \$1.8 million; however, shifted \$0.2 million from the current year to FY 2014 and future years to reflect a delay.**

**Old State House.** The Department requested a total project cost of \$4.3 million from Rhode Island Capital Plan funds, of which \$3.5 million will be used in the five-year period for various renovations at the Old State House including repaving the parking lot, replacing carpets, brick repairs and installing a new boiler. The Department indicated that in FY 2013, it will obtain architectural and engineering services to provide a comprehensive long term plan to renovate the building. The total project cost is \$2.7 million more than the approved plan to address potential deficiencies.

*The Governor recommended total project costs of \$4.2 million, \$0.1 million less than requested to reflect revised estimates.* **The Assembly provided a total project cost of \$4.1 million. It reduced FY 2013 funding by \$175,000 and added \$100,000 in FY 2014 based on projected expenditures.**

**State House Renovations.** The Department requested \$19.4 million from Rhode Island Capital Plan funds, of which \$13.5 million will be used in the five-year period for renovations at the State House. The work includes renovation of the legislators' entrance, expansion of the fire sprinkler system, restroom renovations, fixing water damaged areas, and exterior sash and door painting. The largest single component is \$14.5 million for a new central HVAC system for heating and cooling of the

building. The total project cost is \$1.6 million more than the approved plan to reflect an estimate for the HVAC system. The Department also indicated that the way funding is programmed over six years will need to be adjusted to reflect when expenditures will occur. The Department estimated that the project might run for two to three years.

*The Governor recommended total project costs as requested; however, shifted \$1.0 million from FY 2018 to FY 2016 to reflect a revised construction schedule. He subsequently requested an amendment to shift a total of \$3.4 million from FY 2013 and FY 2014 to FY 2016 through FY 2018 to reflect a project delay. The Assembly concurred.*

**State Office Building.** The Department requested \$15.6 million from Rhode Island Capital Plan funds, of which \$10.0 million will be used in the five-year period for renovations at the State Office Building. The project includes roof repairs, fixing water damaged areas and other repairs. In FY 2012 and FY 2013, bathroom renovations and ceiling repairs are planned. The approved plan includes \$8.0 million to renovate the building for office spaces for the Legislature. In FY 2013, the Department plans to paint some of the interior hallways as well as renovate 10 bathrooms, which it anticipates being done by June 2013. The request is \$2.6 million more than the approved plan for asset protection projects, as yet to be identified.

*The Governor recommended a total project cost of \$13.7 million. This includes the removal of \$0.5 million from the current year to reflect anticipated need and the removal of \$1.0 million budgeted in the post-FY 2018 period. Subsequently, he requested an amendment to shift a total of \$3.8 million, including \$1.0 million from FY 2013 to later years to reflect a revised construction schedule. The Assembly concurred.*

**Veterans Memorial Auditorium Repairs.** The Department requested \$18.3 million from Rhode Island Capital Plan funds, of which \$5.9 million will be used in FY 2014 and FY 2015 to renovate the Veterans Memorial Auditorium and office building. The request revises the project to include \$3.5 million to purchase the land adjacent to the Veterans Memorial Auditorium. The Department indicated that it is in negotiation with the owner of the property. The land would be purchased for future development, and the Administration indicated that it could be used as parking.

In 2007, the Administration requested that the Rhode Island Convention Center Authority consider operating and managing the facility in lieu of the Foundation. The Convention Center Authority took over management of the facility on July 1, 2008; however, the facility is still owned by the state and maintenance must be done to preserve the building. The FY 2012 reported expenditures of \$3.7 million were \$48,789 above the final appropriation. *The Governor recommended project costs as requested, including funding for the land purchase in FY 2014 and added \$1.0 million for site improvement costs.*

**The Assembly included \$3.15 million for the land purchase and included language in Article 1 of the Appropriations Act limiting the cost to no more than \$70 per square foot and concurred with the remainder of the recommendation.**

**William Powers Building.** The Department requested a total project cost of \$7.2 million from Rhode Island Capital Plan funds, of which \$2.2 million will be used in the five-year period for ongoing repairs and renovations, such as window replacement and cooling tower upgrades at the William Powers Building. General renovations include bathroom repairs, painting and replacing some of the equipment in the kitchen. The project has been revised to include new expenditures of \$1.0 million for

asset protection as new projects and priorities are identified. The request includes the reappropriation of \$0.4 million from FY 2012 unexpended funds.

*The Governor recommended funding essentially as requested, with the exception of removing \$0.1 million from the current year to reflect anticipated expenditures. Subsequently, he requested two amendments, one to shift \$0.6 million from FY 2013 to FY 2014 to reflect a project delay. The other amendment adds \$1.5 million in FY 2014 to repair the garage, including reinforcing the joints, sealing, and surface coating. **The Assembly concurred.***

**Cannon Building.** The Department requested a total project cost of \$3.5 million from Rhode Island Capital Plan funds, of which \$0.9 million will be used in the five-year period for ongoing repairs and renovations to include new carpet installation, electrical work and accessibility projects. The Department finished removing the roof overhang and is in the process of working on the building's outside crown molding. The total project cost is \$0.3 million more than the approved plan to reflect new expenditures in FY 2018 and the out-years for asset protection as new projects are identified. *The Governor's recommendation adds \$0.5 million in FY 2014 to reflect a revised project cost for carpeting. **The Assembly concurred.***

**Fire Code Compliance.** The Department requested \$4.6 million from Rhode Island Capital Plan funds to bring state buildings into compliance with new fire code regulations. The total project cost is \$1.1 million more than the approved plan due to new expenditures added in FY 2018 and the out-years for potential projects, pending findings from the State Fire Marshal's Office. In FY 2013, the Department will install sprinklers in the State House server room, and design a new fire alarm system for the Old Colony House. *The Governor recommended funding as requested and he requested an amendment to shift \$340,000 from FY 2013 to FY 2014 to reflect anticipated expenditures. **The Assembly concurred.***

**Ladd Rubble Pile.** The FY 2013 through FY 2017 approved plan included total expenditures of \$450,000, of which \$200,000 was from Rhode Island Capital Plan funds to match \$250,000 of federal funds for the construction of a rubble pile at the Ladd Center for the Rhode Island National Guard and the Emergency Management Agency to conduct a disaster exercise. The training venue will be designed to simulate an actual building design, but collapsed to give civil and military responders a realistic environment to conduct an array of search and rescue operations. The Department's request includes the \$0.2 million from Rhode Island Capital Plan funds and inadvertently excludes the federal match portion. The National Guard conducted the training exercise in July 2012. *The Governor recommended funding consistent with the approved plan. **The Assembly concurred.***

**Washington County Government Center.** The Department requested \$5.3 million from Rhode Island Capital Plan funds, of which \$1.9 million will be used in the five-year period for ongoing renovations at the Washington County Government Center. The Department indicated that the building does not meet current requirements for energy efficiency, adequate and controllable heating and ventilation, and air conditioning. The request is \$0.6 million more than the approved plan to include expenditures for windows, upgrading the HVAC system and additional parking spaces.

*The Governor recommended a total of \$5.0 million, \$0.3 million less than requested in the out-years. Subsequently, he requested an amendment to reduce the overall project costs by \$140,000. This reflects a reduction of \$302,000 in the current year and an increase of \$162,000 in FY 2014 to reflect a revised construction schedule. **The Assembly concurred.***

**Zambarano Building and Utilities.** The Department requested a total project cost of \$8.3 million from Rhode Island Capital Plan funds, of which \$5.8 million will be used in the five-year period for capital repairs to various buildings and equipment at the Zambarano Campus of the Eleanor Slater Hospital in Burrillville. The Zambarano Campus includes buildings totaling 308,000 square feet on 460 acres of land. The request includes a total of \$3.6 million for the wastewater treatment plant in order to meet new discharge limits set by the Department of Environmental Management. It also needs architectural and engineering services to design the modifications and a contractor to modify the system. The total project costs is \$0.2 million less than the approved plan due to the exclusion of the reappropriation from FY 2012 unexpended funds and the Department reprogrammed funding to better reflect when expenditures will occur.

*The Governor recommended total project costs as requested; however, shifted \$0.5 million of current year funding to FY 2014 to reflect a project delay. Subsequently, he requested an amendment that revised the scope of the project, which would result in savings of \$30,000 in FY 2013 and \$1.8 million from not connecting to the municipal sewer line. The Assembly concurred.*

**Zambarano Wood Chip Boiler.** Consistent with the approved plan, the Department requested \$750,000 from Rhode Island Capital Plan funds to replace the boiler at the Zambarano Campus to comply with federal Environmental Protection Agency and Department of Environmental Management requirements. The boiler was purchased and installed. The Department indicated that there is a series of tests that needs to be done to insure Environmental Protection Agency standards are met. *The Governor recommended funding as requested. The Assembly concurred.*

Projects	Status	5 Year Total	Project Total
<i>Other Projects</i>			
Water Allocation Plan	Revised	\$ 1,689,500	\$ 9,186,129
Bristol County Water Treatment Facilities	Ongoing	1,000,000	15,624,554
Affordable Housing	Ongoing	25,000,000	25,000,000
Energy Conservation Pastore/Zambarano	Ongoing	13,862,117	53,100,000
Environmental Compliance	Ongoing	1,000,000	3,735,556
Health Lab Feasibility Study	Ongoing	-	175,000
I-195 Commission	Ongoing	250,000	500,000
Replacement of Fueling Tanks	Ongoing	1,500,000	3,157,720
Statewide Emergency Water Interconnect Projects	Ongoing	-	15,000,000
South County Groundwater Protection/Acquisition	Ongoing	1,700,000	9,300,000
<b>Total</b>		<b>\$ 46,001,617</b>	<b>\$ 134,778,959</b>

**Water Allocation Plan.** The Department requested \$550,000 from general revenues in FY 2014 for the Water Allocation Plan to develop a Water Allocation Program. The funds will be used to pay for consultant services provided by the United States Geological Survey for geological data collection. The program consists of multi-year efforts to first quantify available supplies, then use that information as a basis to assess water management needs, and then assess the options to satisfy those needs. The total project cost of \$10.1 million is consistent with the approved plan. *The Governor recommended funding essentially as requested, with the exception of excluding \$0.4 million to adjust pre-FY 2013 expenditures. The Assembly provided \$450,000 less from general revenues for FY 2014.*

**Bristol County Water Treatment Facilities.** The Board requested a total project cost of \$18.1 million from authorized general obligation bond proceeds, of which \$5.0 million will be used in FY 2015 to upgrade the Bristol County Water Treatment Facility and the Shad Factory Pipeline. The Shad

Factory Pipeline must be replaced to ensure continued use of the Massachusetts Reservoir water, and the Bristol County facility improvements are necessary to comply with the requirements of the Safe Drinking Water Act. Many of the improvements to the Bristol County Water Treatment Facilities have been completed; however, more improvements have become necessary due to a change in these requirements. The approved plan includes expenditures of \$5.0 million from bond proceeds approved by the voters in November 2004; however, the request includes \$7.5 million, overstating the amount by \$2.5 million. *The Governor recommended funding consistent with the approved plan.* **The Assembly concurred.**

**Affordable Housing.** Consistent with the approved plan, the request includes \$25.0 million from general obligation bonds approved by the voters in November 2012 to promote affordable housing through redevelopment of existing structures or new construction. The request assumes use of \$12.5 million in each FY 2014 and FY 2015. This program funds rental units for households up to 80.0 percent of family median income and homeownership of households up to 100.0 percent of family median income. Funding caps depend upon the number of bedrooms, which ranges from \$40,000 for 2-bedroom units to \$65,000 for 3-bedroom units. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Energy Conservation Pastore/Zambarano.** Consistent with the approved plan, the Department requested \$53.1 million in capital expenditures for a new energy service contract whereby an initial investment in new equipment and other energy saving improvements would be paid back over a period of time from the resulting savings in energy costs. The 2008 Assembly authorized up to \$53.1 million in energy equipment replacement contracts, including \$45.5 million for the Pastore Center and \$7.6 million for the Zambarano Campus. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Environmental Compliance.** The Department requested a total project cost of \$3.6 million from Rhode Island Capital Plan funds, of which \$1.0 million will be used in the five-year plan for projects that assist state agencies in maintaining compliance with all state and federal environmental rules and regulations. Funds will also be used for the costs of mitigating asbestos and other environmental issues for buildings on the Pastore Campus under the Department's jurisdiction. The request is \$0.1 million more and extends the project by an additional year, consistent with past requests. *The Governor recommended \$0.1 million more than requested to adjust pre-FY 2013 expenditures.* **The Assembly concurred.**

**Health Lab Feasibility Study.** The Department requested \$175,000 from Rhode Island Capital Plan funds in FY 2013 to conduct a feasibility study for a new facility to house the staff and programs currently located in the Chapin Building. This study would also examine the feasibility of combining the Health and Forensic crime labs. *The Governor recommended funding as requested. He subsequently requested an amendment to shift \$0.1 million from FY 2013 to FY 2014 to reflect a project delay.* **The Assembly provided funding consistent with the approved plan.**

**I-195 Commission.** Consistent with the approved plan, the Department requested \$350,000 from Rhode Island Capital Plan funds, of which \$100,000 will be used in FY 2014 for architectural and engineering services related to the I-195 Redevelopment project. *The Governor recommended \$0.5 million, including an additional \$150,000 in FY 2014 to reflect revised need.* **The Assembly concurred.**

**Replacement of Fueling Tanks.** The Department requested \$3.2 million from Rhode Island Capital Plan funds, of which \$1.5 million will be used in the five-year period to replace failing tanks at five of

the 14 state-owned and operated fueling stations for state vehicles. In FY 2013, the Department plans to install new tanks at the Smithfield facility and remove tanks in Lincoln and East Providence. In FY 2014, the tanks in Belleville in North Kingstown and Cherry Hill in Johnston will be replaced. The total cost is \$0.3 million more than the approved plan to reflect expenditures in FY 2018. It should be noted that the Department's request inadvertently excluded the reappropriation of \$0.3 million from FY 2012 unexpended funds. *The Governor recommended funding as requested and he subsequently requested an amendment to shift \$0.1 million from FY 2013 to FY 2014.* **The Assembly concurred.**

**Statewide Emergency Water Interconnect Projects.** The Board requested \$16.8 million from previously approved general obligation bonds to continue a project to establish statewide emergency water interconnections between the state's 32 largest water supply systems. Of the 32 identified interconnections, the Board indicated that 15 have been completed, and five more projects have been approved. The interconnections are funded from \$15.0 million in bond proceeds, of which \$10.0 million was authorized by the voters in 1987 and \$5.0 million in 2004. The request is overstated by \$1.8 million due to reporting of prior year expenditures. *The Governor recommended funding consistent with the approved plan.* **The Assembly concurred.**

**South County Groundwater Protection/Acquisition.** The Board requested a total project cost of \$21.6 million from general obligation bond proceeds for the Groundwater Protection/Acquisition Program, of which \$6.6 million would be used in the five-year period to purchase water development rights from private landowners for well sites identified as high capacity. The project has been revised to include new general obligation bond proceeds of \$8.0 million to be submitted to the voters in the out-years. *The Governor recommended funding consistent with the approved plan.* **The Assembly concurred.**

**Water Facilities Assistance Program.** The Board requested a total project cost of \$28.6 million, of which \$0.4 million is from Rhode Island Capital Plan funds and \$28.3 million is from new general obligation bond proceeds to be submitted to the voters on the November 2014 ballot for the Water Facilities Assistance Program. This project will enable the Board to issue grants to local water suppliers to implement water resource programs. The Board noted that the individual projects in this program include water supplies in Pawtucket, East Providence, and Bristol County. *The Governor did not recommend funding this project.* **The Assembly concurred.**

## Economic Development Corporation

Projects	Status	5 Year Total	Project Total
Port of Davisville Dredging	Ongoing	\$ -	\$ 7,500,000
Quonset Point/Davisville Infrastructure Projects	Ongoing	-	64,555,238
<b>Total</b>		<b>\$ -</b>	<b>\$ 72,055,238</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ -	\$ 48,000,000
Other Sources		-	16,555,238
Revenue Bonds		-	7,500,000
<b>Total</b>		<b>\$ -</b>	<b>\$ 72,055,238</b>

**Summary.** The Economic Development Corporation requested capital expenditures totaling \$72.1 million from all funds, including \$48.0 million in general obligation bonds approved by voters in 2004. The request is for two projects and assumes use of \$8.9 million in FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Port of Davisville Dredging.** Consistent with the approved plan, the Corporation requested \$7.5 million in FY 2013 from revenue bonds for various capital projects at Quonset Point. These include, but are not limited to, harbor, pier, port, channel, dredging and all costs related to improvements to the Davisville piers. This project is expected to be completed by the end of January 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Quonset Point/Davisville Infrastructure Projects.** The Corporation requested \$64.6 million, of which \$1.4 million will be used in FY 2013 for infrastructure and other improvements at the Quonset Business Park in North Kingstown. The projects include tearing down old buildings, creating and improving roadways and other work designed to increase the appeal of Quonset to current and potential business tenants for furtherance of state economic development goals. Most of the work is funded by \$48.0 million in general obligation bonds approved by the voters in 2004. These funds are supplemented by revenues generated through land sales, leases and other development activities of the Quonset Development Corporation, which manages the park.

The total project cost of \$64.6 million is \$0.9 million, or 1.3 percent less than the approved plan to reflect the completion of certain components of the project. *The Governor recommended funding as requested.* **The Assembly concurred.**



## Department of Labor and Training

Projects	Status	5 Year Total	Project Total
Center General Slate Roof Replacement	Revised	\$ -	\$ 1,665,723
Center General Asset Protection	Revised	1,816,500	2,041,890
<b>Total</b>		<b>\$ 1,816,500</b>	<b>\$ 3,707,613</b>
<b>Sources of Funds</b>			
Federal Funds		\$ -	\$ 326,873
General Revenues		-	487
Restricted Receipts		-	256,354
Rhode Island Capital Funds		1,816,500	2,823,193
Other - Temporary Disability Insurance Funds		-	300,706
<b>Total</b>		<b>\$ 1,816,500</b>	<b>\$ 3,707,613</b>

**Summary.** The Department of Labor and Training requested \$1.2 million for FY 2014 through FY 2016 for projects at the Center General Complex of the Pastore Center in Cranston. The projects total \$3.7 million and also include capital expenditures of \$2.5 million for FY 2013. *The Governor recommended \$1.3 million from Rhode Island Capital Plan funds for FY 2014 through FY 2016 for the asset protection projects. He also included expenditures of \$2.3 million for FY 2013.* **The Assembly shifted \$475,000 for the asset protection project from FY 2013 to FY 2015 based upon the delayed schedule for repairing the roof.**

**Center General Slate Roof Replacement.** The Department requested a total of \$1.7 million to replace the slate roof on buildings 68, 69 and 71 at the Center General Complex, including \$1.6 million for FY 2013. Requested funding includes \$487 from general revenues, \$326,873 from federal funds, \$256,354 from restricted receipts from Workers' Compensation funds, \$300,706 from Temporary Disability Insurance funds, and \$777,380 from Rhode Island Capital Plan funds. Total funding is \$3,923 less than the approved plan for FY 2013 and equal to the prior year's request, but shifts \$30,987 from federal funds to Temporary Disability Insurance funding, reduces Rhode Island Capital Plan funds by \$3,923 and assumes completion in FY 2013.

*The Governor recommended \$1.6 million in FY 2013 for the completion of the roof replacement project. This is \$3,923 more from Rhode Island Capital Plan funds than requested, making the recommendation consistent with the approved plan.* **The Assembly concurred.**

**Center General Asset Protection.** The Department requested a total of \$2.0 million from Rhode Island Capital Plan funds for several asset protection projects at the six buildings that comprise the Center General Complex of the Pastore Center in Cranston. The requested project total is \$348,390 more than the approved capital plan, primarily for increased carpeting expenses, and an added engineering project, totaling \$30,000 to provide design modifications to improve the heating, ventilation and air conditioning system. *The Governor recommended total funding as requested, but shifted expenditures of \$120,000 from FY 2013 to FY 2015 and FY 2016, including \$90,000 and \$30,000 respectively. His recommendation includes \$0.7 million in FY 2013 and \$1.3 million from FY 2014 through FY 2016.* **The Assembly shifted \$475,000 from FY 2013 to FY 2015 to better reflect anticipated expenditures, based upon the delayed schedule for repairing the roof.**



## Department of Revenue

Projects	Status	5 Year Total	Project Total
Lottery Building Renovations	New	\$ -	\$ 400,000
DMV Information Technology Modernization Project	Revised	-	15,525,229
DMV Safety & Emissions Lifts Replacement	Ongoing	150,000	300,000
Integrated Tax System	Ongoing	20,000,000	25,000,000
Registry of Motor Vehicles - Forand Building	Ongoing	-	17,700,000
<b>Total</b>		<b>\$ 20,150,000</b>	<b>\$ 58,925,229</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ 20,000,000	\$ 36,000,795
Restricted Receipts		-	4,524,434
Rhode Island Capital Funds		150,000	18,400,000
<b>Total</b>		<b>\$ 20,150,000</b>	<b>\$ 58,925,229</b>

**Summary.** The Department of Revenue requested total project costs of \$59.3 million from all funds, of which \$20.5 million will be used in the five-year period. The request is for five projects, one of which is new.

*The Governor recommended total funding of \$58.9 million from all funds, of which \$20.2 million will be used in the five-year period. This includes \$20.0 million from Certificates of Participation and \$150,000 from Rhode Island Capital Plan funds. **The Assembly concurred.***

**Lottery Building Renovations.** The Department requested \$259,600 from Rhode Island Capital Plan funds, which will be used from FY 2014 to FY 2016 to renovate the heating system of the Lottery Building and to repair its parking lot and carpeting. *The Governor recommended \$0.4 million for this project; however, he advanced it to FY 2013. **The Assembly concurred.***

**DMV Information Technology Modernization Project.** The Department requested \$16.0 million from Certificates of Participation and restricted receipts to replace and modify the computer system at the Registry. The current request is \$0.5 million above the approved capital plan, but within the original capital borrowing authorization of \$13.0 million set by the 2006 Assembly. The project is intended to expand the online functions available on the Division of Motor Vehicles' website, and reduce wait times for transactions performed at the Registry such as those for titles and registrations. The current request, which brings the total borrowing to \$11.5 million, is intended to account for the cost of extending the use of the existing system longer than anticipated and to address problems that have arisen in the development of the new system and delayed its delivery. *The Governor recommended \$0.5 million less than requested for this project, consistent with the approved plan. **The Assembly concurred.***

**DMV Safety and Emission Lifts Replacement.** The Department requested \$0.3 million from Rhode Island Capital Plan funds, \$0.1 million annually through FY 2015, to replace three heavy duty lifts within the Safety and Emission Control inspection garage. The lifts, used for the inspection of all school buses and public service vehicles, have been in service for more than 15 years and now require frequent maintenance. The risk of equipment failure also presents a potential hazard to the safety of

inspectors under the vehicles. *The Governor recommended funding as requested, but advanced \$100,000 from FY 2015 to FY 2013 and FY 2014, \$50,000 each year.* **The Assembly concurred.**

**Integrated Tax System.** The Department requested \$25.0 million from Certificates of Participation to consolidate separate programs and functions into one computer system. The integration of the tax system will enhance customer service, increase employee productivity, reduce operating costs, and help the Department collect additional revenue. The request assumes use of \$5.0 million from Certificates of Participation each year through FY 2017. The Department submitted a request for proposal in November 2012 and expects to hire a vendor for the project by January 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Registry of Motor Vehicles - Forand Building.** Consistent with the approved plan, the Department requested a total project cost of \$17.7 million from Rhode Island Capital Plan funds for the Forand Building. Unused funds from FY 2012 of \$115,114 will be used in FY 2013 to upgrade the heating, ventilation and air conditioning system as well as general building upgrades to improve workflow. Construction started in September 2009, and the Division moved to the facility in late August 2010. The Forand Building was renovated to be the new home of the Registry of Motor Vehicles. The building formerly housed operations of the Department of Human Services. *The Governor recommended funding as requested.* **The Assembly concurred.**

## Public Utilities Commission

Projects	Status	5 Year Total	Project Total
Building Renovations	Ongoing	\$ 577,500	\$ 832,500
<b>Total</b>		<b>\$ 577,500</b>	<b>\$ 832,500</b>
<b>Sources of Funds</b>			
Restricted Receipts		\$ 577,500	\$ 832,500
<b>Total</b>		<b>\$ 577,500</b>	<b>\$ 832,500</b>

**Summary.** The Public Utilities Commission’s FY 2014 through FY 2018 request includes \$255,000 in the current year and \$577,500 in the five-year period for repairs and renovations at 89 Jefferson Boulevard in Warwick. The project is funded through restricted receipts available from assessments to regulated utilities. The projects for which funds are requested are essentially consistent with the approved plan, with the addition of a new project in FY 2016. *The Governor recommended funding as requested. The Assembly concurred.*



## Department of Children, Youth and Families

Projects	Status	5 Year Total	Project Total
Youth Group Homes - Fire Code Upgrades	Revised	\$ 1,842,211	\$ 4,168,728
Training School - Vocational Building	Revised	265,900	480,780
Training School - Maintenance Building	Ongoing	535,000	535,000
Training School - Generators	Ongoing	441,000	441,000
Training School - Repairs and Improvements	Ongoing	909,000	909,000
North American Family Institute	Ongoing	958,790	1,041,200
Groden Center Mt. Hope - Fire Towers	Ongoing	275,000	275,000
Groden Center Mt. Hope - Building Façade	Ongoing	275,000	275,000
Groden Center Mt. Hope - Electric and Plumbing	Ongoing	50,000	50,000
Old Training School Close	Completed	-	49,860
<b>Total</b>		<b>\$ 5,551,901</b>	<b>\$ 8,225,568</b>
<b>Sources of Funds</b>			
Federal Funds		\$ -	\$ 214,880
Rhode Island Capital Funds		5,551,901	8,010,688
<b>Total</b>		<b>\$ 5,551,901</b>	<b>\$ 8,225,568</b>

**Summary.** The Department of Children, Youth and Families requested capital project expenditures totaling \$8.5 million, of which \$6.0 million would be spent in the FY 2014 through FY 2018 period. Funding in the five-year plan includes \$0.2 million from federal American Recovery and Reinvestment Act funds and \$5.8 million from Rhode Island Capital Plan funds. The request includes five projects at the Rhode Island Training School, three projects at the Groden Center, one at the North American Family Institute and one that includes multiple facilities across the state. *The Governor recommended \$8.2 million in total expenditures, which is \$0.3 million less than requested. He included \$5.8 million during the FY 2014 through FY 2018 period or \$0.2 million less than requested.* **The Assembly included total funding as recommended; however, shifted \$0.3 million from FY 2013 to FY 2016 to reflect project delays at the Groden Center.**

**Youth Group Homes - Fire Code Upgrades.** The Department requested \$4.4 million or \$1.0 million more than enacted from Rhode Island Capital Plan funds to provide fire code upgrades and safety related improvements to 111 group home facilities. The request includes \$0.8 million in FY 2013, \$0.6 million in FY 2014, and \$0.6 million in FY 2015. This is \$0.8 million more than enacted, but the Department is unable to explain the increase. Expenditures include sprinkler system installation, heating system repairs to bring them up to state fire code, and miscellaneous construction costs to ensure compliance. *The Governor recommended \$4.2 million, \$0.3 million less than requested to reflect updated spending projections based on a number of group homes closing.* **The Assembly shifted \$0.5 million to FY 2016 to reflect project delays.**

**Training School - Vocational Building.** The Department requested \$79,900 from Rhode Island Capital Plan funds to supplement \$0.2 million from federal funds acquired through the American Recovery and Reinvestment Act for a new vocational education center to be built on the campus of the Rhode Island Training School. The total is consistent with the approved capital plan, but delayed one

year and will be completed in FY 2013. *The Governor added \$0.2 million to reflect that the total estimated cost for the vocational building has risen to \$0.5 million.* **The Assembly concurred.**

**Training School – Maintenance Building.** The Department requested \$0.5 million from Rhode Island Capital Plan funds for FY 2013 to build a maintenance building for the Training School on the Pastore Campus consistent with the approved capital plan. The cost included purchasing materials and constructing a 7,500 square foot pre-fabricated steel maintenance building with a warehouse, loading dock, workshop areas, and two restrooms. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Training School - Generators.** Consistent with the approved capital plan, the Department requested \$441,000 from Rhode Island Capital Plan funds for FY 2013 to purchase emergency generators at the Training School. Two of these generators would be housed at the Youth Development Center and one at the Youth Assessment Center. The Department's goal is to assure that there is adequate electricity, heat, air conditioning, and ventilation in the event of a natural disaster or power outage. Currently, the Department rents a generator from a private vendor in Connecticut if there is a potential for loss of power, such as during a natural disaster. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Training School - Repairs and Improvements.** The Department requested \$1.1 million from Rhode Island Capital Plan funds for various repairs and improvements at the Youth Development Facility and the Youth Assessment Center, which is \$63,965 more than the approved capital plan to reflect revised spending projections. Improvements includes fixing the roof at both facilities, installing a new security system, purchasing a new conduit for the main generator at the Youth Development Facility, installing outdoor plumbing at the Youth Assessment Center in order to provide irrigation in the front of the building, pouring two cement pads. This also includes funds to hire an engineering firm to investigate and correct a sewer odor at both schools. *The Governor reduces funding for repairs to the Training School by \$0.2 million to free up resources to add to the vocational building project.* **The Assembly concurred.**

**North American Family Institute.** Consistent with the approved capital plan, the Department requested \$1.0 million from Rhode Island Capital Plan funds for repairs to the North American Family Institute on New London Avenue in Cranston, which is \$500 more than enacted to account for revised cost estimates. The request includes \$0.7 million in FY 2013 and \$0.3 million in FY 2014 to complete repairs to the plumbing and electrical systems, heating, ventilation, and air conditioning systems, required to comply with the state's new fire code. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Groden Center Mt. Hope - Fire Towers.** Consistent with the approved capital plan, the Department requested \$275,000 from Rhode Island Capital funds to install two fire towers during FY 2013 at the state-owned Groden Center facility at 86 Mount Hope Road in Providence. This would include the demolition of two existing steel fire ladders and the construction of two new enclosed stair towers. The current fire ladders were cited as deficient by the State Fire Marshal, and the second floor does not have an adequate emergency exit. *The Governor recommended funding as requested.* **The Assembly shifted \$0.3 million from FY 2013 to FY 2015 to reflect project delays.**

**Groden Center Mt. Hope - Building Façade.** The Department requested \$275,000 from Rhode Island Capital Plan funds for the repointing of exterior mortar joints, the cleaning and/or replacing of all damaged windows, and door lintels on the front and rear of the building. The request shifts the approved funds from FY 2013 to FY 2014 to reflect project delays and a number of additional projects

being completed at the Groden Center. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Groden Center Mt. Hope – Electrical and Plumbing.** The Department requested \$50,000 from Rhode Island Capital Plan funds consistent with the approved plan for FY 2013 for a feasibility study in order to determine the extent of upgrades that need to be made to the electrical, plumbing, heating, ventilation, and air conditioning systems at the Groden Center. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Old Training School Close.** The Department did not request funding for the old training school closure project, which was completed in FY 2012 at a cost of \$49,860. *The Governor included \$49,860 from Rhode Island Capital Plan funds in the capital budget totals for pre-FY 2013 expenses.* **The Assembly concurred.**



## Department of Health

Projects	Status	5 Year Total	Project Total
Vital Records Registration System Upgrades	New	\$ -	\$ 753,000
<b>Total</b>		<b>\$ -</b>	<b>\$ 753,000</b>
<b>Sources of Funds</b>			
Federal Funds		\$ -	\$ 753,000
<b>Total</b>		<b>\$ -</b>	<b>\$ 753,000</b>

**Summary.** The Department of Health requested \$83.6 million for two new projects, including \$0.9 million in FY 2013 and \$82.7 million between FY 2014 and FY 2017. The request includes \$80.2 million from Rhode Island Capital Plan funds to build a new health laboratory and medical examiner building and expenditures for the upgrades to the vital records registration system of \$0.5 million from federal grants and \$2.9 million in expenditures for which the funding source was not identified. *The Governor's recommendation includes \$753,000 from federal funds in FY 2013 for the electronic birth records system as part of the vital records registration system upgrades project.* **The Assembly concurred.**

**Vital Records Registration System Upgrades.** The Department requested \$3.4 million for upgrades to the state's electronic vital records registration system. This includes \$0.5 million from a new federal grant for FY 2013 for upgrades to the electronic birth registration system in order to remain in compliance with federal requirements. The expected grant award date is September 30, 2012 and compliance is required by January 1, 2014 or the state loses the federal funding it receives for birth records and registrations. The requested funds will pay for upgrades to the software systems, new hardware to meet the three-tiered security guidelines set by the Division of Information Technology and materials for training. Although information technology projects can be considered capital, the request includes federal grant funding in the current year which is not a typical capital budget request item.

The Department also requested four additional upgrades for deaths, fetal deaths, marriages and civil unions, and induced terminations of pregnancy, to be completed after the birth registration system upgrades. There is no federally mandated deadline for updating these modules; however, the staff currently operates these processes manually. The request includes additional expenditures of \$2.9 million, including \$0.2 million for FY 2013 and \$2.7 million from FY 2014 through FY 2016, but does not specify the funding source. *The Governor recommended \$753,000 from federal funds in FY 2013 for the electronic birth records system upgrades portion of the project.* **The Assembly concurred.**

**Health Laboratory/Medical Examiner Building.** The Department requested \$80.2 million from Rhode Island Capital Plan funds for FY 2013 through FY 2017 to conduct a feasibility study and build a new health laboratory and medical examiner building. This is consistent with the prior year's request and includes \$80.0 million for the building and \$175,000 for the feasibility study. The Department indicated that the current facility does not provide enough space, privacy, security, or accessibility, and does not comply with accreditation standards. The Department noted in its request that the feasibility study would determine the size and cost of the new structure; so it is unclear how the current request was determined. Funding of \$175,000 for the feasibility study is included in the

Department of Administration's FY 2013 enacted budget, thus its inclusion in the Department of Health request is redundant. *The Governor did not include any funding for this project.* **The Assembly concurred.**

## Department of Human Services

Projects	Status	5 Year Total	Project Total
Blind Vending Facilities	Ongoing	\$ 825,000	\$ 1,508,387
<i>Veterans' Cemetery</i>			
Columbarium	Ongoing	445,000	960,000
<i>Veterans' Home</i>			
New Veterans' Home	New	56,000,000	94,000,000
N-5 Nursing Ward Renovation	Revised	-	780,000
N-6 Nursing Ward Renovation	Revised	41,176	780,000
N-7 Nursing Ward Renovation	Revised	780,000	780,000
Sprinkler System Water Connection	Revised	-	394,830
Electrical System Upgrade	Revised	-	525,000
Main Entrance Renovations	Revised	-	50,000
HVAC System	Ongoing	-	2,852,362
<b>Total</b>		<b>\$ 58,091,176</b>	<b>\$ 102,630,579</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 445,000	\$ 2,333,368
General Obligation Bonds		56,000,000	94,000,000
Restricted Receipts		821,176	4,788,824
Rhode Island Capital Funds		825,000	1,508,387
<b>Total</b>		<b>\$ 58,091,176</b>	<b>\$ 102,630,579</b>

**Summary.** The Department requested \$2.7 million for the period FY 2014 through FY 2018 and \$3.9 million for FY 2013. The Department's request includes nine capital projects at the Veterans' Home, one at the Veterans' Cemetery and one through the Office of Rehabilitation Services. *The Governor recommended \$58.1 million for all projects from FY 2014 through FY 2018, \$53.3 million more than requested, primarily to reflect the use of general obligation bond proceeds to build a new Veterans' Home. He also included \$3.1 million in FY 2013, which is \$0.8 million less than requested. He subsequently requested an amendment to reduce expenditures for the blind vending facilities by \$15,000 in FY 2013, based on revised expenditure estimates. The Assembly concurred.*

**Blind Vending Facilities.** Consistent with the approved plan, the Department requested \$990,000 from Rhode Island Capital Plan funds for FY 2013 through FY 2018, comprised of \$165,000 each year for the ongoing construction and renovation of statewide vending facilities. *The Governor recommended funding as requested. He subsequently requested an amendment to reduce expenditures by \$15,000 in FY 2013, based on revised expenditure estimates. The Assembly concurred.*

### Veterans' Cemetery

**Columbarium.** The Department requested \$960,000 from federal United States Department of Veterans Affairs cemetery grant funds for the construction of a 2,000 niche columbarium in FY 2013 and FY 2014. The request includes \$70,000 in FY 2013 for architectural and engineering services and

\$445,000 in both FY 2013 and FY 2014 for the construction. The Department's operating budget did not include the funding in either year because the federal funding had not yet been received. *The Governor included the funding in both years, consistent with the capital request.* **The Assembly concurred.**

### **Veterans' Home**

**New Veterans' Home.** The Department requested \$0.8 million from Rhode Island Capital Plan funding for architectural and engineering expenses related to a new Veterans' Home prior to the November 2012 election which will include a bond referendum to issue bonds for up to \$94.0 million for the construction of a new Veterans' Home adjacent to and adjoining the current facility. Funding was requested for use prior to the election to begin architectural and engineering work in order to be ready for immediate action if the referendum passes. If the referendum passes, bond funding will be available for these architectural and engineering expenses and the Department requested that the \$780,000 be used for the renovation of the N-7 wing.

The Department indicated that it did not include the construction of a new Veterans' Home in its capital request, since the bond referendum had not yet been voted on and until the referendum passes, the project does not exist. This is not the standard for capital requests. On November 6, 2012, the voters approved the referendum.

*The Governor recommended \$94.0 million, including \$56.0 million in the five-year period, from general obligation bond proceeds to build a new Veterans' Home. The recommendation includes \$8.0 million in FY 2014, \$10.4 million in FY 2015, \$20.8 million in FY 2016, \$12.7 million in FY 2017 and \$4.1 million in FY 2018. He did not include any funding in FY 2013 for this project.* **The Assembly concurred.**

**Renovate Nursing Unit N-5.** The Department requested \$0.8 million from Rhode Island Capital Plan funds to renovate the N-5 nursing ward at the Veterans' Home in FY 2013. The approved plan includes the funding in FY 2012, but from restricted receipts. Major repairs include the twenty-five resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-6 and N-7, which were also included in the approved capital plan for FY 2012, though the request delays completion of the wards from FY 2012 to FY 2013 and shifts the funding source from restricted receipts to Rhode Island Capital Plan funds. The Department did not indicate why the funding source has changed, except that Rhode Island Capital Plan funds are appropriate for this type of project and will keep restricted receipts in the Home's account for other uses. *The Governor recommended \$780,000 from restricted receipts in FY 2013.* **The Assembly concurred.**

**Renovate Nursing Unit N-6.** The Department requested \$0.8 million from Rhode Island Capital Plan funds to renovate the N-6 nursing ward at the Veterans' Home in FY 2013. The approved plan includes the funding in FY 2012, but from restricted receipts. Major repairs include the twenty-five resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-5 and N-7, which were also included in the approved capital plan for FY 2012, though the request delays completion of the wards from FY 2012 to FY 2013 and shifts the funding source from restricted receipts to Rhode Island Capital Plan funds. The Department did not indicate why the funding source has changed, except that Rhode Island Capital Plan funds are appropriate for this type of project and will keep restricted receipts in the Home's account for other uses. *The Governor recommended \$780,000 from restricted receipts, including \$738,824 in FY 2013*

and \$41,176 in FY 2014 because of several delays in the architectural and engineering phase of the project. **The Assembly concurred.**

**Renovate Nursing Unit N-7.** The Department indirectly requested \$780,000 from Rhode Island Capital Plan funds to renovate the N-7 nursing ward at the Veterans' Home in FY 2013. The approved plan includes the funding in FY 2012, but from restricted receipts. The Department requested to use the funds for this wing renovation towards architectural and engineering expenses for a new Veterans' Home prior to the bond referendum, and then upon passage of the referendum and issuance of bonds to fund the architectural and engineering expenses, shift the \$780,000 back to this project and complete the renovation in FY 2013.

Major repairs include the twenty-five resident rooms, bathrooms, corridors and auxiliary rooms. This project will be done in conjunction with renovations to nursing wards N-5 and N-6, which were also included in the approved capital plan for FY 2012, though the request delays completion of the wards from FY 2012 to FY 2013 and shifts the funding source from restricted receipts to Rhode Island Capital Plan funds. The Department did not indicate why the funding source has changed, except that Rhode Island Capital Plan funds are appropriate for this type of project and will keep restricted receipts in the Home's account for other uses. *The Governor included \$780,000 from restricted receipts in FY 2014 because of several delays in the architectural and engineering phase of the project.* **The Assembly concurred.**

**Sprinkler System Water Connection.** The Department requested \$350,000 from restricted receipts in FY 2013, for total project costs of \$665,000, for several emergency water connection projects at the Veterans' Home. This reflects an additional one-year delay and an increase of \$315,000 from the approved plan for the FY 2012 revised budget. However, the Department indicated that it inadvertently overstated funding spent in FY 2012 by approximately \$240,000, and still requests \$350,000 for FY 2013 to complete the projects. *The Governor recommended \$350,000 for FY 2013 to complete the project, consistent with the request.* **The Assembly concurred.**

**Electrical System Upgrade.** The Department requested \$390,177 from restricted receipts for FY 2013 to upgrade the emergency electrical distribution system at the Veterans' Home, for a total project cost of \$525,000. The project was part of the approved capital plan for FY 2012 and the Department requested shifting unspent FY 2012 funding to FY 2013, which reflects the sixth consecutive postponement of the project since it appeared in the approved capital plan. However, the fire code upgrades to ensure compliance with the updated fire code were completed in FY 2011 and the Department anticipated completion of the electrical upgrade in FY 2013. The project includes modifications to the existing emergency electrical system to meet updated code and insure sufficient electrical backup to the Home in the event of an extended power outage. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Main Entrance Renovations.** The Department requested \$49,140 from restricted receipts in FY 2013 to renovate the main entrance at the Veterans' Home. This project was part of the approved capital plan for FY 2012 and the request delays the completion to FY 2013. The Department spent \$860 in FY 2011 for architectural and engineering expenses. *The Governor recommended funding as requested.* **The Assembly concurred.**

**HVAC System.** The Department's request includes \$100,000 from restricted receipts to complete its upgrade of the current heating system at the Veterans' Home in FY 2013 after the N-5, N-6 and N-7 wing renovations have been completed. This is consistent with the approved capital plan. The final portion of this project will correct the lack of air flow in the connecting hallway between the north and

south buildings which does not have heat, air conditioning or ventilation at this time, and supply the activities room with air conditioning. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Renovate Nursing Units N-2 and N-3.** The Department requested \$1.4 million from restricted receipt funding to renovate the N-2 and N-3 nursing wards at the Veterans' Home, including \$720,000 in both FY 2014 and FY 2015. This is essentially consistent with the approved capital plan, except that it increases expenditures by \$15,000 in FY 2015. Major repairs include the resident rooms, bathrooms, corridors and auxiliary rooms. This project is similar to the renovations to nursing wards N-5, N-6 and N-7; however, if the Veterans' Home bond referendum is approved, the Department will not pursue this project. *The Governor did not recommend this project because a new facility will be constructed.* **The Assembly concurred.**

## Dept. of Behavioral Healthcare, Developmental Disabilities and Hospitals

Projects	Status	5 Year Total	Project Total
Hospital Consolidation	Revised	\$ 33,695,000	\$ 34,936,443
Management Information System	Revised	-	554,872
DD Group Homes-Asset Protection	Revised	4,875,000	13,505,041
DD Residential Support	Revised	2,500,000	8,279,166
DD Residence Fire Code	Revised	1,442,355	4,759,568
Mental Health Residences	Revised	4,000,000	14,675,757
Administrative Buildings	Revised	6,613,610	6,759,837
Medical Center Rehabilitation	Revised	3,315,000	7,757,593
Mental Health Community Fac. - Asset Protection	Ongoing	1,375,000	2,823,948
Zambarano Campus - Asset Protection	Ongoing	850,000	2,233,810
Community Facilities Fire Code Upgrades	Ongoing	1,875,000	4,320,410
DD Regional Centers - Asset Protection	Ongoing	2,000,000	5,944,174
Substance Abuse - Asset Protection	Ongoing	875,000	2,114,001
Mental Health Residences Furniture	Ongoing	-	28,656
<b>Total</b>		<b>\$ 63,415,965</b>	<b>\$ 108,693,276</b>
<b>Source of Funds</b>			
Federal Funds		\$ 728,967	\$ 1,364,960
General Obligation Bonds		-	9,690,231
Rhode Island Capital Funds		62,686,998	97,638,085
<b>Total</b>		<b>\$ 63,415,965</b>	<b>\$ 108,693,276</b>

**Summary.** The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals requested \$150.4 million for 14 capital projects, which is \$24.6 million more than the approved capital plan. Of this amount, \$90.7 million will be spent in FY 2014 through FY 2018. *The Governor recommended \$110.3 million, including \$62.1 million in the five-year period, \$28.6 million less than requested.* **The Assembly reduced total funding by \$1.6 million, primarily in FY 2013.**

**Hospital Consolidation.** The Department requested \$38.4 million from Rhode Island Capital Plan funds for a new 81,000 square foot, three story, 150 bed hospital, at the Pastore Center in Cranston to house adult psychiatric patients that are either voluntary placements, including geriatric patients, or involuntary placements assigned to the forensic unit. The request increases the total project cost by \$2.3 million to include hiring an outside contractor as a project manager. The plan retains the Mathias and Regan hospital and administrative buildings. *The Governor did not recommend the additional funding and reduced the request by \$3.5 million for revised project costs. He also shifted \$3.6 million to FY 2016.* **The Assembly provided funding as recommended; however, shifted \$695,000 from FY 2013 to FY 2017.**

**Management Information System.** The Department requested \$13.0 million, including \$6.5 million from Rhode Island Capital Plan funds, \$6.1 million from matching Medicaid funds and \$0.4 million from approved bond proceeds, for the enhancement and modification of the Department's management information system for developmental disabilities and behavioral healthcare and a new electronic medical records system for the state-run Eleanor Slater Hospital.

The request is \$11.1 million more than the \$1.0 million in the approved plan which supported an upgraded information system for the Divisions of Developmental Disabilities and Behavioral Healthcare. It should be noted that the information system does not meet the definition of a capital project and should be supported through the Information Technology Fund, the Department's operating budget or the Executive Office of Health and Human Services' Unified Health Infrastructure Project which has a 90 percent Medicaid match for technology upgrades. Although the information project for the Division of Developmental Disabilities was originally funded in FY 1994, it was never implemented and the funding was never spent. *The Governor did not recommend funding this project.* **The Assembly concurred.**

**Developmental Disabilities Group Homes - Asset Protection.** The Department requested \$17.6 million from Rhode Island Capital Plan funds for asset protection projects at the state's 270 group homes for the developmentally disabled, including \$8.3 million in the five-year capital plan. Of the total, 149 are state-owned, 34 state operated and 115 privately operated and 121 privately owned and operated. The request is consistent with the approved capital plan and adds \$1.7 million for FY 2018. The request includes \$1.3 million in FY 2013 and \$1.5 million for FY 2014.

*The Governor provided \$3.4 million less than requested. He included \$0.9 million in FY 2013 and FY 2014 to be more consistent with prior year spending of \$0.8 million in FY 2011 and \$0.9 million in FY 2012.* **The Assembly concurred.**

**Developmental Disabilities Residential Support.** The Department requested \$1.0 million annually from Rhode Island Capital Plan funds for the period FY 2014 through FY 2018. The Department also included \$1.0 million for FY 2013. The request is consistent with the approved capital plan and adds \$1.0 million for FY 2018.

This project funds the thresholds program which promotes residential development with additional funding provided by Rhode Island Housing to support new construction of and/or acquisition and rehabilitation of an existing housing unit. A limited number of housing units are set aside for the Department's clients. It also supports the Access to Independence program which allows adults with developmental disabilities to remain in their home and provides furniture for the new residences. *The Governor provided \$0.5 million annually in the five-year period, \$0.5 million less than requested in each fiscal year.* **The Assembly concurred.**

**Developmental Disabilities Residence Fire Code.** The Department requested \$3.4 million for the FY 2014 through FY 2018 period to install and upgrade fire alarms and sprinkler systems in the private developmental disabilities residences. It also includes \$1.1 million for FY 2013 which will fund the upgrade or replacement of 20 fire alarm systems and 20 sprinkler systems. The request also shifts \$0.3 million and \$0.4 million to FY 2015 and FY 2016, respectively, to reflect an updated project schedule. The Department has completed upgrades to 133 of the 198 facilities that require fire alarms and 19 of the 120 facilities that require sprinkler systems.

*The Governor reduced requested funding in the five-year period by \$2.0 million. He included \$0.4 million in FY 2013 and FY 2014, which is consistent with FY 2011 funding of \$0.3 million and higher than FY 2012 spending of \$4,486.* **The Assembly concurred.**

**Mental Health Residences.** The Department requested \$14.7 million, including \$4.0 million from Rhode Island Capital Plan funds for the FY 2014 through FY 2018 period to provide housing to behavioral health clients through the thresholds program. Rhode Island Capital Plan funds are used by Rhode Island Housing to leverage additional resources to support the new construction of and/or

acquisition and rehabilitation of existing housing units. A limited number of housing units is set aside for the Department's clients. The request is consistent with the approved plan and adds \$0.8 million for FY 2018. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Administrative Buildings.** The Department requested \$6.8 million from Rhode Island Capital Plan funds for upgrades at Barry Hall, and Simpson Hall, including HVAC and roof replacement, re-pointing and new windows. This is \$0.2 million more than the approved capital plan for FY 2015 to include an updated projection for the new roof. *The Governor provided funding as requested; however, shifted \$1.0 million from FY 2013 to FY 2016.* **The Assembly concurred with the exception of shifting \$0.9 million from FY 2013 to FY 2016.**

**Medical Center Rehabilitation.** The Department requested \$3.3 million from Rhode Island Capital Plan funds for the ongoing maintenance of the Pastore Center's Regan Building in the FY 2014 through FY 2018 period. The request is \$1.3 million more than the approved capital plan, including \$1.0 million to install a new generator and chiller system. The request also adds \$0.5 million for FY 2018. The hospital consolidation plan has been replaced with the plan to build a new hospital but continues to retain the Department's hospital presence at the Regan building and the Department requests funding for routine maintenance. *The Governor recommended funding essentially as requested.* **The Assembly reduced FY 2013 funding by \$700,000 based on the Department's spending through the third quarter and concurred with the remainder of the recommendation.**

**Mental Health Community Facilities - Asset Protection.** The Department requested \$2.4 million from Rhode Island Capital Plan funds in the five-year capital plan for repairs to state-owned mental health community facilities. The request includes \$0.5 million for FY 2013 to FY 2017 and adds \$0.4 million for FY 2018. It also adds \$0.2 million to both FY 2014 and FY 2015 and \$0.1 million to both FY 2016 and FY 2017; however, based on a five-year average spending of approximately \$0.2 million, the updated schedule to add new projects and complete planned ones may be optimistic.

*The Governor recommended \$1.0 million less than requested in the five-year plan. He included \$0.5 million in FY 2013 and \$0.2 million in FY 2014 to be consistent with prior year spending.* **The Assembly concurred.**

**Zambarano Campus - Asset Protection.** The Department requested total expenditures of \$6.5 million from Rhode Island Capital Plan funds, including \$3.4 million for the FY 2014 through FY 2018 period for asset protection projects related to repairs at the Zambarano campus of the Eleanor Slater Hospital. The Department requested four projects, totaling \$2.9 million, that are also part of the Department of Administration's approved capital plan and included in that agency's capital budget request. This includes re-pointing and applying masonry sealer to the exterior of the Wallum Lake House, replacing windows throughout the hospital, electric upgrades to the power plant and paving.

The Department added \$0.6 million to FY 2013 for total funding of \$0.8 million, primarily to shift two projects scheduled for FY 2012 to FY 2013. Excluding the new projects, the request adds \$100,000 for FY 2016 and FY 2017 and includes \$250,000 for FY 2018.

*The Governor's recommendation is \$2.5 million less than requested. He included \$150,000 annually for FY 2013 through FY 2016, \$250,000 in FY 2017 and added \$425,000 for post-FY 2018. It should be noted that this is higher than prior year spending of \$52,216 in FY 2011 and \$15,952 in FY 2012 for asset protection projects.* **The Assembly reduced FY 2013 funding by \$100,000 based on the Department's spending through the third quarter and concurred with the remainder of the recommendation.**

**Community Facilities Fire Code Upgrades.** The Department requested \$3.0 million from Rhode Island Capital Plan funds to install new and upgrade existing fire alarm sprinkler systems throughout the state-owned community developmental disability facilities during FY 2014 through FY 2018. This includes group homes, community workshops and day program sites, outpatient facilities and licensed facilities including the four Zambarano group homes. The request includes \$750,000 for FY 2013 through FY 2017, when the projects will be completed. The Department spent \$573,461 in FY 2012 and \$234,612 in FY 2011.

*The Governor reduced requested funding in the five-year period by \$1.1 million. He included \$1.0 million in FY 2013 and \$0.3 million in FY 2014 to more closely align with current spending. He also added \$475,000 for FY 2018. **The Assembly reduced FY 2013 funding by \$502,939 based on the Department's spending through the third quarter and concurred with the remainder of the recommendation.***

**Developmental Disabilities Regional Centers - Asset Protection.** The Department requested total funding of \$8.4 million, including \$3.8 million from Rhode Island Capital Plan funds in the FY 2014 through FY 2018 period for repairs and renovations to the 11 state-owned regional workshop centers. The approved capital plan includes \$0.8 million annually for FY 2013 through FY 2018. The request is consistent with the approved capital plan with the inclusion of \$0.8 million for FY 2018. The Department averaged \$355,607 in annual spending from FY 2007 through FY 2012. *The Governor reduced requested funding by \$1.8 million in the five-year period. **The Assembly concurred.***

**Substance Abuse - Asset Protection.** The Department requested \$1.6 million from Rhode Island Capital Plan funds for the five-year capital plan for substance abuse asset protection projects. This is \$0.6 million more than the approved plan, including \$0.1 million more for FY 2014 and the addition of \$0.3 million for FY 2018. The Department requested \$0.2 million more in new projects for total funding of \$0.5 million in FY 2013. The Department averaged annual spending of \$67,197 between FY 2009 through FY 2012 on asset protection and emergency repairs to the 12 state-owned community facilities housing substance abuse clients.

*The Governor recommended \$725,000 less than requested in the five-year period. He included \$100,000 in FY 2013 and \$125,000 in FY 2014 to more closely align with actual spending. **The Assembly concurred.***

**Mental Health Residences Furniture.** The Department requested \$252,000 from Rhode Island Capital Plan funds for furniture provided to indigent mental health clients who live in newly acquired apartments or group homes in the FY 2014 through FY 2018 capital plan. This is consistent with the approved plan and adds \$55,000 for FY 2018. The request includes \$32,000 for FY 2013 and \$40,000 for FY 2014. The Department spent \$1,568 in FY 2012, \$23,169 in FY 2011 and \$918 in FY 2010. *The Governor recommended funding as requested. **The Assembly provided \$3,000 in FY 2013 based on the Department's spending through the third quarter and eliminated the funding in the remaining fiscal years.***

## Governor's Commission on Disabilities

Projects	Status	5 Year Total	Project Total
Accessibility to Disability Service Providers	New	\$ 1,797,120	\$ 2,131,799
Accessibility to Higher Education	New	4,767,500	5,967,500
Accessibility to Open Meetings	New	234,980	3,126,640
Accessibility Fire Safety Renovations	New	347,449	347,499
Handicapped Accessibility Facility Renovations	Revised	-	968,365
<b>Total</b>		<b>\$ 7,147,049</b>	<b>\$ 12,541,803</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 7,147,049	\$ 12,541,803
<b>Total</b>		<b>\$ 7,147,049</b>	<b>\$ 12,541,803</b>

**Summary.** The Governor's Commission on Disabilities requested facility renovation projects totaling \$35.7 million from all funds, including \$17.3 million from Rhode Island Capital Plan funds and \$18.4 million from general obligation bonds including \$16.4 million from new bonds to be presented to voters on the November 2014 ballot. The request includes \$7.7 million to be used in the five-year period. The request is \$4.2 million more from Rhode Island Capital Plan funds for renovations compared to the approved capital plan.

*The Governor recommended \$12.8 million in total expenditures, which is \$22.9 million less than requested including \$4.5 million less from Rhode Island Capital Plan funds for facility renovations at state owned facilities. The Governor recommended the use of Rhode Island Capital Plan funds in lieu of new general obligation bonds. **The Assembly included \$12.5 million from Rhode Island Capital Plan funds, which is \$0.3 million less than recommended.***

**Accessibility to Disability Service Providers.** The Commission requested \$6.4 million of which \$3.6 million is to be used from FY 2014 to FY 2018 for facility renovation projects at disability service provider facilities. The current request includes \$0.6 million from Rhode Island Capital Plan funds and \$5.8 million from new general obligation bonds to be presented to the voters on the November 2014 ballot. Approved funding will be used to renovate state owned long term care and community based facilities, including the removal of structural barriers in order to promote increased independence. Projects are prioritized based on the results of a statewide accessibility survey the Commission completed in FY 2012. *The Governor recommended \$2.1 million in total expenditures from Rhode Island Capital Plan funds in lieu of new general obligation bonds, including \$1.8 million to be spent from FY 2014 to FY 2018. **The Assembly concurred.***

**Accessibility to Higher Education.** The Commission requested \$13.7 million from new general obligation bonds for renovation projects at the institutions of higher education of which \$711,742 is to be used in the five-year period covered by the current cost. The project would begin in FY 2018 and includes improving elevators, pathways, signage and parking at state owned buildings in order to assure access for individuals with disabilities. The request includes \$13.6 million from new general obligation bonds to be presented to the voters on the November 2014 ballot. Projects are prioritized based on the results of a statewide accessibility survey the Commission completed in FY 2012. *The Governor recommended \$6.0 million from Rhode Island Capital Plan funds in lieu of new general*

*obligation bonds, which is \$1.9 million more than requested, including \$4.8 million to be spent from FY 2014 to FY 2018. **The Assembly concurred.***

**Accessibility to Open Meetings.** The Commission requested \$5.5 million, including \$0.7 million from Rhode Island Capital Plan funds and \$1.7 million from new general obligation bonds to be used from FY 2014 to FY 2018 for facility renovation projects to remove structural barriers at four state owned facilities that are used for public meetings. The current request includes \$1.0 million from Rhode Island Capital Plan funds and \$4.5 million in new general obligation bonds to be presented to the voters on the November 2014 ballot. Projects are prioritized based on the results of a statewide accessibility survey completed in FY 2012. *The Governor recommended \$3.1 million from Rhode Island Capital Plan funds in lieu of new general obligation bonds, including \$0.2 million to be spent from FY 2014 to FY 2018. **The Assembly concurred.***

**Accessibility Fire Safety Renovations.** The Commission requested \$0.7 million for use during FY 2014 through FY 2016 from Rhode Island Capital Plan funds for facilities renovations in order to remove fire safety hazards from 20 state owned facilities. These improvements include creating areas of retreat where individuals that require an elevator can wait for firefighters to arrive in the event that an elevator is not operational and the installation of two-way radios so these individuals can have a line of communication with the fire department. Projects are prioritized based on the results of a statewide accessibility survey the Commission completed in FY 2012. *The Governor recommended \$0.3 million from Rhode Island Capital Plan funds in lieu of new general obligation bonds, to be spent from FY 2014 to FY 2018. **The Assembly concurred.***

**Handicapped Accessibility Facility Renovations.** The Commission requested \$235,500 including \$235,465 from Rhode Island Capital Plan funds and the remaining funding through a previously issued general obligation bond to construct an elevator at the Groden Center to provide accessibility for disabled students in FY 2013. Funding will also be used to provide necessary upgrades to the electrical system. This request makes this the last of a series of disability projects funded from a previous bond issue and ongoing Rhode Island Capital Plan funds. *The Governor recommended funding as requested; however, it appears he excluded \$2.0 million from previous general obligation bonds used for accessibility projects prior to FY 2013. **The Assembly did not include funding for this project as the lowest bid was \$0.6 million more than recommended for this project.***

**Accessibility to Administrative Facilities.** The Commission requested \$4.1 million from new general obligation bonds to be presented to the voters on the November 2014 ballot, for fire safety renovations, of which \$153,496 would be used in FY 2018. Approved funding will be used to improve access for individuals with disabilities in state owned administrative buildings. Projects are prioritized based on the results of a statewide accessibility survey completed by the Commission in FY 2012. *The Governor did not recommend funding for this project. **The Assembly concurred.***

## Department of Elementary and Secondary Education

Projects	Status	5 Year Total	Project Total
Cranston Career and Technical School	Revised	\$ 1,272,583	\$ 3,278,260
Technology Infrastructure	Revised	19,500,000	20,000,000
Woonsocket Career and Technical School	Revised	3,093,050	3,150,000
Davies School HVAC	Revised	1,670,771	4,296,024
Met School Asset Protection	Revised	500,000	700,000
Davies School Roof Repair	Revised	-	3,066,822
Davies Asset Protection	Revised	1,151,000	2,987,091
Warwick Career and Technical School	Revised	1,652,996	1,688,036
Newport Career and Technical School	Ongoing	-	1,200,000
East Providence Career and Technical School	Ongoing	175,000	1,100,000
Comprehensive Education Information System	Ongoing	540,716	7,615,284
Met School HVAC	Ongoing	1,666,667	2,500,000
Met School East Bay Campus	Ongoing	2,580,000	8,815,687
School for the Deaf New Construction	Ongoing	-	31,248,698
Regional Career and Technical Schools	Ongoing	-	15,000,000
<b>Total</b>		<b>\$ 33,802,783</b>	<b>\$ 106,645,902</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ 20,040,716	\$ 53,015,284
Federal Funds		-	4,600,000
General Obligation Bonds		-	15,000,000
Other		-	162,875
Rhode Island Capital Funds		13,762,067	33,867,743
<b>Total</b>		<b>\$ 33,802,783</b>	<b>\$ 106,645,902</b>

**Summary.** The Board of Regents for Elementary and Secondary Education requested capital project expenditures totaling \$109.6 million, which is \$8.5 million more than the approved capital plan adjusted for the removal of two projects that are complete. Of the total, \$32.7 million would be spent in the FY 2014 through FY 2018 period covered by the current request.

*The Governor's capital budget includes \$106.7 million in projects with \$32.3 million in the FY 2014 through FY 2018 period. This is \$3.0 million less than requested. The Governor's recommended five-year capital plan includes \$12.3 million from Rhode Island Capital Plan funds and \$20.0 million from Certificates of Participation.*

**The Assembly included \$106.6 million with spending of \$33.8 million in the five-year period. It altered the funding schedule for the Warwick and Woonsocket Career and Technical School and Davies HVAC projects based on projected expenditures.**

**Cranston Career and Technical School.** The Regents requested \$3.3 million from Rhode Island Capital Plan funds programmed through FY 2015 to cover remaining miscellaneous life and fire safety repairs and the replacement of the HVAC system at the Cranston Career and Technical School. Funding is \$1.4 million more than the approved capital plan to account for the addition of the roof

replacement to the project scope in order for the district to take ownership of the facility. *The Governor recommended total funding as requested but advanced \$0.6 million from FY 2014 to FY 2013. The Assembly concurred.*

**Technology Infrastructure.** The Regents requested \$22.8 million from Certificates of Participation to upgrade the technology infrastructure in classrooms throughout the state to ensure that all local education agencies are able to access the comprehensive data systems being developed. The 2012 Assembly authorized the issuance of \$20.0 million. The additional \$2.8 million or \$0.7 million annually from FY 2013 through FY 2016 is to fund the state match for the E-Rate program supported by the education telecommunication access fund. Based on information from the Department, the 2012 Assembly assumed that the principal payment could be used as state match when it authorized the borrowing. The Department has since indicated this is not eligible for match. It does not appear that leasing bandwidth would be an appropriate use of capital funding.

*The Governor did not recommend the additional \$2.8 million. He recommended \$20.0 million consistent with the approved capital plan and programmed funding from FY 2013 through FY 2015. His budget provides supplemental general revenues for the operating need. The Assembly concurred with the capital recommendation. It also provided supplemental general revenues for the operating need for FY 2014.*

**Woonsocket Career and Technical School.** The Regents requested \$3.2 million from Rhode Island Capital Plan funds for FY 2012 through FY 2015 to replace the roof at the Woonsocket Career and Technical School. Funding is \$1.5 million more than the approved capital plan to add the replacement of aging or failing mechanical systems to the project scope as incentive for the district to take ownership of the facility. *The Governor recommended funding as requested. The Assembly shifted \$1.2 million from FY 2013 and FY 2014 to FY 2015 to reflect anticipated expenditures.*

**Davies School HVAC.** The Regents requested \$4.3 million from Rhode Island Capital Plan funds programmed through FY 2016 to repair the HVAC system at the Davies Career and Technical School. This request is \$2.2 million more than the approved capital plan. The architectural and engineering design work changed the scope of the project from an electric-based heating/cooling solution to a more energy efficient hydronic heating and split cooling system. While the hydronic system is ultimately more cost efficient, the initial costs are higher as there is new piping to run, new pumps, new unit-ventilators, and a new rooftop split air conditioning unit to install. *The Governor recommended funding as requested. The Assembly added \$0.2 million for FY 2014 and \$0.2 million for FY 2015 from FY 2016 to accelerate this project. Total funding is as recommended.*

**Met School Asset Protection.** The Regents requested \$1.3 million from Rhode Island Capital Plan funds programmed through FY 2018 for asset protection projects at the Met School's Peace Street and Public Street campuses in Providence and the Gallagher Building in Newport. The request is \$0.7 million more than the approved capital plan to reflect all of the school's requested projects. The 2012 Assembly provided \$100,000 for FY 2014 through FY 2015 and \$200,000 for FY 2016 and FY 2017. The Peace Street campus was constructed in 1998 and the Public Street campus was constructed in 2002. The first priority projects include repairing cracks and frost heaves in walkways and on the basketball court, replacing doors, door hardware and locks to address security issues. *The Governor recommended \$200,000 for FY 2013 and \$100,000 annually for FY 2014 through FY 2018. The Assembly concurred.*

**Davies Career and Technical School - Roof Repair.** The Regents requested \$0.6 million for FY 2013 to finish replacing the roof at the Davies Career and Technical School. Total funding of \$2.6

million is \$0.4 million less than the approved capital plan to reflect the actual project cost. This project began in FY 2005. *The Governor recommended funding for FY 2013 as requested. He added \$0.5 million to pre-FY 2013 expenditures to reflect actual spending.* **The Assembly concurred.**

**Davies Asset Protection.** The Regents requested \$2.0 million from Rhode Island Capital Plan funds in the FY 2014 through FY 2018 time period for asset protection projects, which is \$84,000 more than the approved capital plan for the five-year period. Projects planned for FY 2014 include cement waterproofing and sealing, compressor replacement, upgrade of the electrical service in various technical shops, installation of new guard rails, and various painting projects.

*The Governor recommended total funding as requested. He advanced \$0.8 million from FY 2014 through FY 2018 to FY 2013 to do additional projects in FY 2013 such as replacing old light fixtures with new energy efficient ones, repaving the access drive and parking lots, waterproofing and sealing the south building, and addressing fire code violations.* **The Assembly concurred.**

**Warwick Career and Technical School.** Consistent with the approved capital plan, the Regents requested \$1.7 million from Rhode Island Capital Plan funds for FY 2011 through FY 2015 to replace the roof at the Warwick Career and Technical School. Funding includes \$1.0 million for additional, as yet unidentified projects, as incentive for the district to take ownership of the facility. *The Governor recommended funding as requested.* **The Assembly shifted \$0.7 million from FY 2013 to FY 2016 to reflect projected spending. Total funding is as recommended.**

**Newport Career and Technical School.** Consistent with the approved capital plan, the Regents requested \$1.2 million from Rhode Island Capital Plan funds for FY 2011 through FY 2013 to replace the roof at the Newport Career and Technical School as part of the district's agreement to take ownership of the facility. Newport took ownership of the facility in summer of 2012. *The Governor recommended funding as requested.* **The Assembly concurred.**

**East Providence Career and Technical School.** Consistent with the approved capital plan, the Regents requested \$1.1 million from Rhode Island Capital Plan funds programmed through FY 2014 to cover remaining fire, health, and life safety repairs at the East Providence Career and Technical School and purchase new boilers. East Providence has agreed to take ownership of the facility in July 2013. The transfer agreement requires the Department to pay \$767,128 in FY 2012 and \$175,000 in FY 2014. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Comprehensive Education Information System.** Consistent with the approved capital plan, the Regents requested \$3.0 million from authorized Certificates of Participation and \$4.6 million from federal funds to implement the comprehensive education information system innovative schools initiative (CEIS). The comprehensive education information system integrates state and local district information. Funding is programmed through FY 2016. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Metropolitan School HVAC.** The Regents requested \$2.5 million from Rhode Island Capital Plan funds programmed from FY 2013 through FY 2015 to repair the HVAC system for the five buildings at the Metropolitan Career and Technical School's Peace Street and Public Street campuses. The Peace Street campus was constructed in 1998 and the Public Street campus was constructed in 2002. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Metropolitan School East Bay Campus.** Consistent with the approved capital plan, the Regents requested \$8.8 million from Rhode Island Capital Plan funds through FY 2014 to renovate and add

new space to the Florence Gray Community Center in Newport to accommodate the growing student enrollment at the Met School's East Bay Campus. The state purchased the Florence Gray Community Center from the Newport Housing Authority in August 2011. This project involves renovations to this space as well as the construction of an adjacent facility. The school opened in August 2006 with 30 students; its current enrollment is 90 students. *The Governor recommended funding as requested.*  
**The Assembly concurred.**

**School for the Deaf New Construction.** The Regents' request includes \$30.0 million from Certificates of Participation and \$1.2 million from Rhode Island Capital Plan funds to construct a new School for the Deaf at its current location in Providence. The 2009 Assembly provided \$1.25 million from Rhode Island Capital Plan funds for FY 2009 to begin the project and \$30.0 million from Certificates of Participation. The project is completed and students were able to occupy the new school on September 1, 2010. The remaining \$700,000 will be spent in FY 2013 to construct an outbuilding/garage and to make final payments. *The Governor recommended funding as requested.*  
**The Assembly concurred.**

**Regional Career and Technical Schools.** The Regents' capital budget request includes \$15.0 million for repair work at the state-owned career and technical buildings consistent with the approved capital plan. The voters approved \$15.0 million from general obligation bond funds in 2004. The final \$0.1 million will be expended in FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

## Public Higher Education

Projects	Status	5 Year Total	Project Total
<b>Systemwide</b>			
Asset Protection	Revised	\$ 62,876,708	\$ 167,783,387
Smart Classrooms	Ongoing	-	5,646,872
<b>Community College</b>		24,125,000	60,025,000
<b>College</b>		120,921,336	157,378,982
<b>University</b>		332,626,203	682,630,311
<b>Total</b>		<b>\$ 540,549,247</b>	<b>\$ 1,073,464,552</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ 218,709,858	\$ 246,640,063
General Obligation Bonds - New		-	167,500,000
Other		75,839,348	111,131,701
Other Debt		12,486,110	36,789,405
Rhode Island Capital Funds		129,170,687	281,271,019
RIHEBC		87,439,854	188,155,504
University and College Funds		16,903,390	41,976,860
<b>Total</b>		<b>\$ 540,549,247</b>	<b>\$ 1,073,464,552</b>

**Summary.** The institutions under the Board of Governors submitted five-year capital requests that include projects totaling \$1,496.3 million, of which \$953.6 million would be spent in the FY 2014 through FY 2018 period; \$112.1 million would be spent in FY 2013.

*The Governor recommended \$1,098.6 million with \$564.4 million in projects to be spent in the FY 2014 through FY 2018 period and \$130.4 million to be spent in FY 2013. Average annual outlays for the five-year period are \$112.9 million.*

**The Assembly shifted \$1.1 million from Rhode Island Capital Plan funds from FY 2013 to FY 2015 for the University's fire safety administration and academic building project based on anticipated expenditures. The totals do not reflect funding for two projects with funding programmed for FY 2014 that the Governor recommended be financed with revenue bonds but did not submit a resolution for Assembly approval of debt issuance.**

**New Bond Initiatives.** The current request includes \$354.4 million in new general obligation bond funds to be placed on the November 2012 and November 2014 ballots. The request includes \$46.6 million for athletic facilities renovations and a new residence hall for the Community College. The request also reflects the \$50.0 million for academic building renovations at Rhode Island College that was presented to the voters on this November's ballot. At the University, the request includes \$66.2 million for a new Fine Arts Center and \$52.6 million for the full restoration of four historic buildings on the Kingston Campus. It also includes \$139.0 million to renovate and replace the buildings serving the Engineering College.

*The Governor's capital budget includes the \$50.0 million project for academic renovations at Rhode Island College that was approved by the voters in November 2012. He also recommended two projects*

for the University to be placed on the November 2014 ballot. This includes \$65.0 million for the Fine Arts Center and \$102.5 million for renovations to buildings associated with the engineering quadrangle. **The Assembly concurred.**

Project		Current		Governor
		Board Req.	2012 Ballot	2014 Ballot
Athletic Facilities Renovations*	CCRI	\$ 12,862,254	\$ -	\$ -
Knight Campus Residence Hall	CCRI	33,719,906	-	-
Academic Buildings Phase I/Life Sciences Building	RIC	50,000,000	50,000,000	-
Historic Quadrangle	URI	52,600,000	-	-
Engineering Quadrangle	URI	139,000,000	-	102,500,000
Fine Arts Center	URI	66,200,000	-	65,000,000
<b>Total</b>		<b>\$354,382,160</b>	<b>\$ 50,000,000</b>	<b>\$167,500,000</b>

\*The Governor recommended funding from revenue bonds.

**Other Debt.** The Board's current request also includes projects funded from revenue bonds. If a revenue stream is available, that revenue pays the debt service on the bonds. For example, housing fees would pay the debt service on a new dorm or renovation project. With projects for which there is no dedicated revenue stream, debt service is paid from either general revenues or tuition and fee revenues. The current request includes a total of \$200.5 million from revenue bonds, including \$99.6 million in the five-year period. Annual debt service on the \$99.6 million, assuming 20 years and 5.0 percent interest, is \$8.2 million. Annual debt service on the entire \$200.5 million is \$16.6 million.

*The Governor recommended \$213.3 million from revenue bonds, including \$112.4 million in the five-year period. Annual debt service on the \$112.4 million assuming 20 years and 5.0 percent interest is \$9.3 million; for the full \$213.3 million, it is \$17.7 million. It should be noted that the Governor did not submit a resolution for Assembly approval of the debt issuance on seven revenue bond funded projects that total \$115.6 million, including projects that assume expenditures beginning in FY 2014. This includes five projects at the University and one each at the College and Community College. **The Assembly did not authorize any new debt issuances.***

### Systemwide Projects

**Asset Protection.** The Board requested \$89.6 million from Rhode Island Capital Plan funds for asset protection projects for the five-years of the current capital request. The Board assumed use of \$12.3 million for FY 2013 and \$16.8 million for FY 2014. The five-year request is \$25.2 million more than the approved capital plan for projects at the University to reflect its estimated need. The University indicated that its need for maintaining its current assets will average between \$11.0 million and \$13.0 million a year for the five-years of the capital plan. The approved capital plan includes \$7.2 million for FY 2013 growing to \$7.9 million for FY 2017. The request for the Community College and College are consistent with the approved capital plan.

The 2006 Assembly included an annual five percent increase in asset protection projects as part of its multi-year appropriations. The 2008 Assembly provided three percent increases for FY 2011 and FY 2013 and a 10.0 percent increase for FY 2012 as part of its multi-year appropriations. The 2011 Assembly added \$2.0 million in each year, FY 2012 through FY 2016.

*The Governor recommended funding consistent with the approved capital plan. He advanced \$2.2 million from FY 2016 to FY 2013 for projects at the University and \$755,000 from FY 2014 through FY*

2016 to FY 2013 for projects at Rhode Island College. This additional funding is for projects that were identified as shovel ready. **The Assembly concurred.**

**Smart Classrooms.** The 2006 Assembly authorized \$15.2 million from Certificates of Participation for SMART classrooms to upgrade teacher preparation facilities at the College and University of Rhode Island. The project is completed and the request includes the final payment of \$0.2 million for Rhode Island College in FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

### Community College of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>Community College of Rhode Island</i>			
Flanagan Campus Addition	Revised	\$ 4,000,000	\$ 22,500,000
Knight Campus Renewal	Revised	20,125,000	20,125,000
HVAC and Sprinkler System	Ongoing	-	3,400,000
Energy Performance Contract	Ongoing	-	14,000,000
<b>Total</b>		<b>\$ 24,125,000</b>	<b>\$ 60,025,000</b>

**Flanagan Campus Addition.** The Board requested \$29.8 million from Rhode Island Capital Plan funds to add approximately 50,000 square feet to the Flanagan Campus academic building. The addition will include 15 additional classrooms, faculty offices, computer lab space, a theater/arts space, student lounge and additional study areas. The project also includes a planetarium and relocation of the bus shelter. This is \$7.3 million or 32.5 percent more than the approved capital plan to reflect cost escalations from moving project completion to FY 2018. The approved capital plan includes \$22.5 million from Rhode Island Capital Plan funds with expenditures beginning in FY 2015.

*The Governor recommended \$22.5 million from Rhode Island Capital Plan funds with expenditures beginning in FY 2017, which is two years later than requested apparently to accommodate the Governor's overall capital recommendation.* **The Assembly concurred.**

**Knight Campus Renewal.** The Board requested \$21.5 million from Rhode Island Capital Plan funds for a comprehensive renewal of the interior of the original Knight Campus Megastructure. This is \$1.4 million or 6.9 percent more than the approved capital plan to reflect extending the completion date. Renovations will include painting, lighting, new carpeting and tile and a redesign of the theatre. The project also involves roadway and landscape improvements to the main entrance road and facility entrance. The project is programmed to begin in FY 2014. *The Governor recommended \$20.1 million from Rhode Island Capital Plan funds with expenditures beginning in FY 2014.* **The Assembly concurred.**

**HVAC and Sprinkler System.** Consistent with the approved capital plan, the Board requested total project costs of \$3.4 million from Rhode Island Capital Plan funds to replace the rooftop HVAC units on the main building of the Flanagan Campus. The project is programmed through FY 2013. *The Governor recommended funding as requested and programmed \$211,255 for FY 2013 to complete the project.* **The Assembly concurred.**

**Energy Performance Contract.** Consistent with the approved plan, the Board requested \$11.5 million from Certificates of Participation to purchase energy-saving improvements in buildings and

infrastructure for the Community College. Energy service contracts guarantee that savings in utility costs will be used to pay for the debt service on the cost of the replacement of antiquated and inefficient equipment. Annual debt service is \$0.8 million. Funding is programmed through FY 2013.

*The Governor recommended the \$11.5 million from Certificates of Participation as requested. He also added \$2.5 million from College funds for FY 2013 which would allow for the College to spend additional money at its discretion. It should be noted that the Governor did not budget the additional College funds in his operating budget. **The Assembly concurred.***

**Knight Campus Residence Hall.** The Board's request includes \$33.7 million from general obligation bonds to build a new 200-bed residence hall at the Knight Campus in Warwick. The request includes \$250,000 for a feasibility study in FY 2015. The facility would be a combination of apartments and suites; the Community College believes this would attract out-of-state and international students. The Community College indicates that it has anecdotal evidence from students that they would like an on-campus housing option. State law requires that all auxiliary facilities be self-supporting including capital expenses. The Community College indicated that it is confident that a residence hall operation would be self-supporting through room fees, though it is pursuing a state subsidy for construction. This project was requested the past four years but neither the Governor nor the Assembly approved it. *The Governor did not recommend funding for this project. **The Assembly concurred.***

**Athletic Facilities.** The Board requested \$12.9 million from new general obligation bonds to build several new sports venues and make significant improvements to the field houses and existing fields at the Community College. Annual debt service assuming 5.0 percent and a 20 year term would be \$1.1 million with a total cost of \$20.7 million supported by general revenues and tuition and fee revenue. Principal additions include an outdoor track at the Knight Campus and outdoor tennis courts at both the Knight and Flanagan campuses. A new softball field at the Knight Campus and improvements to the existing softball field at Flanagan are also included in the plan. Improvements to existing fields include lighting, irrigation, bleachers, fencing and a small addition to the Knight Campus field house for the development of a new wellness program. As part of his FY 2013 budget, the Governor recommended \$12.9 million from new revenue bonds in lieu of the new general obligation bonds requested; however, he did not submit a resolution for Assembly approval of the debt issuance.

*The Governor recommended \$12.9 million in total funding from new revenue bonds with expenditure beginning in FY 2014; however, he did not submit a resolution for Assembly approval of debt issuance. **Because the Assembly was not presented with a resolution for approval of the debt issuance, it took no action, and the summary tables do not include these totals.***

## Rhode Island College

Projects	Status	5 Year Total	Project Total
<i>Rhode Island College</i>			
Academic Buildings Phase I	Revised	\$ 44,700,000	\$ 45,505,629
Renovation/Addition Life Sciences Building	Revised	5,300,000	5,400,000
Energy Performance Contract	Revised	7,500,000	7,700,000
Academic Buildings Phase II	Revised	-	150,000
Academic Buildings Phase III	Revised	150,000	150,000
Academic Buildings Phase IV	Revised	150,000	150,000
Modernization/Renovation of Residence Halls	Revised	175,000	175,000
Student Union Renovation	Revised	36,846,336	36,910,731
Donovan Dining Center	Revised	-	75,000
Recreation Center Modernization	Revised	-	13,233,362
Infrastructure Modernization	Ongoing	17,500,000	18,689,260
New Residence Hall	Ongoing	75,000	75,000
Roads/Parking/Entrances	Ongoing	8,525,000	9,145,000
Art Center Facility	Ongoing	-	20,020,000
<b>Total</b>		<b>\$ 120,921,336</b>	<b>\$ 157,378,982</b>

**Academic Buildings Phase I.** The Board requested \$44.8 million for a full renovation to Craig Lee and Gaige Halls. The request includes \$44.0 million from general obligation bond funds that went on the November 2012 ballot for voter approval and \$0.8 million from College funds. The approved plan includes \$50.0 million of new general obligation bonds and \$0.2 million from College funds. The 2012 Assembly included \$50.0 million of new general obligation bonds for academic buildings, which is \$5.3 million more than recommended to include renovation, upgrade and expansion of health and nursing facilities. The current request for \$44.0 million from general obligation bonds reflects the College's desire to allocate \$6.0 million of the approved \$50.0 million to the health and nursing facilities which it now shows as a separate project.

*The Governor recommended \$44.7 million from general obligation bond funds approved by the voters in November 2012, consistent with the approved capital plan, programmed from FY 2014 through FY 2017. He also recommended the \$0.8 million from College funds as requested for FY 2013. The Assembly concurred.*

**Renovation/Addition Life Sciences Building.** The Board requested \$6.1 million to renovate and construct an addition to the Fogarty Life Sciences Building. This includes \$100,000 from College funds for FY 2013 for a feasibility study and \$6.0 million from general obligation bond funds programmed over FY 2014 and FY 2015. The 2012 Assembly included \$50.0 million of new general obligation bonds for academic buildings to include renovation, upgrade and expansion of health and nursing facilities. The Board divided the potential bond funding into two projects. Of the total \$50.0 million, \$6.1 million is for this project which is \$0.8 million more than assumed in the approved plan. The College plans to provide nursing and biology students with adequate and up to date classrooms, laboratories, and faculty offices. The renovation work in the Fogarty Life Sciences Building includes improvements to the building's envelope, interior spaces, handicap accessibility, and upgrades to the HVAC and electrical systems.

*The Governor recommended \$5.3 million from general obligation bond funds approved by the voters in November 2012, consistent with the approved capital plan, programmed from FY 2014 through FY 2015. He also recommended the \$0.1 million from College funds as requested for FY 2013. The Assembly concurred.*

**Energy Performance Contract.** The Board requested \$7.7 million from Certificates of Participation and College funds to enter into an energy performance contract with an energy services company to purchase energy saving improvements in buildings and infrastructure. Funding is consistent with the approved capital plan but programmed for completion one year later, in FY 2015. *The Governor recommended funding as requested. The Assembly concurred.*

**Academic Buildings - Phase II.** The Board requested \$150,000 from College funds for a feasibility study for the second phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College to be completed in FY 2013, one year later than the approved capital plan based on a delay in the project. The two buildings planned for renovation are Clark Science Hall, and the Horace Mann Hall. *The Governor recommended funding as requested. The Assembly concurred.*

**Academic Buildings - Phase III.** The Board requested \$150,000 from College funds for FY 2015 for a feasibility study for the third phase of a four-phase project to modernize and rehabilitate eight academic buildings at Rhode Island College. This is one year earlier than the approved capital plan based on a reprioritizing of projects. The two buildings planned for renovation as part of the third phase are Whipple Hall and The Henry Barnard School. *The Governor recommended funding as requested. The Assembly concurred.*

**Academic Buildings - Phase IV.** The Board requested \$150,000 from College funds for a feasibility study for the fourth and final phase of a project to modernize and rehabilitate eight academic buildings at Rhode Island College. The two buildings planned for renovation as part of the fourth phase are Adams Library and Roberts Hall. The College indicated the study is to be completed in FY 2017 due to the building's current condition based on Master Plan findings. This is one year earlier than the approved capital plan. *The Governor recommended funding as requested. The Assembly concurred.*

**Modernization/Renovation of Residence Halls.** The Board requested \$175,000 from College funds for a feasibility study to assess and evaluate five of the six residential facilities on campus. The five facilities range from 19 to 46 years old with limited renovations done during those time periods. The study is scheduled to be completed in FY 2014. This is two years later than the approved capital plan based on a reprioritization of projects. *The Governor recommended funding as requested. The Assembly concurred.*

**Student Union Renovation.** The Board requested \$36.9 million for the renovation and expansion of the Student Union. This includes \$34.5 million from revenue bonds backed by user fees and \$2.4 million from College funds. Funding is consistent with the approved capital plan but programmed through FY 2018 based on the prioritization of projects. The project start date has been delayed based on the anticipated start date of the renovation of two major academic buildings, which is a higher priority. The feasibility study was completed in FY 2012. The renovation and expansion would provide more space and educational opportunity. Assuming 20 years and 5.0 percent interest, annual debt service payments would be \$2.9 million for a total cost of \$55.2 million. *The Governor recommended funding as requested. The Assembly concurred.*

**Donovan Dining Center.** Consistent with the approved capital plan, the Board requested \$75,000 from College funds for a feasibility study to assess the need for future improvements to the dining center and ensure the College meets the needs of current and future students. The approved capital plan assumed the study would be conducted during FY 2012. The current request splits funding between FY 2012 and FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Recreation Center Modernization.** The Board's request includes \$12.0 million for the modernization and renovation of the existing Rhode Island College Recreation Center including \$10.0 million from revenue bonds and \$2.0 million from College funds. The approved capital plan assumes use of \$1.2 million from federal fiscal stabilization funds for fire safety projects. This amount is not included in the project total to avoid double counting. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Infrastructure Modernization.** Consistent with the approved capital plan, the Board requested \$18.7 million from Rhode Island Capital Plan and College funds to modernize and replace steam lines, steam condensate lines, voice and data systems and the electrical distribution system. The project includes \$18.5 million from Rhode Island Capital Plan funds and \$0.2 million from College funds programmed through FY 2018. *The Governor recommended funding as requested.* **The Assembly concurred.**

**New Residence Hall.** Consistent with the approved capital plan, the Board requested \$75,000 from College funds for a feasibility study for a new residence hall. The feasibility study will be conducted to assess and evaluate the College's ability to expand housing capacity by 350 to 400 beds. The study is scheduled for FY 2015. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Roads/Parking/Entrances.** Consistent with the approved capital plan, the Board requested \$9.1 million for improvements to the Hennessey Street alternative entrance to the College for service vehicles. Funding includes \$9.1 million from Rhode Island Capital Plan funds and \$20,000 from College funds and is programmed through FY 2015. *The Governor recommended total funding as requested, but shifted the completion date to FY 2016.* **The Assembly concurred.**

**Art Center Facility.** Consistent with the approved capital plan, the Board requested \$20.0 million for the construction of a new 54,000 square foot Art Center Facility. The request includes \$17.0 million from general obligation bond funds that were approved by the voters on the November 2010 ballot, \$1.2 million from College funds and \$1.8 million from Rhode Island Capital Plan funds programmed through FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

## University of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>University of Rhode Island</i>			
Student Housing Improvements - Adv. Planning	New	\$ -	\$ 130,000
University Inn, Admissions, Housing and Retail	New	57,000,000	57,000,000
Tyler Hall Renovation Phase II	New	427,246	5,500,000
Combined Health and Counseling Center	New	1,798,132	20,300,000
Shepard Building Updates	New	293,979	4,600,000
NBC - Utilities and Infrastructure	New	191,136	2,000,000
Environmental Biotechnology Center	Revised	-	59,761,202
College of Pharmacy	Revised	-	69,146,632
Fire Protection - Academic and Administrative	Revised	13,350,000	25,848,356
New Chemistry Building	Revised	35,700,000	66,770,371
Student Athletic Development Center	Revised	-	3,600,000
Repaving and Road Construction	Revised	6,528,673	14,725,000
Fine Arts Center Renovation	Revised	65,000,000	65,400,000
Joint Nursing Education Center	Revised	2,500,000	9,787,632
Biological Resource Lab	Revised	17,800,000	17,800,000
Utility Infrastructure	Revised	21,500,000	21,500,000
Kingston Campus Parking Garage	Revised	16,700,000	16,700,000
Engineering - Building Renovations	Revised	66,552,359	102,500,000
Fire Protection - Auxiliary Enterprise Buildings	Ongoing	6,477,581	18,205,000
Energy Conservation Phase II	Ongoing	4,986,110	12,600,000
Ranger Hall	Ongoing	2,655,699	4,640,063
North District Infrastructure	Ongoing	2,351,152	10,315,000
Engineering Quad Advanced Planning	Ongoing	-	300,000
Anna Fascitelli Wellness Center	Ongoing	-	11,000,000
Hillside Residence Hall	Ongoing	-	45,812,159
Rodos Hellenic Center	Ongoing	2,269,360	4,226,423
Behavioral Change Lab	Ongoing	-	2,862,473
GLBTQ Center	Ongoing	1,378,900	1,500,000
Fogarty, Morrill and Pastore Halls Advanced Planning	Ongoing	-	200,000
Butterfield Dining Hall	Ongoing	6,865,876	7,600,000
GSO Campus Building Renovation	Ongoing	300,000	300,000
<b>Total</b>		<b>\$ 332,626,203</b>	<b>\$ 682,630,311</b>

**Student Housing Improvements - Advanced Planning.** The Board requested \$130,000 from University auxiliary sources for a new project in FY 2013 for advanced planning for student housing improvements or replacement of deteriorating portions of the University's student housing stock, which includes an estimated 1,100 beds. The scope of the work will include an analysis of seven locations for renovated housing and/or new construction, a building massing study, a market survey, a business plan and an implementation/phasing study. *The Governor recommended funding as requested. The Assembly concurred.*

**University Inn, Admissions, Housing and Retail.** The Board requested \$57.0 million from third-party financing programmed from FY 2014 through FY 2016 for a new project to develop a mixed use University inn. This project is envisioned as a public/private partnership where the inn will be privately owned and managed on University property with the University acting as an anchor tenant. The project will include facilities for a 100 bed hotel, 20 two bedroom apartments, a restaurant/University Club, a retail bookstore, conference space and University Admissions public spaces. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Tyler Hall Renovation Phase II.** The Board requested \$5.5 million from revenue bonds and private sources for a new project to complete renovations to Tyler Hall. Funding includes \$2.8 million from revenue bonds backed by general revenues, tuition and fees and \$2.8 million from private funds programmed to begin in FY 2018. The project would include a renovation of the lab and office spaces assigned to the computer science department, upgrading the HVAC system, reconfiguring and renovating the middle floor west, upgrading infrastructure and telecommunications data capacity on the middle floor east, and upgrading utilities, HVAC and refinishing the upper floor west. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Combined Health and Counseling Center.** The Board requested \$20.3 million from auxiliary fund balances, revenue bonds and private funds for a new project to begin in FY 2018 to combine health services and the counseling center into a single facility. The existing, physically separate facilities require duplications in support staff and medical record keeping. The University reported that the capacity of both facilities is insufficient to meet the growing demand for health and counseling services. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Shepard Building Updates.** The Board requested \$4.6 million from Rhode Island Capital Plan funds programmed to begin in FY 2018 for a new project to make repairs to the Shepard Building. The project will include roof replacement, receiving door replacement, renovations to the Westminster Street entrance and replacement of all restroom floors. The University hopes that this will reduce operating and maintenance costs, improve health and safety and beautify the building. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Bay Campus - Utilities and Infrastructure.** The Board requested \$2.0 million from revenue bonds backed by general revenues, tuition and fees programmed to begin in FY 2018 for a new project to make infrastructure and utility repairs on the Narragansett Bay Campus. Repairs would be made to roads, parking lots, walkways, potable water and sewage pumping stations, and the pier. Cost of issuance is estimated at 3.0 percent of the total project cost and annual debt service would be \$0.2 million with a total cost of \$3.2 million assuming 20 years and 5.0 percent interest. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Environmental Biotechnology Center.** The Board's request reflects a \$60.3 million project for expansion of the Biological Sciences Center. This is \$0.6 million more from federal funds than assumed in the approved capital plan to reflect actual grant awards. The voters approved \$50.0 million from general obligation bonds placed on the November 2004 ballot. The plan calls for the construction of a 140,000 square foot facility that will provide classrooms, laboratory facilities, animal care holding facilities, and office space. Funding includes \$50.0 million from general obligation bonds, \$5.6 million from Rhode Island Capital Plan funds, \$1.9 million from private sources, \$2.4 million from federal funds, \$0.2 million from authorized Certificates of Participation for education technology projects through the Department of Elementary and Secondary Education's budget and a \$0.1 million rebate from National Grid for HVAC modifications. *The Governor recommended funding*

as requested. He recommended \$0.5 million less than requested from Rhode Island Capital Plan funds to adjust for pre-FY 2013 expenditures. **The Assembly concurred.**

**College of Pharmacy.** The Board's request includes \$69.1 million to design and construct a building to house the College of Pharmacy. The voters approved \$65.0 million from general obligation bonds placed on the November 2006 ballot. The revised plan calls for the construction of a 148,000 square foot facility. The building will provide classrooms, teaching laboratories, research laboratories, faculty, staff and administrative offices, and outreach programs. Funding includes \$65.0 million from general obligation bonds, \$0.5 million from University funds and \$3.7 million from private donations. It has been possible to complete the project with the \$3.7 million that was raised versus the original fundraising target of \$10.2 million. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Fire Protection - Academic and Administrative.** The Board requested \$13.3 million from Rhode Island Capital Plan funds and \$12.5 million from federal fiscal stabilization funds for a project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University of Rhode Island's academic and administrative buildings that are not presently equipped with sprinkler and addressable alarm systems. The project is programmed through FY 2015. The approved capital plan includes \$14.0 million from Rhode Island Capital Plan funds programmed to begin in FY 2016. The University has indicated that this project is a priority and is moving the project up to meet schedules determined by the state fire marshal. Because there is no Rhode Island Capital Plan funding for FY 2013, the University is using \$1.0 million from its asset protection funds to advance engineering work to ready the plans and specifications for bidding.

*The Governor recommended total funding as requested and programs the Rhode Island Capital Plan funding as \$1.1 million for FY 2013, \$10.1 million for FY 2014 and \$2.2 million for FY 2015.* **The Assembly shifted \$1.1 million from FY 2013 to FY 2015 based on anticipated expenditures.**

**New Chemistry Building.** The Board requested a \$66.8 million project to design and construct a new chemistry building. This includes \$61.0 million from general obligation bonds approved by the voters on the November 2010 ballot to construct a 130,000 square foot building and \$4.8 million from Rhode Island Capital Plan funds. The request also includes \$1.0 million from University and other funds based on revised cost estimates that were not included in the approved capital plan. The project will provide classrooms, research laboratories, faculty and administrative offices. *The Governor recommended funding as requested with minor revisions to the programming of funds based on a revised construction schedule. Funding is programmed through FY 2015.*

*The Governor subsequently requested an amendment to shift \$350,000 from Rhode Island Capital Plan funds from FY 2013 to FY 2014 based on a delay in the project.* **The Assembly did not concur with the requested amendment. It programmed funding as originally recommended.**

**Student Athletic Development Center.** The Board requested \$3.6 million from private sources and university funds to renovate 12,000 square feet within the Tootell Center and Keaney Gymnasium for an athlete development center. This is \$0.3 million more than the approved capital plan from University funds based on revised cost estimates. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Repaving and Road Construction.** The Board requested \$14.7 million from revenue bonds backed by parking fees and University funds for the third phase of the University's efforts to repave and reconstruct major parking facilities, internal roadways, and walkways. This is \$1.0 million more than

the approved capital plan from University funds. The Parking Services Enterprise is providing funding from existing fund balances to develop an additional 300 commuter/event parking spaces in conjunction with the road extension project. The approved capital plan includes \$13.7 million from revenue bonds. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Fine Arts Center Renovation.** The Board's request includes \$66.2 million from new general obligation bonds and \$0.4 million from Rhode Island Capital Plan funds for advanced planning currently underway, to complete renovation and enhancement of the 120,720 square foot fine arts center on the Kingston Campus, which serves the University's program in the musical, theatrical, visual and graphic arts. The approved capital plan includes \$0.4 million from Rhode Island Capital Plan funds for planning and \$66.2 million from general obligation bonds for construction. Last year's request was for \$65.0 million of new general obligation bonds to be submitted to the voters on the November 2014 ballot. This request for \$66.2 million from general obligation bonds is \$1.2 million more than that to reflect an increase in project management costs to oversee the project.

*The Governor recommended \$65.0 million of new general obligation bonds to be submitted to the voters on the November 2014 ballot with expenditure to begin in FY 2016.* **The Assembly concurred.**

**Joint Nursing Education Center.** The Board's request includes \$67.2 million from third party financing and Rhode Island Capital Plan funds to construct a new 120,000 square foot joint nursing building for the University of Rhode Island and Rhode Island College nursing programs in Providence and enhancements to the existing nursing education facilities. Funding includes \$59.9 million from third party financing and \$7.3 million from Rhode Island Capital Plan funds of which \$2.0 million is in the approved plan. The University and College will share technology equipped classrooms, seminar rooms, lecture halls, health laboratories, computer and media labs, collaborative research and outreach program spaces and a simulation center for nursing student training in realistic patient care settings. This request assumes lease of state owned land to a private developer. The joint nursing program will be the anchor tenant under a 25 year lease agreement with the property reverting to the state at the end of the lease period. Rhode Island Capital Plan funding would be used for design and project management costs and upgrades to White Hall in Kingston. The balance of the project would be funded by a private developer.

It should be noted that the approved plan includes \$2.0 million from Rhode Island Capital Plan funds for FY 2014 for renovation to existing nursing facilities at the University, along with \$5.3 million from the \$50.0 million of new general obligation bonds for academic buildings at Rhode Island College to include renovation, upgrade and expansion of health and nursing facilities on that campus. The University estimates that the cost to renovate White Hall, the existing nursing facility, is \$2.9 million and that \$2.4 million of requested Rhode Island Capital Plan funds will be spent on planning, design and project management support for both White Hall renovations and to advance the Joint Nursing Education Center.

*The Governor recommended \$9.8 million from Rhode Island Capital Plan funds including \$2.5 million for FY 2014 and \$7.1 million for the post-FY 2018 period. Of the \$2.5 million for FY 2014, \$0.5 million is intended to be used to complete architectural and engineering plans and \$2.0 million is to renovate the existing nursing facilities at the University. There is currently no plan for the \$7.1 million programmed for the post-FY 2018 period.*

**The Assembly concurred.** Each chamber passed a resolution encouraging and supporting the efforts of the Board of Education and the Department of Administration to locate a shared nursing education facility at the South Street Power Station in Providence.

**Biological Resource Lab.** The Board's request includes a \$17.8 million project funded from revenue bonds backed by University federal research overhead funds to construct a 17,000 square foot biological resources laboratory designed to centralize and replace the numerous small laboratory animal care facilities on the Kingston Campus. This is \$0.9 million more than the approved capital plan to reflect cost escalation associated with delaying the project one year. Funding is programmed from FY 2014 through FY 2017. The new lab would be designed to National Institutes of Health standards and be located underground beneath the new north district science courtyard and connected to the lower two floors of the new College of Pharmacy Building.

*The Governor recommended total funding as requested but recommended funding from third party funds. The University is currently in the process of studying the feasibility of this type of financing mechanism. **The Assembly concurred.***

**Utility Infrastructure.** The Board requested \$21.5 million from revenue bonds backed by general revenues and tuition and fees for two phases of utility infrastructure upgrades. Funding is programmed over FY 2014 and FY 2015 for phase I and from FY 2016 through FY 2018 for phase II. The project involves the replacement of the steam/condensate distribution system, water distribution system, electrical distribution system and the sanitary sewer system. This request is \$1.5 million more than the approved capital plan to reflect incorporating an upgrade of the main substation serving the primary electric system and cost escalation associated with delaying the start of the project, which had not been applied to the project costs for the past several years. The total cost, assuming 5.0 percent interest and a 20 year term is \$34.4 million with annual debt service of \$1.8 million. The Governor recommended \$20.0 million from revenue bonds as part of his FY 2013 capital budget but did not submit a resolution for Assembly approval of the debt issuance.

*The Governor recommended funding as requested but shifted the beginning of phase I out one year to begin in FY 2015. He did not submit a resolution for Assembly approval of the debt issuance. **The Assembly took no action.***

**Kingston Campus Parking Garage.** The Board requested total funding of \$16.7 million from all sources, including \$15.7 million from revenue bonds backed by parking fees and \$1.0 million from University funds for FY 2014 and FY 2015 for the design and construction of a multi-level parking structure on a portion of the Chafee Hall faculty and staff parking lot. The project includes parking on five levels, including a sub-entry level, with a total of 561 parking spaces. Assuming 20 years and 5.0 percent interest, annual debt service payments would be \$1.3 million for a total cost of \$25.1 million. This request is \$0.8 million more than last year's request to reflect a delay in the planned construction. The Governor recommended funding as requested but did not submit a resolution for Assembly approval of the debt issuance and the Assembly did not authorize any new debt issuances.

*The Governor recommended funding as requested but shifted the beginning of phase I out one year to FY 2015. He did not submit a resolution for Assembly approval of the debt issuance. **The Assembly took no action.***

**Engineering - Building Renovations.** The Board requested \$139.0 million from new general obligation bonds for renovations to buildings in the engineering quadrangle to begin in FY 2015. This is \$36.5 million more than the approved plan. This reflects the inclusion of Bliss Hall as part of this project instead of the historic quadrangle buildings renovations project. Additionally, the University reevaluated the construction period for this project. Last year's request assumed construction would take three years to complete. The University now believes that a five-year period is more realistic resulting in more cost escalation.

The Board requested that this project go on the November 2014 ballot for voter approval. The project would include renovations and additions to Bliss Hall, Crawford Hall, Gilbreth Hall, Kelly Hall and Annex, Wales Hall, and a replacement for the Sheets building serving the Ocean Engineering Program on the Narraganset Bay campus. The project will focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators, and restrooms. The heating, ventilating, and air conditioning systems will also be replaced along with the roofs. The project encompasses 173,817 square feet of space.

*The Governor recommended \$102.5 million from new general obligation bonds to be placed on the November 2014 ballot for voter approval. Funding is programmed to begin in FY 2016. **The Assembly concurred.***

**Fire Protection - Auxiliary Enterprise Buildings.** Consistent with approved capital plan, the Board requested \$18.2 million from revenue bonds to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University of Rhode Island's auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. This includes some of the older dorms, a dining hall and Memorial Union. The 2008 Assembly authorized the University to issue \$19.4 million from revenue bonds backed by student fees for this project and the project cost reflects the \$18.2 million that is available net of issuance costs. *The Governor recommended funding as requested. **The Assembly concurred.***

**Energy Conservation Phase II.** The 2006 Assembly approved a resolution for a lease not to exceed \$18.1 million at the University to enter into an energy performance contract to purchase energy-saving improvements in buildings and infrastructure. Based on the Board's request, the Governor recommended \$12.6 million for the second phase of this project. The 2010 Assembly authorized the debt as recommended. The Board requested \$12.6 million from Certificates of Participation consistent with the approved capital plan. *The Governor recommended funding as requested. **The Assembly concurred.***

**Ranger Hall.** Consistent with the approved capital plan, the Board's request includes \$4.6 million for the rehabilitation and restoration of Ranger Hall. The building currently houses Nutrition and Food Sciences but will be converted from a lab-based building to one better suited for classroom and office use. Funding includes \$3.7 million from general obligation bond proceeds approved in 1996 and \$1.0 million from private sources. The project is scheduled to be completed during FY 2014. *The Governor recommended funding as requested. **The Assembly concurred.***

**North District Infrastructure.** Consistent with the approved plan, the Board requested \$10.3 million from revenue bond funds to fund on-site utility connections, drainage systems, walkways, parking areas, landscaping and demolition work in the north district of campus for projects completed, underway, and about to begin construction including the Center for Biotechnology and Life Sciences, College of Pharmacy and Chemistry buildings. The 2008 Assembly authorized the University to issue \$11.2 million from revenue bonds for this project. The bonds were issued in June 2009. *The Governor recommended funding as requested. **The Assembly concurred.***

**Engineering Quad Advanced Planning.** The Board requested \$0.3 million from University funds for FY 2013 for planning work on the University's engineering quad. The project will allow the University to study the building improvement priorities for the College of Engineering. *The Governor recommended funding as requested. **The Assembly concurred.***

**Anna Fascitelli Wellness Center.** Consistent with the approved capital plan, the Board requested \$11.0 million from private funds and student affairs auxiliary funds to renovate the Anna Fascitelli Wellness Center, a 29,618 square foot two-story masonry building constructed in 1969 into a student wellness and activity center. This project was previously referred to as Roger Williams Wellness Center. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Hillside Residence Hall.** The Board's request includes a \$45.8 million project to demolish the small existing Terrace apartment buildings and construct a 120,000 square foot, five story residence hall providing 429 new beds in double rooms, each with 9-foot-high ceilings, built-in closets and the newest technology.

The request includes \$3.0 million from University funds for furniture and beds and \$42.7 million from revenue bonds backed by room rents and authorized by the 2008 Assembly and a \$0.1 million Department of Energy stimulus grant for a solar hot water heating system for the building. The authorization assumes a project cost of \$37.0 million, of which \$1.0 million is from pay-go sources, a 30 year term and an interest rate of 5.25 percent for a total cost of \$89.0 million and annual debt service of \$3.0 million. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Rodos Hellenic Center.** Consistent with the approved capital plan, the Board's request includes the \$4.2 million project to construct a building for Hellenic Studies adjacent to and east of the Fine Arts Center on the Kingston Campus. Funding for the project is from private sources. The request programs the project through FY 2014.

Under the lease agreement, construction was to be completed within 30 months of the start of construction, or by January 2012 per that provision. Because no work has been completed since the foundation was laid in 2010, the University has issued a conditional letter of termination that is pending. The University anticipates that the foundation will be removed and the land, immediately east of the Fine Arts Center, will be considered in the advance planning work underway for the Fine Arts Center major renovation project. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Behavioral Change Lab.** The Board's request includes a \$2.9 million project to renovate the interior offices of the Psychology Department's Behavioral Change Research Facility. The new lab would be designed to National Institutes of Health standards and be located in the University's Behavior Change Facility in the Chafee Social Science Center. The University has received a grant from the National Institutes of Health to fund the entire project and expects the lab to be fully operational in FY 2012. The request also includes \$17,000 from University funds. *The Governor recommended funding as requested.* **The Assembly concurred.**

**GLBTQ Center.** The Board requested \$1.5 million from University sources programmed from FY 2013 through FY 2014 to construct a 5,000 square foot facility to support gay, lesbian, bi-sexual, and trans-gender community members. This project includes multipurpose rooms, as well as a student lounge, resource area, kitchen space, and offices. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Fogarty, Morrill and Pastore Halls Advanced Planning.** Consistent with the approved capital plan, the Board requested \$0.2 million from University funds for FY 2013 for planning work for Fogarty, Morrill and Pastore Halls. The project will allow the University to study the building improvement priorities for Fogarty, Morrill and Pastore Halls. It should be noted that the University has requested

renovations to Fogarty and Morrill Halls as separate projects. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Butterfield Dining Hall.** The Board requested \$7.6 million from student affairs auxiliary fund balances and dining services operating fees programmed through FY 2015 to expand the Butterfield Dining Hall. This project includes a 5,900 square foot addition, as well as remodeling of the existing facility to accommodate an increase in on campus resident students from the Hillside Residence Hall. The project also includes a 1,500 square foot second floor addition and 950 square feet of renovations for student lounge and common areas. *The Governor recommended funding as requested.* **The Assembly concurred.**

**GSO Campus Building Renovation.** Consistent with the approved capital plan, the Board requested \$0.3 million from Rhode Island Capital Plan funds for planning work for the Graduate School of Oceanography facilities. This project involves an architectural and engineering firm undertaking an evaluation and advance planning efforts for the development of building and systems renovation at the University's Narragansett **Bay Campus.** Work is programmed for FY 2014. *The Governor recommended total funding requested, but recommended the project be funded from University funds instead of Rhode Island Capital Plan funds.* **The Assembly concurred.**

**Facilities Services Sector Upgrade.** The Board requested \$12.3 million from new revenue bonds to make improvements to the service sector area that houses the operations and maintenance functions, and stores equipment, furniture and supplies. The plan calls for the demolition of the automotive garage and the grounds building. New buildings will accommodate equipment and furniture storage, automotive garages and any workshops necessary in the facilities compound while largely hiding the operations from public view. This request is \$4.0 million more than the approved capital plan based on a determination from the architectural and engineering team that many of the structures needed to be replaced and relocated instead of renovated. Last year's request for \$8.3 million assumed that most of the structures could be renovated. Assuming 20 years and 5.0 percent interest, annual debt service payments would be \$1.0 million for a total cost of \$19.7 million. The debt will be paid from the University's unrestricted budget; this includes general revenues, tuition and fees. *The Governor recommended funding as requested; however, he did not submit a resolution for Assembly approval of the debt issuance.* **Because the Assembly was not presented with a resolution for approval of the debt issuance, it took no action, and the summary tables do not include these totals.**

**Nutrition and Food Sciences Relocation.** The Board requested \$1.5 million from Rhode Island Capital Plan funds for a new project for FY 2014 to make interior renovations necessary to relocate the department of nutrition and food sciences. Preliminary plans are to move the department to Fogarty Hall which has recently been vacated by the College of Pharmacy. The project involves the development of a permanent new undergraduate teaching laboratory and minor improvements to accommodate faculty offices, classrooms and research spaces. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Morrill Hall Renovation.** The Board requested \$18.5 million from Rhode Island Capital Plan funds for a new project to renovate Morrill Hall to house the department of nutrition and food science as well as interdisciplinary research and teaching space. This 32,036 square foot, four-story masonry building was constructed in 1965 and is mostly vacated from the department of cell and molecular biology relocating to the new center for biotechnology and life sciences. This project has been requested in the past several years but has not been included in the approved capital plan. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Classroom and Instructional Lab Improvements.** The Board requested \$4.5 million from Rhode Island Capital Plan funds programmed from FY 2014 through FY 2016 for a new project to make improvements to classrooms and instructional laboratory facilities within the University academic buildings that have not benefited from major renovations or are in the most need of adaptation. This new project includes finishes on walls and floors, window treatments, acoustical treatments, furnishings, and environmental conditions. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Public Safety Building.** The Board requested \$5.8 million from Rhode Island Capital Plan funds programmed over FY 2014 and FY 2015 for a new project to design and construct a new public safety building to house the campus police, parking, risk management and safety compliance offices of the University. The University has recently consolidated the campus police and safety and risk management operations and would like to locate these departments in a common space. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Fogarty Hall Renovation.** The Board requested \$16.4 million from Rhode Island Capital Plan funds for a new project to renovate Fogarty Hall to house administrative and business services as well as general assignment classrooms. Renovations would include the building envelope, mechanical systems, HVAC, and replacement or removal of leaking steam lines. This project has been requested for the past few years but neither the Governor nor the Assembly has included it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Quinn Hall Exterior Renovation Phase II.** The Board requested \$3.1 million from Rhode Island Capital Plan funds programmed in FY 2015 to make exterior repairs to Quinn Hall, built in 1936. This new project would renew the building envelope and exterior finishes by replacing the windows with new historic-style energy efficient units. Restoration work would include new gutters and downspouts, replacement of doors and windows, and stone re-pointing. Entries, walkway approaches and surrounding landscaping would also be addressed. This is the sixth year that this project has been requested but neither the Governor nor the Assembly approved it. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Historic Quadrangle Building Renovation.** The Board requested \$52.6 million from new general obligation bonds for renovations to buildings in the historic quadrangle to begin in FY 2015. The new project would include renovations and additions of Roosevelt Hall, Washburn Hall, East Hall and Ranger Hall. The project will focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators, and restrooms. The heating, ventilating, and air conditioning systems will also be replaced along with the roofs. The project encompasses 133,000 square feet of space. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Harrington School - Phase II.** The Board requested \$43.0 million for a new project to renovate Carlotti and Davis Halls to be the new location for the Harrington School which coordinates graduate and undergraduate programs in communication studies, film media, journalism, library and information studies, public relations and writing and rhetoric. Funding is programmed from FY 2016 through FY 2018 and includes \$21.5 million from Rhode Island Capital Plan funds and \$21.5 million from private donations. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Quinn Hall Interior Renovation.** The Board requested \$22.9 million from Rhode Island Capital Plan funds programmed for FY 2016 through FY 2018 to make renovations to the interior of Quinn Hall,

which was constructed in 1936. This new project will renovate the interior of the building including reconfiguring classrooms and offices, modernizing teaching laboratories, upgrading the elevator and restrooms to meet accessibility code and replacing the HVAC system. Renovations to the exterior of the building including window replacement are requested as a new separate project. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Horn Building Renovation.** The Board requested \$23.7 million from Rhode Island Capital Plan funds for FY 2017 through the post-FY 2018 period to make renovations to the Francis Horn Building, a research laboratory on the Narragansett Bay campus, constructed in 1968. This new project will renovate the building envelope, reconfiguring classrooms and offices, modernizing laboratories, upgrading plumbing, windows, doors, fume hoods and exhausts to meet compliance code, and replacing the HVAC system. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Edwards Hall Renovation.** The Board requested \$3.7 million from Rhode Island Capital Plan funds for a new project to make exterior and interior renovations to Edwards Hall. The building was constructed in 1928 as the University's assembly hall. Renovations include new doors and windows, handicap access improvements, historic restoration of the hall and restroom improvements. This project has been requested as part of the past five budgets but neither the Governor nor the Assembly included it in the capital budget. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Bio-Behavioral Center.** The Board requested \$5.9 million from Rhode Island Capital Plan funds for a new project to build a Bio-Behavioral Healthy Lifestyle Research Center adjacent to Independence Square on the Kingston campus. The new 14,500 square foot research center would contain a small indoor walking track, an open area for group activities, an intervention or education conference room, assessment offices, and a research or teaching kitchen. The project will also include site improvements and parking improvements. This project is programmed to begin in FY 2017. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Child and Family Science Center.** The Board requested \$9.9 million from Rhode Island Capital Plan funds for FY 2017 and FY 2018 for a new project to renovate and expand the existing Child Development Center and Transition Center on the Kingston campus. The new 12,300 square foot addition will merge the two facilities into one renovated facility that will include fire safety and building code improvements, energy efficient upgrades, solar hot water heating, sunlight-harvesting for interior lighting, and rainwater collection for gardens. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

**Woodward Hall.** The Board requested \$27.1 million from Rhode Island Capital Plan funds programmed to begin in FY 2018 for a new project to renovate Woodward Hall, a four-floor, 64,000 square foot science classroom building on the Kingston Campus. *The Governor did not recommend funding for this project.* **The Assembly concurred.**



## Rhode Island Atomic Energy Commission

Projects	Status	5 Year Total	Project Total
Asset Protection	Ongoing	\$ 250,000	\$ 564,929
<b>Total</b>		<b>\$ 250,000</b>	<b>\$ 564,929</b>
<b>Sources of Funds</b>			
Federal Funds		\$ -	\$ 30,000
Rhode Island Capital Funds		250,000	534,929
<b>Total</b>		<b>\$ 250,000</b>	<b>\$ 564,929</b>

**Summary.** The Commission requested \$604,929, including \$554,929 from Rhode Island Capital Plan funds, \$30,000 from federal funds and \$20,000 from University of Rhode Island sponsored research funds for asset protection projects. The current request, which provides funding through FY 2018, includes upgrading the air conditioning system in the lab wing of the facility, completing roof repairs, and laboratory renovations, improving the landscaping, and continuing repairs to the front and back parking lots.

*The Governor's recommended five-year capital budget includes \$564,929 for asset protection, which eliminates \$20,000 from Rhode Island Capital Plan funds and \$20,000 from University of Rhode Island sponsored research funds requested for FY 2016. The recommendation includes \$50,000 from Rhode Island Capital Plan funds for each year from FY 2014 to FY 2018. **The Assembly concurred.***



## Historical Preservation and Heritage Commission

Projects	Status	5 Year Total	Project Total
Heritage Harbor Museum	Revised	\$ 10,180,080	\$ 14,009,993
Eisenhower House Asset Protection	Ongoing	1,575,000	1,750,000
<b>Total</b>		<b>\$ 11,755,080</b>	<b>\$ 15,759,993</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ 1,200,000	\$ 5,000,000
Other Funds		8,980,080	9,009,993
Rhode Island Capital Funds		1,575,000	1,750,000
<b>Total</b>		<b>\$ 11,755,080</b>	<b>\$ 15,759,993</b>

**Summary.** The Historical Preservation and Heritage Commission submitted a five-year capital request that includes projects totaling \$14.4 million, of which \$10.4 million would be spent in the FY 2014 through FY 2018 period. *The Governor recommended total funding of \$15.8 million, including \$11.7 million for the five-year period. His recommendation is \$1.4 million more than requested.* **The Assembly concurred.**

**Heritage Harbor Museum.** The Commission requested total funding of \$14.0 million, including \$10.2 million in the FY 2014 through FY 2018 period for the construction of the Heritage Harbor Museum. The total is consistent with the approved plan, but delays the completion of the project to after FY 2014. Funding includes \$5.0 million of voter approved general obligation bonds. An additional \$9.0 million is anticipated from other funding sources, including \$1.3 million from private and corporate donations, \$1.7 million from federal and state tax credits, and \$6.0 million in funds raised by Heritage Harbor Corporation to fund the non-bonded portion of the project.

Construction of the 55,000 square foot museum began in October 2007. Construction was halted in March 2009, as development partner Struever Bros. Eccles, and Rouse, Inc. experienced construction financing problems. A restart date could not be determined at the time of the Commission's request. *The Governor recommended funding as requested. Subsequent to the Governor's recommendation, the Heritage Harbor Corporation announced that the original building plan is no longer feasible.* **The Assembly took no action. Authorization for \$1.2 million of unissued bonds expired on June 30, 2013.**

**Eisenhower House Asset Protection.** The Commission requested \$375,000 for exterior building renovations to the Eisenhower House for FY 2014 through FY 2017, which is consistent with the approved capital plan. The request includes \$75,000 for improvements in both FY 2014 and FY 2015, and includes \$50,000 each year for FY 2016 and FY 2018. *The Governor recommended \$1.5 million for the FY 2014 through FY 2018 period for more substantial renovations and repairs consistent with a subsequent request from the Commission and its FY 2014 budget request.* **The Assembly shifted \$75,000 from the current year to FY 2015 to reflect updated project schedules. Total funding is as requested.**



## Office of the Attorney General

Projects	Status	5 Year Total	Project Total
Building Repairs and Renovations	Revised	\$ 650,000	\$ 2,979,098
<b>Total</b>		<b>\$ 650,000</b>	<b>\$ 2,979,098</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 650,000	\$ 2,979,098
<b>Total</b>		<b>\$ 650,000</b>	<b>\$ 2,979,098</b>

**Summary.** The Office requested \$3.7 million from Rhode Island Capital Plan funds for the FY 2014 through FY 2018 period and \$0.9 million for FY 2013 for renovations and repairs to the 150 North Main Street building and the Licht Judicial Complex in Providence. This includes general building renovations and repairs, exterior re-pointing, fire protection, electrical upgrades, piping infrastructure, and elevator mechanisms replacement. This is \$2.7 million more than the approved plan to reflect a wider project scope as well as newly requested repair and renovation expenses for courtroom spaces allocated to the Office within the Licht Judicial Complex. It should be noted, the Licht Judicial Complex is under the authority of the Judicial Department, which is responsible for all building related repairs and renovations.

*The Governor recommended \$3.0 million, including \$0.7 million for the FY 2014 through FY 2018 period. He included \$0.6 million for the current year and did not assume funding for the post FY 2018 period. This is \$0.2 million more than the previously approved capital plan or \$2.6 million less than requested, and appears to fund existing projects only.* **The Assembly concurred.**



## Department of Corrections

Projects	Status	5 Year Total	Project Total
Medium Infrastructure	Revised	\$ 11,560,000	\$ 11,660,000
Minimum Kitchen Expansion	Revised	9,985,392	10,309,384
Intake Service Center Renovations	Revised	5,570,000	6,152,455
Dix Building Renovations	Revised	3,050,000	7,871,837
Bernadette Guay Bldg. Roof, Plumbing, & HVAC Repair	Revised	1,665,000	2,481,503
Dix Building Plumbing & Bathroom Renovations	Revised	-	1,809,263
Asset Protection	Ongoing	16,020,000	34,537,191
Maximum General Renovations	Ongoing	2,000,000	4,105,378
Reintegration Unit (Formerly D-Building Renovation)	Ongoing	60,000	20,738,665
<b>Total</b>		<b>\$ 49,910,392</b>	<b>\$ 99,665,676</b>
<b>Sources of Funds</b>			
Federal Funds		\$ -	\$ 12,377,746
Rhode Island Capital Funds		49,910,392	87,287,930
<b>Total</b>		<b>\$ 49,910,392</b>	<b>\$ 99,665,676</b>

**Summary.** The Department of Corrections' five-year capital plan includes 10 projects totaling \$121.9 million, of which \$61.7 million would be spent in the FY 2014 through FY 2018 period. The request includes one new project, six revised projects and three ongoing projects. *The Governor recommended nine projects totaling \$100.2 million, including \$49.8 million for the five-year period.* **The Assembly included \$0.5 million less than recommended from Rhode Island Capital Plan funds for total project costs based on an updated project scope. This includes reductions of \$0.6 million for FY 2013 and \$0.4 million for FY 2016, partially offset by increases of \$0.3 million for FY 2014 and \$0.2 million for FY 2015.**

**Medium Infrastructure.** The Department requested \$23.6 million from Rhode Island Capital Plan funds, including \$0.1 million in the current year for Medium Moran infrastructure improvements. This includes kitchen and laundry expansion, new furniture and equipment installation, interior mechanical repairs, heating pipes and hot water tanks renovation, air handler unit upgrades, heating and cooling valves replacement and additional space for showers, dining areas, inmate programs and recreational activities. This is \$9.1 million more than the approved plan to reflect a wider project scope. Additional project components include renovations to the heat, ventilation and air conditioning system, roof replacement and drainage improvement and perimeter road reconstruction. This also includes a wider expansion of the dispensary to increase the number of exam and treatment rooms, create a large waiting room for inmates awaiting treatment, build a physical therapy area and provide a medical ward for inmates who require assistance with everyday activities.

It should be noted, these additional components were requested in the prior year, but they were not approved. For FY 2012, the Department supervised an average medium population of 1,014 inmates with the current ancillary support services. It appears that the closure of the Donald Price medium

security facility in FY 2012 did not have a significant impact on the medium population, which is totaling 1,020 on average for the first two months of FY 2013.

*The Governor recommended total project costs of \$11.7 million, including \$0.1 million for the current year. His recommendation includes \$4.7 million for FY 2014, \$2.6 million for FY 2015, \$2.3 million for FY 2016, \$1.8 million for FY 2017, and \$0.1 million for FY 2018. His recommendation is \$2.8 million less than the approved plan and \$11.9 million less than requested. It appears to exclude several requested project components, including dispensary expansion, drainage improvement and perimeter road reconstruction. **The Assembly concurred.***

**Minimum Kitchen Expansion.** The Department requested \$10.3 million from Rhode Island Capital Plan funds, including \$0.2 million for FY 2013 to construct a new facility, expand the minimum security kitchen area and install new equipment to increase its capacity to serve and feed a larger inmate population. This is \$4.3 million more than the approved plan to reflect a significantly wider project scope, which includes the construction of a new stand-alone support services facility. This new facility would include a visiting room with a capacity of 225 individuals, an indoor gymnasium capable of holding 125 inmates, laundry facilities and a control center to supervise and direct deliveries, visitor traffic and inmate work crews. The Department's request anticipates the use of approved funding for FY 2013 for the minimum security kitchen expansion portion of the project to begin architectural and engineering work for the new facility, while delaying the kitchen expansion portion to FY 2014.

Currently, the Department is waiting for the demolition of Building D, subsequent to which, accurate bid projections can be generated from potential vendors. *The Governor recommended total funding essentially as requested, but reprogrammed expenditures and added \$23,992 through FY 2016. **The Assembly concurred.***

**Intake Service Center Renovations.** The Department requested \$6.2 million from Rhode Island Capital Plan funds, including \$1.4 million in the current year to restore the building's exterior, including windows and roof replacement. This includes joint patching and resealing the brick veneers on the north and south side of the building, replacing the roof and water damaged windows and casements, upgrading the cooling tower and chiller controls, repairing a sinkhole in the hallway and cell areas and renovating the existing heat, ventilation and air conditioning system. This is consistent with the approved plan, but delayed a year to FY 2015 to reflect updated schedules. *The Governor recommended total funding as requested, but shifted \$0.8 million from the current year to FY 2016 to reflect an anticipated delay. **The Assembly concurred.***

**Dix Building Renovations.** The Department requested \$8.1 million from Rhode Island Capital Plan funds, including \$2.1 million in the current year to complete ongoing renovations at the Dix facility for re-use as office space for the staff relocating from the Pinel and Bernadette facilities. Renovations include continued electrical system upgrade, office and storage space improvement, shower restoration and steam lines and radiator replacement. This is essentially consistent with the approved plan, except for \$0.3 million carried forward from FY 2012 to the current year. Initially, the request total appeared to be \$3.1 million more than the approved plan, but it was later discovered as an error in prior year spending totals that were inadvertently reduced for this project. *The Governor recommended total project costs of \$7.9 million, including \$3.1 million for the five-year period and \$0.7 million for the current year. His recommendation delays portions of the project and reprograms expenditures through FY 2017. **The Assembly concurred.***

**Bernadette Guay Building Roof, Plumbing and HVAC Repair.** The Department requested \$1.2 million from Rhode Island Capital Plan funds for FY 2014 and FY 2015 and \$35,000 in the current

year for a project total of \$2.0 million to purchase and install a high velocity air heating and cooling system for the Bernadette Guay women's minimum security facility. This includes removal of current air conditioner window units and electric space heaters that are currently used to maintain room temperature. This is essentially consistent with the approved plan, but reprogrammed a year later to reflect new spending estimates for a different aspect of the project. The approved plan includes funding for window replacement, which the Department explained is no longer necessary after repairing the windows that were damaged. The Department requested the approved funds to purchase and install the high velocity air heating and cooling system in order to keep all of the windows shut at all times. *The Governor recommended \$0.5 million more than requested and reprogrammed expenditures through FY 2017. His recommendation adds \$0.6 million for FY 2014, reduces FY 2015 funding by \$0.1 million, and shifts \$0.2 million from FY 2015 evenly to FY 2016 and FY 2017. The Assembly concurred.*

**Dix Building Plumbing & Bathroom Renovations.** The Department requested \$1.8 million from Rhode Island Capital Plan funds, including \$1.1 million in the current year to continue bath and shower area renovations at the Dix facility. This includes removal of current plumbing and fixtures and installation of new equipment including toilets, sinks, lighting, wall tiles, as well as the renewal of the ceilings and floors. This is \$0.3 million less than the approved plan to reflect the Department's updated cost estimates for furniture, fixtures and equipment. This project is roughly 40 percent completed, and the remaining work is scheduled to be completed in the current year. *The Governor recommended funding essentially as requested with the exception of \$11,153 more for prior year expenditures to correctly reflect total spent funds for this project. The Assembly concurred.*

**Asset Protection.** The approved capital plan includes an asset protection account funded from Rhode Island Capital Plan funds. The Department's request includes \$17.9 million for the five-year period and \$4.9 million for the current year, of which \$0.5 million was carried forward from FY 2012, for a total of \$42.3 million for asset protection projects. This is \$15.1 million more than the approved plan to reflect requested funding for FY 2018 through FY 2022. *The Governor recommended \$16.0 million for the five-year period and \$5.9 million for the current year. His recommendation is \$0.8 million less than requested through FY 2018. The Assembly concurred.*

**Maximum General Renovations.** The Department requested \$4.6 million from Rhode Island Capital Plan funds, including \$1.4 million for FY 2013 to renovate the maximum security facility. Project components include renewing the building's plumbing system, insulating the steam pipes in the basement, improving exhaust capability for showers, replacing the condenser lines, installing a more secured metal gate and upgrading the heat, ventilation and air conditioning unit. This is consistent with the approved plan. This project would also protect the electrical and distribution systems from condensation from the steam pipes. The electrical and distribution systems were replaced in FY 2011 and the punch list items were finalized in FY 2012. *The Governor reduced requested funding for the current year by \$43,502 and shifted \$0.6 million from FY 2013 to FY 2015 and FY 2016. The Assembly reprogrammed this project to be completed in FY 2015 and reduced the total recommended funding by \$0.5 million based on an updated project scope.*

**Reintegration Unit (Formerly D-Building Renovation).** The Department requested \$0.3 million carried forward from FY 2012 to FY 2013 and \$2.1 million for FY 2018 and the out-years from Rhode Island Capital Plan funds to complete the retrofit of the Reintegration Center as a new women's facility and construct a new ancillary support facility. This includes final improvements in the current year for the medical and dental service areas and a fence yard for inmate segregation. New funding requested for FY 2018 and the out-years includes the construction of a new ancillary support facility to provide indoor recreation and classroom space for the female population housed at both the

Reintegration Center and Bernadette Guay facility. This is \$2.3 million more than the approved plan, which includes \$18.7 million for this project. The Department maintains that the women's facilities do not have adequate indoor recreation and classroom space, unlike the men's facilities. *The Governor recommended funding essentially as requested with the exception of \$234,579 less to reflect adjustments to pre-FY 2013 expenditures.* **The Assembly concurred.**

**Data & Telecommunication Upgrades.** The Department requested \$2.0 million from Rhode Island Capital Plan funds for FY 2018 and the post-FY 2018 period to rewire several buildings at the Pastore Center. This includes the acquisition of a technical expert to examine the Department's current wiring and network closets and develop a replacement plan, based on the needs identified, that can be implemented without disrupting the operation of the existing network. This upgrade includes removal of existing limitations with the current network and allows for a more comprehensive system with broader connectivity to other related correctional applications. The Department maintained that this project would also enhance its network capabilities for future technical equipment upgrades. This project was previously requested for FY 2011, but not approved. *This project is not included in the Governor's recommendation.* **The Assembly concurred.**

## Judicial Department

Projects	Status	5 Year Total	Project Total
Judicial Complexes - HVAC	Revised	\$ 3,500,000	\$ 8,286,517
Judicial Complexes Asset Protection	Revised	3,550,000	8,298,352
Murray Judicial Complex Cell Block	Ongoing	440,000	920,000
Noel Shelled Courtroom Build Out	Ongoing	-	8,400,000
Licht Judicial Complex Restoration	Ongoing	3,000,000	5,000,000
Judicial Complexes Technology Improvements	Ongoing	-	13,900,000
<b>Total</b>		<b>\$ 10,490,000</b>	<b>\$ 44,804,869</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ -	\$ 13,900,000
Rhode Island Capital Funds		10,490,000	30,904,869
<b>Total</b>		<b>\$ 10,490,000</b>	<b>\$ 44,804,869</b>

**Summary.** The Judiciary requested capital project expenditures totaling \$52.4 million, which is \$12.1 million more than the approved capital plan. Of this amount, \$21.6 million would be spent in the FY 2014 through FY 2018 period. The request includes four ongoing projects and two projects which have been altered from the approved capital plan.

*The Governor's capital budget includes \$44.8 million in projects with \$10.5 million in the FY 2014 through FY 2018 period. This is \$7.6 million less than requested but \$4.6 million more than the approved capital plan. The Governor's recommended five-year capital plan includes \$10.5 million from Rhode Island Capital Plan funds. **The Assembly concurred.***

**Judicial Complexes - HVAC.** The Judiciary requested \$3.6 million from Rhode Island Capital Plan funds for the FY 2014 through FY 2018 period and \$0.6 million for FY 2013 for the replacement and/or restoration and/or cleaning of the heating, ventilation and air conditioning system for all judicial complexes including Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal and Fogarty Judicial Annex. This is \$6.1 million more than the approved plan to reflect the Department's revised estimates and the inclusion of future HVAC projects in the post-FY 2018 period. Additional work includes water pump and boiler installations and the replacement of water lines, fan coils, exhaust fans, air handlers, baseboard control valves, the variable air volume box and the domestic water system. *The Governor recommended \$3.5 million for the five-year period. He advanced \$1.2 million for FY 2013 to accelerate funding for projects that were identified as "shovel ready". **The Assembly concurred.***

**Judicial Complexes Asset Protection.** The Judiciary requested \$3.6 million from Rhode Island Capital Plan funds for the FY 2014 through FY 2018 period and \$0.6 million for FY 2013 for asset protection projects. The total request of \$8.3 million is \$2.5 million more than the approved plan to reflect funding for the additional year as well as a revised estimate for the post-FY 2018 period. The Judiciary's asset protection projects planned through FY 2018 include drainage system improvements, security upgrades, courtroom restorations, interior refurbishments to public areas and office spaces, cell block upgrades, elevator upgrades and exterior refurbishments. The request also includes \$1.7 million for the post-FY 2018 period. *The Governor recommended funding as requested for the five-year period. He advanced \$1.6 million for FY 2013 to accelerate funding for projects that were identified as "shovel ready". **The Assembly concurred.***

**Murray Judicial Complex Cell Block.** Consistent with the approved plan, the Judiciary requested \$920,000 from Rhode Island Capital Plan funds for FY 2014 and FY 2015 to make renovations at the Murray Judicial Complex male cellblock. Renovations include installing a toilet and creating separation between juvenile and adult prisoners and male and female prisoners. The Judiciary reports that the current set-up is a violation of the federal Juvenile Justice and Delinquency Prevention Act. The architecture and engineering component was completed in FY 2008 as part of the Judiciary's asset protection project, and funding would be used for construction. *The Governor recommended total funding as requested but started the project one year earlier than requested. He recommended \$480,000 for FY 2013 and \$440,000 for FY 2014. The Assembly concurred.*

**Noel Shelled Courtroom Build Out.** Consistent with the approved plan, the Judiciary requested \$8.4 million from Rhode Island Capital Plan funds to begin the build out of the shelled courtrooms at the Noel Judicial Complex to relieve overcrowding at the Garrahy Judicial Complex and the increased growth in Washington County's population. The first phase of the project would begin in FY 2016 with the addition of one and one half decks to the current parking garage to accommodate the need for additional parking for the added courtrooms. This phase is estimated to cost \$2.8 million. The second phase is the build out of the shelled space within the Noel Judicial Complex during FY 2017 and FY 2018. Funding for this phase is programmed as \$2.8 million each year. *The Governor recommended total funding as requested, but shifted the project out to the post-FY 2018 period apparently to accommodate his overall capital recommendation. The Assembly concurred.*

**Licht Judicial Complex Restoration.** The Judiciary requested \$7.5 million from Rhode Island Capital Plan funds for the restoration of Licht Judicial Complex. This is \$3.5 million more than the approved plan to reflect a larger project scope. The approved plan provided funding for plaster repair, courtroom benches and carpet replacement, and repainting of the courthouse interior. The current request includes renovations to the Supreme Court, the Bourcier Lounge and the Supreme Court conference room. These were included in previous submissions, as well as last year's, but were not approved. Other additions to the project include woodwork and ornamental plaster restoration, repairs of the Courthouse's outside doors at the Benefit Street entrance, and fixing the outdoor floor at the North Main Street entrance.

*The Governor recommended \$5.0 million, which is \$2.5 million less than requested and \$1.0 million more than the approved capital plan. He provided \$0.5 million for FY 2013 and programs the remaining funds from FY 2016 through the post-FY 2018 period. The Assembly concurred.*

**Judicial Complexes Technology Improvements.** Consistent with the approved plan, the Judiciary requested \$13.9 million from Certificates of Participation, including \$0.9 million for FY 2013 for court technology improvements. Technology improvements include electronic case filing, case management software and systems upgrades, online indigent defense timecard system, digital recording of courtroom proceedings, development and implementation of a new financial management system and Garrahy computer center upgrades. Completion is scheduled for FY 2016. *The Governor recommended total funding as requested but advanced the remaining funding of \$3.3 million to FY 2013 to complete the project. The Assembly concurred.*

## Military Staff

Projects	Status	5 Year Total	Project Total
Hurricane Sandy Cleanup	New	\$ 1,500,000	\$ 12,000,000
Asset Protection	Revised	8,180,000	12,012,069
Armory of Mounted Commands	Revised	2,975,000	9,384,753
Command Readiness Center Addition	Revised	-	2,339,337
State Armories Fire Code Compliance	Revised	121,000	808,639
Federal Armories Fire Code Compliance	Ongoing	192,000	500,843
Logistics/Maintenance Fac. Fire Code Compliance	Ongoing	88,000	296,589
Camp Fogarty Armory Roof	Ongoing	-	1,500,000
Benefit Street Arsenal Rehabilitation	Ongoing	800,000	858,635
Emergency Management Building	Ongoing	-	125,000
Bristol Readiness Center	Ongoing	125,000	125,000
Burrillville Regional Training Institute	Ongoing	-	275,000
<b>Total</b>		<b>\$ 13,981,000</b>	<b>\$ 40,225,865</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 6,745,500	\$ 24,458,782
Rhode Island Capital Funds		7,235,500	15,767,083
<b>Total</b>		<b>\$ 13,981,000</b>	<b>\$ 40,225,865</b>

**Summary.** The Military Staff submitted a capital budget totaling \$27.0 million for 11 projects. The request includes \$11.5 million for the FY 2014 through FY 2018 period, including \$5.8 million from federal funds and \$5.6 million from Rhode Island Capital Plan funds. *The Governor recommended total project costs of \$40.7 million, with expenditures in the five-year plan totaling \$12.8 million for 12 projects, one of which is new. The increase above the request primarily reflects the addition of the Hurricane Sandy Cleanup project, which became necessary after the submission of the request.* **The Assembly provided total project costs of \$40.2 million, \$0.5 million less than recommended to reflect amendments requested by the Governor subsequent to his original recommendation.**

**Hurricane Sandy Cleanup.** In October of 2012 Hurricane Sandy made landfall in the state causing damage to infrastructure and properties as a result of flooding and high winds. A major disaster was declared in the following month, which began the state's process of applying for federal funding to reimburse entities completing the necessary repairs. The Emergency Management Agency within the Military Staff is the lead state agency for federal coordination and eventual reimbursement of funding. Due to the timing of the hurricane and budget request submission, the request did not include funding for these purposes.

*The Governor recommended \$12.0 million, including \$3.0 million from Rhode Island Capital Plan funds to match \$9.0 million of federal funds for reimbursements to state agencies, as well as other entities such as quasi-state agencies and municipalities. It should be noted that the \$12.0 million of funding is an estimate. It is unclear which projects will be completed, as there is currently no definitive project list available. Due to that uncertainty, it is also unclear whether the use of Rhode Island Capital Plan funds is appropriate, as the state has used general revenues for the state match portion for previous disasters. The projections are also based on a 25 percent state match, which has*

*not been finalized. Certain recent disaster reimbursements have required a state match of only 10 percent. The Governor subsequently requested an amendment to shift \$1.5 million of Rhode Island Capital Plan funds from FY 2013 to FY 2014 to reflect a revised reimbursement schedule, which reduced the required match in FY 2013; however, the funds will be required in FY 2014. The Assembly concurred.*

**Asset Protection.** The Military Staff requested \$10.6 million from all funds for asset protection projects, including \$3.0 million from Rhode Island Capital Plan funds for the FY 2014 through FY 2018 period. Funds would be used for 11 individual asset protection projects, including repairs and upgrades to roofs, windows, and HVAC systems. The request is \$3.1 million more than the approved plan to reflect the current projects planned as well as the inclusion of \$1.4 million more for the additional fiscal year.

*The Governor recommended \$12.9 million, which is \$2.3 million more than requested including \$0.5 million more from Rhode Island Capital Plan funds and \$1.8 million more from federal funds. The additional funding includes \$0.4 million more in FY 2013 to match additional federal funds for building maintenance and repair projects. The Military Staff originally requested general revenues for the state match portion in its operating request. The Governor subsequently requested an amendment to remove \$0.9 million of Rhode Island Capital Plan funds to reflect actual asset protection expenditures. The Assembly concurred.*

**Armory of Mounted Commands.** The Military Staff requested a total of \$9.6 million for the rehabilitation of the Armory of Mounted Commands building. The request is \$1.4 million more than the approved plan due to the inclusion of five individual projects, four of which were previously requested as separate projects. Due to the location and size of the projects, all components are now grouped under a singular project. Individual components include HVAC upgrades, roof replacements, paving and elevator installation. The request includes \$3.3 million from federal and Rhode Island Capital Plan funds through FY 2017, which is the projected completion of the renovations. *The Governor recommended \$0.3 million less than requested to reflect adjustments to pre-FY 2013 expenditures. The Governor subsequently requested an amendment to advance \$0.4 million of funding to FY 2013 and remove \$0.1 million from FY 2014 to reflect the asset protection priorities of the Military Staff. The Assembly concurred.*

**Command Readiness Center Addition.** Consistent with the approved plan, the Military Staff requested a total of \$2.2 million to construct an addition to the existing command readiness center cafeteria. This includes \$1.3 million from federal funds and \$0.9 million from Rhode Island Capital Plan funds for the project. During state emergencies, this area is utilized by the Emergency Management Agency as a conference and gathering area. The addition will provide for a primary cafeteria space and the existing cafeteria will be permanently dedicated for Emergency Management Agency use. The Military's capital request also includes a project for a new Emergency Management building. If a new building is procured, then this addition will be used as back up space as required by Homeland Security standards. The project will add approximately 1,500 square feet and would be completed in FY 2013. *The Governor recommended funding as requested. The Governor subsequently requested an amendment to add \$0.2 million in FY 2013 to reflect increased project costs. The Assembly concurred.*

**State Armories Fire Code Compliance.** Consistent with the approved plan, the Military Staff requested a total of \$0.8 million for fire alarm installation at the State Armories. The request includes a total of \$60,500 each from Rhode Island Capital Plan funds and federal funds for FY 2014 through FY 2017, which is the year the project is scheduled for completion. *The Governor recommended \$0.1*

*million less than requested from Rhode Island Capital Plan funds to reflect adjustments to pre-FY 2013 expenditures. The Governor requested an amendment to add \$0.2 million in FY 2013 to properly fund the Military Staff's over expenditure of funds for the project. **The Assembly concurred.***

**Federal Armories Fire Code Compliance.** Consistent with the approved plan, the Military Staff requested a total of \$0.5 million for the design and installation of automatic fire detection and suppression systems in all Rhode Island National Guard buildings. The request includes \$48,000 from Rhode Island Capital Plan funds and \$144,000 from federal funds through FY 2017, which is when the project is scheduled for completion. *The Governor recommended \$43,901 less than requested from Rhode Island Capital Plan funds to reflect adjustments to pre-FY 2013 expenditures. **The Assembly concurred.***

**Logistics/Maintenance Fac. Fire Code Compliance.** Consistent with the approved plan, the Military Staff requested a total of \$0.4 million for the design and installation of an automatic fire alarm system at the Logistics and Maintenance Facilities. The system will bring the buildings into compliance with state law. The installation began in FY 2009 and is scheduled to continue through FY 2015. Funding includes \$0.1 million from Rhode Island Capital Plan funds and \$0.3 million from federal funds. *The Governor recommended \$50,000 less than requested from Rhode Island Capital Plan funds to reflect adjustments to pre-FY 2013 expenditures. **The Assembly concurred.***

**Camp Fogarty Armory Roof.** Consistent with the approved plan the Military requested a total of \$1.5 million including \$375,000 from Rhode Island Capital Plan funds and \$1,125,000 of matching federal funds for FY 2013 to replace the roof at the Camp Fogarty Armory. Renovations to the roof are not adequate and the roof membrane and some understructure needs to be completely replaced. The project is scheduled for completion in FY 2013. *The Governor recommended funding as requested. **The Assembly concurred.***

**Benefit Street Armory Rehabilitation.** Consistent with the approved plan, the Military Staff requested a total of \$0.8 million from Rhode Island Capital Plan funds for FY 2014 to complete the continued rehabilitation of the Benefit Street Armory. The historical structure was built in 1843 and must be maintained in accordance with Rhode Island General Laws and its status on the national register of Historical Places. Funds will be used for exterior masonry work, painting, window and shutter repair, interior electrical, water damage repair, fire alarm and sprinkler installation and emergency egress. *The Governor recommended funding as requested. **The Assembly concurred.***

**Emergency Management Building.** Consistent with the approved plan, the Military Staff requested \$0.1 million from Rhode Island Capital Plan funds for FY 2013 for a feasibility study for a new Rhode Island Emergency Management Agency building. This project would allow the Agency to construct a new and separate facility that would house administration offices, the State Emergency Operations Center and equipment and materials storage. The Military's capital request also includes a project for an addition to the Command Readiness Center to add space for the Emergency Management Agency. The Military staff indicated the addition would still be required to meet the Homeland Security standard of having a backup functional location during a statewide emergency. *The Governor recommended funding as requested. **The Assembly concurred.***

**Bristol Readiness Center.** Consistent with the approved plan, the Military Staff requested \$0.1 million from Rhode Island Capital Plan funds for a feasibility study for a project to renovate an existing site and construct a new armory facility in Bristol that will meet all updated federal requirements and is capable of supporting two Rhode Island National Guard units and a field maintenance shop. The existing West Bay armories and field shop do not meet the standards or needs

of the National Guard. The construction of the facility will be 100 percent federally funded. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Burrillville Regional Training Institute.** Consistent with the approved plan, the Military Staff requested \$0.3 million for planning costs related to a new Regional Training Institute to be located on 133 acres of land in Burrillville, Rhode Island. The Rhode Island National Guard plans to construct a state-of-the-art education facility that will provide sufficient space to train soldiers on the essential skills of land navigation, map reading and compass reading. The facility will consist of administration, general instruction, dining and individual sleeping quarters. This includes \$0.1 million from Rhode Island Capital Plan funds for a feasibility study in FY 2013. The construction of the facility will be 100 percent federally funded. *The Governor recommended funding as requested.* **The Assembly concurred.**

## Department of Public Safety

Projects	Status	5 Year Total	Project Total
Consolidated Training Academy	New	\$ 7,750,000	\$ 8,000,000
Headquarters Repairs/Renovations	Revised	800,000	2,103,455
Headquarters Complex Expansion	Revised	450,000	488,500
Barracks Renovations	Revised	2,909,743	5,078,515
State Microwave/IT Upgrade	Revised	-	7,426,000
Parking Area Improvements	Revised	900,000	950,000
New Headquarters	Ongoing	-	26,467,392
State Fire Training Academy	Ongoing	2,825,000	9,225,000
<b>Total</b>		<b>\$ 15,634,743</b>	<b>\$ 59,738,862</b>
<b>Sources of Funds</b>			
Certificates of Participation			
General Obligation Bonds		\$ -	\$ 10,739,904
Restricted Receipts		7,750,000	8,000,000
Rhode Island Capital Funds		7,884,743	40,998,958
<b>Total</b>		<b>\$ 15,634,743</b>	<b>\$ 59,738,862</b>

**Summary.** The Department of Public Safety submitted a five-year capital request that includes projects totaling \$64.9 million, of which \$7.2 million would be spent in the FY 2014 through FY 2018 period. This is \$9.6 million more than the approved capital plan, including \$1.6 million less from Rhode Island Capital Plan funds to be spent in the five-year period. *The Governor recommended \$60.2 million, of which \$13.2 million would be spent in the five-year period. This is \$0.4 million less than requested from Rhode Island Capital Plan funds. He subsequently requested an amendment, shifting Rhode Island Capital Plan funds for several projects and a reduction of total funding for one project.* **The Assembly included \$59.7 million from all sources, which is \$0.5 million less than recommended. This includes the recommended amount from restricted receipts and general obligation bonds and \$0.5 million less from Rhode Island Capital Plan funds.**

**Consolidated Training Academy.** The Department requested \$8.0 million for the construction of a consolidated police training academy, to be used by the State Police, the Municipal Police Training Academy, and the Providence Police. The request programs funding from restricted receipts available through forfeiture funds from Google, Inc. The Department requested \$6.0 million for FY 2013 and \$2.0 million for FY 2014.

*The Governor recommended total funding as requested; however, delayed the majority of funding from the current year. He recommended \$250,000 for the current year and \$3.9 million for both FY 2014 and FY 2015, respectively.* **The Assembly concurred.**

**Headquarters Repairs/Renovations.** The Department requested total project costs of \$2.1 million from Rhode Island Capital Plan funds for repairs and renovations to the State Police Headquarters in North Scituate. This request is \$521,000 more than the approved plan based on revised cost estimates for renovations to the supply and intelligence buildings. The approved plan includes funding for renovations to the Supply Building, Intelligence Building, Radio Bureau Building, and Scituate

Barracks. The request includes \$371,500 in the current year for the installation of a heating, ventilation and air conditioning system, new walls, flooring, construction of offices, and bathroom renovations. The request also reflects \$500,000 for FY 2014 for renovations to the Radio Bureau Building and for repairs to the exterior of the Scituate Barracks, which currently houses the Department's Central Management Office. *The Governor recommended funding as requested.* **The Assembly shifted \$0.3 million from FY 2013 to FY 2015 to reflect the Department's adjusted timetable for this project. Total funding is as recommended.**

**Headquarters Complex Expansion.** The Department requested \$528,500 from Rhode Island Capital Plan funds for FY 2013 and FY 2014 for the expansion of its Headquarters Complex. This is \$481,500 less than the approved capital plan to reflect a request to transfer funds approved for this project to the Headquarters Repairs/Renovations project, which is a higher priority for the Department in FY 2013. As approved, this project involves significant exterior repairs and interior renovations to two former National Guard buildings located at the State Police Headquarters Complex in North Scituate. The request reduces the scale of this project, funding fewer exterior repairs and very limited interior renovations.

*The Governor recommended total project costs of \$0.5 million, which is \$0.5 million less than enacted and \$40,000 less than requested, reflective of the diminished scope of work based on the Department's prioritization of other projects. He included \$250,000 in the current year and \$210,000 for FY 2014.* **The Assembly shifted \$200,000 from FY 2013 to FY 2015 to reflect the Department's adjusted timetable for this project. Total funding is as recommended.**

**Barracks Renovations.** The Department requested \$5.6 million from Rhode Island Capital Plan funds for continued renovations to State Police Barracks. The requested total project costs are consistent with the approved plan but the request reflects an adjusted timetable for completion. In addition to pre-FY 2013 expenditures of \$2.1 million, the request includes \$1.8 million for the current year, \$1.1 million for FY 2014, and \$0.5 million for FY 2015.

*The Governor recommended total project costs as requested, including \$1.5 million for the current year, \$1.4 million for FY 2014 and \$0.5 million for FY 2015. The shift of \$0.3 million from the current year to FY 2014 is reflective of project delays. He subsequently requested shifting \$719,098 from FY 2013 to FY 2014 and eliminating \$0.7 million of total project funding to reflect a change in Departmental priorities and the abandonment of two barracks.* **The Assembly retained total project funding of \$5.1 million, consistent with the original recommendation; however, shifted \$1.5 million from FY 2013 to FY 2015 and FY 2016 to reflect an altered project schedule.**

**State Police Microwave/IT Upgrade.** The Department requested total project costs of \$7.4 million from Rhode Island Capital Plan funds for the State Police Information Technology and Microwave Ring Upgrade. This request is \$0.4 million more than the approved plan for the replacement of two communications towers currently approved for upgrades only. The project will provide telecommunication and related communication equipment for the Headquarters facility, each of the State Police Barracks, and a number of state agencies. The request includes \$520,600 for the microwave upgrade in the current year and \$500,000 for FY 2014. Project delays extended the anticipated completion date from FY 2012 to FY 2014. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Parking Area Improvements.** The Department requested \$1.4 million from Rhode Island Capital Plan funds for the current year and the five-year period to complete parking area improvements at the

Headquarters complex, all operational patrol barracks locations, and the Training Academy. This request is \$0.5 million more than the approved plan due to the inclusion of repairs at the Headquarters. *The Governor recommended \$0.4 million less than requested, to reflect a decreased scope of work for Barracks parking areas. He included \$450,000 for the current year and \$225,000 for both FY 2014 and FY 2015, respectively. Funding was shifted from FY 2014 and FY 2015 to the current year to expedite improvements to the State Police Academy and to provide additional parking at the Headquarters. He subsequently requested an amendment, shifting \$437,000 from FY 2013 to FY 2014.* **The Assembly included total funding as recommended, but shifted \$225,000 to FY 2014 and \$212,000 to FY 2015 to reflect the Department's actual and anticipated expenditures.**

**New Headquarters.** Consistent with the approved plan, the Department requested total funding of \$30.7 million, including \$26.6 million from Rhode Island Capital Plan funds and \$4.3 million from general obligation bonds for the new headquarters project. Total funding for the project is consistent with the approved plan, but the anticipated completion date for this project has been delayed from FY 2012 to FY 2013. The Department requested \$198,362 for the current year to finish installation of a key matrix system on all internal doors and to weatherize windows on the north side of the building. *The Governor recommended funding essentially as requested, but decreased the project total to reflect pre-FY 2013 expenditures. He subsequently requested an amendment further decreasing total funding for this project by \$81,229.* **The Assembly included \$116,362, which is \$771 more than recommended for this project, to reflect the Department's actual and anticipated expenditures.**

**State Fire Training Academy.** Consistent with the approved capital plan, the Department requested \$9.2 million to build a State Fire Training Academy. Sources include \$6.4 million from general obligation bonds approved by the voters in November 2002 and \$2.8 million from Rhode Island Capital Plan funds. Phase I, completed in December 2011, was construction of the training portion of the Academy funded from bond proceeds. Phase II funding includes \$1.5 million for the current year and \$1.3 million for FY 2014 from Rhode Island Capital Plan funds to build a 7,500 square foot classroom and administrative building for the Academy.

*The Governor recommended total project costs of \$9.2 million from all sources, which is consistent with the enacted budget and \$25,000 more from Rhode Island Capital Plan funds than requested. He shifted \$0.8 million from the current year to FY 2014 to reflect project delays.* **The Assembly shifted \$0.7 million from FY 2013 and \$1.3 million from FY 2014 to FY 2015 to reflect the Department's revised timetable for this project. Total funding is as recommended.**



## Department of Environmental Management

Projects	Status	5 Year Total	Project Total
Fort Adams State Park	Revised	\$ 1,300,000	\$ 9,300,000
Narragansett Bay and Watershed Restoration	Revised	4,000,000	12,500,000
Galilee Piers	Revised	5,748,307	12,536,590
Newport Piers	Revised	500,000	5,927,890
Dams Repair	Revised	3,350,000	8,766,715
Fort Adams Sailing Improvements	Revised	6,083,558	7,958,704
State Recreation Facilities Improvements	Revised	13,740,000	39,242,594
State Open Space	Revised	2,500,000	14,000,000
Local Bikeways and Recreational Greenways	Revised	-	5,000,000
Local Recreation Development Grants	Ongoing	6,500,000	43,000,000
Historic and Passive Grants	Ongoing	1,000,000	6,000,000
Roger Williams Park	Ongoing	-	28,500,000
Fish and Wildlife Maintenance Facility	Ongoing	325,000	650,000
Natural Resources Offices/Visitor's Center	Ongoing	3,050,000	3,175,000
Local Open Space	Ongoing	2,500,000	23,900,000
Farmland Development Rights	Ongoing	4,500,000	18,500,000
Rocky Point Acquisition	Ongoing	2,500,000	12,500,000
Blackstone Valley Bike Path	Ongoing	946,000	1,500,000
World War II State Park Improvements	Ongoing	2,600,000	2,600,000
<b>Total</b>		<b>\$ 61,142,865</b>	<b>\$ 255,557,493</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 4,233,307	\$ 20,726,046
General Obligation Bonds		1,000,000	145,900,000
General Obligation Bonds - New		20,000,000	20,000,000
Other		-	400,000
Restricted Receipts		2,200,000	2,200,000
Rhode Island Capital Funds		33,709,558	66,331,447
<b>Total</b>		<b>\$ 61,142,865</b>	<b>\$ 255,557,493</b>

**Summary.** The Department requested \$46.8 million from all funds to be used in the five-year period for 20 projects totaling \$258.2 million, one of which is new. Funding consists of \$20.0 million from new general obligation bonds subsequently approved by the voters on the November 2012 ballot, \$3.7 million from authorized general obligation bonds, \$1.1 million from federal funds and \$22.0 million from Rhode Island Capital Plan funds.

*The Governor recommended \$55.4 million for the five-year period for 19 approved projects totaling \$251.2 million. The recommendation includes the new general obligation bonds approved in November 2012. The recommendation for the five-year period is \$8.8 million more than requested primarily to reflect revised construction schedules for several projects. **The Assembly added \$3.6 million for construction of a mid-park educational and recreational facility at Fort Adams State Park and \$0.9 million for improvements at Brenton Point State Park. The Assembly also made adjustments to certain project schedules, which are noted in the following paragraphs.***

**Fort Adams State Park.** The Department requested a total project cost of \$9.8 million from all funds to restore and repair historic structures at the Fort Adams State Park in Newport. The state operates the fort and is responsible for conducting programs, developing restoration plans and raising funds from public and private sources. Funding in the five-year period includes \$1.8 million from Rhode Island Capital Plan funds. The request is \$0.5 million more than the approved plan to reflect the addition of funding in FY 2017 and FY 2018 for continued renovations to the fort. The Department indicated that the Fort Adams Trust has identified over \$28 million in repairs needed to completely renovate the fort. *The Governor did not recommend the additional \$0.5 million requested for FY 2017 and FY 2018; he instead recommended funding consistent with the approved plan.* **The Assembly concurred.**

**Narragansett Bay and Watershed Restoration.** The Department requested a total of \$19.0 million from general obligation bonds to restore and protect water quality in Narragansett Bay. The project provides matching grants to state and local agencies, non-profit organizations and for-profit businesses to abate sources of pollution causing beach closures, fish kills and other problems identified in water quality restoration plans. The request includes \$4.0 million of new general obligation bonds subsequently approved by the voters on the November 2012 ballot. The request is \$6.5 million more than the approved plan primarily to reflect the use of funds from previously approved general obligation bonds in FY 2013 and FY 2014. While the Department noted that these bond funds have been carried over from previous years, the Department did not request the funds last year and they are excluded from the approved plan.

*The Governor's total funding of \$12.5 million is consistent with the approved plan, but shifted \$6.5 million from general obligation bonds from FY 2012 to FY 2013. The recommendation includes the new general obligation bonds approved in November 2012.* **The Assembly concurred.**

**Galilee Piers.** The Department requested total project costs of \$10.5 million from Rhode Island Capital Plan funds to use through FY 2018 for facilities and infrastructure improvements at the Port of Galilee. The purpose of this project is to repair and improve the Galilee State Pier by replacing deteriorated wooden bulkheads, paving the parking lot on the pier and providing treatment for stormwater runoff. The Department has completed repairs to the north bulkhead, which supports the charter fishing boats docks. Design of the south bulkhead has been completed and construction will begin in FY 2013. The request is \$1.2 million more than the approved plan to reflect additional construction costs for replacing the electrical supply system throughout the port.

*The Governor recommended \$2.0 million more than requested primarily to reflect the inclusion of federal funds in FY 2014 and FY 2015. He shifted \$1.0 million from FY 2013 to FY 2014 to reflect the revised project schedule. The Governor subsequently requested amendments to shift \$1.1 million of Rhode Island Capital Plan funds from FY 2013 to FY 2014 and \$0.2 million of federal funds from FY 2015 to FY 2014 to reflect further revisions to the project schedule.* **The Assembly concurred.**

**Newport Piers.** The Department requested a total project cost of \$6.2 million from Rhode Island Capital Plan funds for ongoing infrastructure improvements to the Newport Piers, including electrical upgrades, construction of an additional floating dock and upgrades to the office building. The request is \$0.5 million more than the approved plan primarily to reflect constructing bathroom facilities and a building for lobster sales in FY 2014 and FY 2015. *The Governor recommended \$250,000 less than requested to reflect actual pre-FY 2013 expenditures.* **The Assembly concurred.**

**Dams Repair.** The Department requested total project costs of \$9.1 million from Rhode Island Capital Plan funds to repair state owned dams at various recreational management areas. This project

includes design and construction at the John L. Curran Dams in Cranston, Breakheart Hill Dam in the Arcadia Management Area and Burlingame Reservoir Dam in Glocester. The request is \$0.8 million more than the approved plan primarily to reflect revised construction cost estimates for the John L. Curran Dams in FY 2014 and adding the Burlingame Reservoir Dam in FY 2018. *The Governor recommended \$0.4 million less than requested primarily to reflect the actual pre-FY 2013 expenditures. He also shifted \$0.6 million of unspent funds to FY 2014.* **The Assembly concurred.**

**Fort Adams Sailing Improvements.** The Department requested \$5.1 million from Rhode Island Capital Plan funds for improvements to Fort Adams State Park which will allow the state to host large-scale sailing events. The request is \$0.8 million more than the approved plan to reflect the addition of expenditures in FY 2014 to dredge the area around the docks. The Department indicated that the state is negotiating with three or four major sailing events that would be hosted at Fort Adams, and holding these events would require the Department to dredge the area around the docks to increase the depth to 19 feet.

*The Governor recommended total funding of \$4.4 million, consistent with the approved plan. He excluded the additional \$0.8 million the Department requested for dredging and shifted \$0.3 million of unspent funds from FY 2012 to FY 2013. The Governor subsequently requested an amendment to shift \$1.0 million from FY 2013 to FY 2014 to reflect the revised project schedule.* **The Assembly added \$3.6 million in FY 2014 for the construction of a mid-park educational and recreational facility. This includes \$1.4 million from Rhode Island Capital Plan funds and \$2.2 million from restricted receipts to reflect anticipated private donations for the mid-park facility.**

**State Recreation Facilities Improvements.** The Department requested a total project cost of \$39.3 million for improvements at Rhode Island parks and management areas. The request includes funding for repairs and reconstruction of facilities at Lincoln Woods State Park, restroom improvements and replacements, design and construction of additional camping facilities at Fishermen's Campground and other facilities. The request is \$2.5 million more than the approved plan primarily for maintenance and renovations to Rocky Point Park beginning in FY 2017. *The Governor recommended \$1.0 million less than requested primarily to reflect the actual pre-FY 2013 expenditures. He also shifted unspent funds to FY 2016 to reflect revised project schedules.* **The Assembly added \$0.1 million in FY 2013 and \$0.8 million in FY 2014 to be used for improvements at Brenton Point State Park.**

**State Open Space.** The Department requested a total project cost of \$14.0 million for the purchase of land, development rights and conservation easements statewide. Land acquired under this program includes forested land, open fields, river access sites, shoreline access areas, farmlands, trail connections and water supply protection areas. The request includes \$2.5 million of new general obligation bonds subsequently approved by the voters on the November 2012 ballot. The request is \$1.5 million more than the approved plan primarily to reflect the Department's request to use funds from previously approved general obligation bonds in FY 2013. Although these bond funds have been carried over from previous years, the Department did not request the funds last year; therefore, they were excluded from the approved plan. *The Governor recommended \$36,042 less than requested and shifted \$0.6 million from FY 2012 to FY 2013. The recommendation includes the new general obligation bonds approved in November 2012.* **The Assembly concurred.**

**Local Bikeways and Recreational Greenways.** The Department requested a total project cost of \$5.5 million from authorized general obligation bond proceeds for the design and construction of local bikeways and recreational greenways, including \$0.5 million for FY 2013 to complete the project. Bond proceeds from the 1998 Rhode Island Greenways Bond program provide the 20.0 percent matching share for Department of Transportation funds. The Department of Transportation's federal

funding is being used to complete the design and construction of the Blackstone River Bikeway and the Washington Secondary Bikeway. The request is \$0.5 million more than the approved plan primarily to reflect the use of funds from previously approved general obligation bonds in FY 2013. Although these bond funds have been carried over from previous years, the Department did not request the funds last year; therefore, they were excluded from the approved plan. *The Governor recommended \$500,000 less than requested to reflect the actual pre-FY 2013 expenditures.* **The Assembly concurred.**

**Local Recreation Development Grants.** Consistent with the approved plan, the Department requested a total project cost of \$43.3 million to provide grants to municipalities for recreation development and acquisition projects. Since 1988, the Department has awarded approximately \$35 million in grants to municipalities for recreation development and acquisition projects, and the Department is responsible for the administration of these grants. The request includes \$5.5 million of new general obligation bonds subsequently approved by the voters on the November 2012 ballot. *The Governor recommended \$0.3 million less primarily to reflect the actual pre-FY 2013 expenditures and shifted \$1.0 million from FY 2013 to FY 2014. The recommendation includes the new general obligation bonds approved in November 2012.* **The Assembly concurred.**

**Historic and Passive Grants.** Consistent with the approved plan, the Department requested a total project cost of \$6.0 million to provide grants to municipalities for renovation and development of historic and passive recreation areas. In August 2008, the Department awarded 14 grants totaling \$1.2 million and is in the process of administering these grants. The request includes \$1.0 million of new general obligation bonds subsequently approved by the voters on the November 2012 ballot. *The Governor recommended funding consistent with the approved plan. The recommendation includes the new general obligation bonds approved in November 2012.* **The Assembly concurred.**

**Roger Williams Park.** Consistent with the approved plan, the Department requested \$500,000 from general obligation bonds to be used in FY 2013 to complete improvements to Roger Williams Park, for a total project cost of \$28.2 million. The bond proceeds are being used to construct botanical gardens as well as other improvements to the park zoo. *The Governor recommended \$800,000 for FY 2013, consistent with the approved plan, to reflect that the Department inadvertently requested \$300,000 less than intended.* **The Assembly concurred.**

**Fish and Wildlife Maintenance Facility.** Consistent with the approved plan, the Department requested a total of \$650,000, including \$325,000 from Rhode Island Capital Plan funds to provide state matching funds for the construction of a maintenance facility for the Division of Fish and Wildlife at the Great Swamp Management Area. The Department indicated that the current facility is over 30 years old and is too small to store equipment. *The Governor recommended total funding as requested, but delayed the project start date by one year. The approved plan and the Department's request included funding for this project in FY 2014 and FY 2015, however the Governor delayed the start date to FY 2015.* **The Assembly concurred.**

**Natural Resources Offices/Visitor's Center.** Consistent with the approved plan, the Department requested a total project cost of \$3.3 million from Rhode Island Capital Plan funds for the construction of a new office facility for the natural resources division in the Arcadia Management Area at Browning Mill Pond. The facility would include office and lab space for mosquito abatement tests and the state veterinarian, as well as a visitor's center. Subsequent to its budget submission, the Department proposed to expedite the project timeline by one year. *The Governor recommended total funding as requested, but accelerated the project by one year, to FY 2013 through FY 2015. The Governor*

*subsequently requested an amendment to reduce funding by \$125,000 to better reflect FY 2013 spending and a revised estimate of total project expenditures. **The Assembly concurred.***

**Local Open Space.** Consistent with the approved plan, the Department requested \$23.9 million from general obligation bonds for the Local Land Acquisition Grants for Open Space program. The purpose of the program is to provide grants to municipalities, local land trusts and non-profit organizations to preserve open space in Rhode Island. Since 1988, the program has awarded over 130 grants preserving over 6,200 acres of land. In 2008, the Department awarded 14 grants to municipalities and land trusts. The Department conducted a grant round in the summer of 2011 and received over 30 grant applications, 14 of which were approved. The request includes \$2.5 million of new general obligation bonds subsequently approved by the voters on the November 2012 ballot. *The Governor recommended funding as requested. **The Assembly concurred.***

**Farmland Development Rights.** Consistent with the approved plan, the Department requested total project costs of \$20.0 million from general obligation bonds for farmland acquisition, including \$4.5 million from new general obligation bond proceeds subsequently approved by the voters on the November 2012 ballot. The Agricultural Land Preservation Commission accepts and scores farmland applications for these funds. The goal is to eliminate economic pressures on farmers to sell land for residential or commercial development. *The Governor recommended \$1.5 million less than requested to reflect actual pre-FY 2013 expenditures. The recommendation includes the new general obligation bonds approved in November 2012. **The Assembly concurred.***

**Rocky Point Acquisition.** Consistent with the approved plan, the Department requested \$10.0 million from general obligation bond proceeds to purchase the approximately 85 acres of property formerly known as Rocky Point Park. In November 2010, the voters approved a referendum to authorize the state's purchase of the property and the \$10.0 million in general obligation bonds. The Department requested funding for FY 2013, and later it would develop the property for use as a state park. It should be noted that the funds included on the ballot can only be used for the purchase of that property and if the sale of the property were not completed, the authority for the Department to use the funds would be extinguished. In September 2012, the Small Business Administration accepted the state's offer to purchase the Rocky Point property for \$9.7 million. However, the final purchase and sales agreement must be reviewed and approved by the federal district court.

*In addition to the general obligation bonds, the Governor recommended \$2.5 million from Rhode Island Capital Plan funds for maintenance and renovations at Rocky Point Park in FY 2014. Subsequent to the Governor's budget submission, the state completed the purchase of Rocky Point after the federal district court and state properties committee approved the final purchase and sales agreement. **The Assembly concurred.***

**Blackstone Valley Bike Path.** Consistent with the approved plan, the Department requested \$1.5 million from Rhode Island Capital Plan funds for construction of the Blackstone Valley Bike Path. The Blackstone path is scheduled to be a 17.1 mile by 12-foot wide scenic bike path that will connect to the East Bay Bike Path, eventually making a continuous 31.9 mile route for alternative transportation. The Department spent \$0.4 million prior to FY 2013 and requested \$0.5 million for FY 2013 and \$0.6 million for FY 2014. *The Governor recommended total funding as requested, but shifted \$123,425 from FY 2012 to FY 2013 to reflect the revised construction schedule. **The Assembly shifted \$0.4 million from FY 2013 to FY 2015 to reflect further revisions to the project schedule.***

**World War II State Park Improvements.** Consistent with the approved plan, the Department requested \$2.6 million from Rhode Island Capital Plan funds to revitalize the currently closed World

War II State Park in Woonsocket, eliminating the currently empty pond and replacing it with a splash park. The project also includes water, sewer, stormwater, and electrical upgrades, as well as replacement of a pedestrian bridge and a new playground. *The Governor recommended total funding as requested, but shifted the funds from FY 2013 to FY 2014. The Budget Office noted that negotiations are ongoing between the Department, the Governor's Office and the City of Woonsocket regarding operation of the facility.* **The Assembly concurred.**

**Telephone Replacement.** The Department requested a total of \$250,000 from Rhode Island Capital Plan funds in FY 2014 for a new project to upgrade its telephone system. The Department indicated that its telephones and voicemail systems are outdated and in need of replacement, and the Budget Office noted that the telecommunications manager from the Division of Information Technology has evaluated the system and recommended the upgrade. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

## Coastal Resources Management Council

Projects	Status	5 Year Total	Project Total
Providence River Dredging Project	New	\$ -	\$ 222,281
South Coast Restoration Project	Revised	1,000,000	9,220,648
Fish Passage Restoration	Ongoing	405,674	3,511,193
Coastal & Estuary Habitat Restoration Program	Ongoing	1,250,000	4,049,309
Secure Facility Area	Ongoing	-	50,000
<b>Total</b>		<b>\$ 2,655,674</b>	<b>\$ 17,053,431</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 405,674	\$ 7,823,164
General Revenues		-	263,095
Other (Local Funds)		-	407,264
Restricted Receipts		1,250,000	6,026,309
Rhode Island Capital Funds		1,000,000	2,533,599
<b>Total</b>		<b>\$ 2,655,674</b>	<b>\$ 17,053,431</b>

**Summary.** The Council requested a total of \$23.2 million, of which \$9.2 million would be used in the five-year period. Funding includes \$1.5 million from federal funds, \$6.4 million from Rhode Island Capital Plan funds and \$1.3 million from the Oil Spill Prevention, Administration and Response Fund, which are restricted receipts. The request is for six projects, one of which is new. *The Governor recommended a total of \$17.1 million for five projects, including \$3.0 million in the five-year period.* **The Assembly concurred and adjusted the Fish Passage Restoration project schedule consistent with the Governor's requested amendment, which shifted \$0.4 million from FY 2014 to FY 2013.**

**Providence River Dredging Project.** The Council requested \$0.2 million from Rhode Island Capital Plan funds for FY 2014 for the outstanding state share of maintenance costs related to the Providence River Dredging Project. According to the Council, the Providence Harbor Project agreement the state entered into with the Army Corps of Engineers requires that in addition to the cost shared construction amount, the state is also responsible for 10 percent of the construction cost for maintenance. *The Governor recommended total funding as requested, but shifted the \$222,281 from FY 2014 to FY 2013.* **The Assembly concurred.**

**South Coast Restoration Project.** The Council requested a total cost of \$14.3 million to complete habitat restorations along the southern coast of Rhode Island and to maintain breachways. The current project reflects that the approved plan combines what were previously two separate projects: South Coast Habitat Restoration and South Coast Breachways Maintenance. The request is \$5.6 million more than the approved plan primarily from the addition of \$1.0 million each year in FY 2014 through the out-years in the event a storm introduces more sediment into the breachways. The request also includes an additional \$1.0 million in FY 2015 for dredging the ponds. The Council noted that the dredging needs occur at least every two to three years regardless of whether there is a storm event such as a hurricane or winter storm. *The Governor recommended \$5.0 million less than requested. He added \$1.0 million in the pre-FY 2013 period to reflect actual expenditures and removed the \$6.0 million the Council requested for maintenance dredging work.* **The Assembly concurred.**

**Fish Passage Restoration.** The Council requested federal funds of \$0.8 million in FY 2014 to restore access to spawning habitat in the Ten Mile and Pawcatuck River watersheds for numerous migratory species. The Council indicated that the project will improve the habitat and biodiversity in Narragansett and Little Narragansett Bays, and enhance the state's fresh and salt water fisheries. The request is consistent with the approved plan. *The Governor recommended total funding as requested, but shifted \$50,000 from FY 2014 to FY 2013 to reflect the revised construction schedule. He subsequently requested an amendment to shift \$0.4 million from FY 2014 to FY 2013 to reflect further revisions to the project schedule.* **The Assembly concurred.**

**Coastal and Estuary Habitat Restoration Program and Trust Fund.** The Council's request includes total project expenditures of \$4.3 million from the Oil Spill Prevention, Administration and Response Fund for the Coastal and Estuary Habitat Restoration Program and Trust Fund. The 2004 Assembly passed Article 44 of 2004-H 8219, Substitute A, as amended, and mandated the annual amount of \$250,000 from the Oil Spill Prevention, Administration and Response Fund to the program and trust fund. The request is consistent with the approved plan. *The Governor recommended \$250,000 less than requested for this project. He did not include funding for the post-FY 2018 period.* **The Assembly concurred.**

**Secure Facility Area.** The Council requested \$50,000 from Rhode Island Capital Plan funds for FY 2013 to construct a secure outdoor area that would house one of the Council's boats. The previous secure storage area has been reassigned to accommodate the incorporation of the Department of Children, Youth and Families into the building and to install a generator system, and the Council's vessel which had been stored there is currently being housed at the residence of a staff member. The request is consistent with the approved plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Ninigret Pond Breachway Rock Removal.** Consistent with the approved plan, the Council requested \$0.9 million to be used in FY 2014 for a project to remove large rocks and groups of smaller rocks that are obstructing navigation in Ninigret Pond. The request includes \$720,000 from federal funds and \$180,000 from Rhode Island Capital Plan funds, which would be used as the 20.0 percent state match for the federal funds. *The Governor's Budget excludes the funds and notes that they are included with funding for repairs of damage caused by Hurricane Sandy in the Rhode Island Emergency Management Agency's budget as the need has extended beyond rock removal since the storm. Although the Governor recommended the funding under Hurricane Sandy repairs, the Emergency Management Agency has not yet provided a detailed list of included projects.* **The Assembly concurred.**

## Rhode Island Clean Water Finance Agency

Projects	Status	5 Year Total	Project Total
Drinking Water Fund	Revised	\$ 148,000,000	\$ 506,670,004
Clean Water Fund	Revised	247,250,000	1,407,872,044
Water Pollution Fund	Ongoing	850,000	64,830,767
<b>Total</b>		<b>\$ 396,100,000</b>	<b>\$1,979,372,815</b>
<b>Sources of Funds</b>			
Agency Revenue Bonds		\$ 191,780,200	\$ 1,158,826,483
Federal Funds		95,250,000	433,473,154
Federal Stimulus		-	22,907,300
General Obligation Bonds		2,784,480	111,127,047
General Obligation Bonds - New		16,318,320	20,000,000
Interest Earnings		250,000	2,685,258
Revolved Capital		89,717,000	230,353,573
<b>Total</b>		<b>\$ 396,100,000</b>	<b>\$ 1,979,372,815</b>

**Summary.** The Agency requested \$1,982.0 million, of which \$395.9 million would be used in the five-year period for three projects. Funding includes \$20.0 million from new general obligation bonds subsequently approved by the voters on the November 2012 ballot, of which \$16.3 million will be used in the five-year period. The Agency also requested \$2.8 million from authorized general obligation bonds, \$95.3 million from federal funds, \$89.7 million from revolved capital and \$191.8 million from agency revenue bonds in the five-year period.

*The Governor recommended a total project cost of \$2.7 million less than requested, with \$250,000 more for the five-year period. The recommendation includes the new general obligation bonds approved in November 2012. The recommendation also includes minor adjustments to the projects to reflect actual expenditures in the pre-FY 2013 period and interest earnings. **The Assembly concurred.***

**Drinking Water Fund.** The Agency requested a total project cost of \$509.7 million from all funds, of which \$148.0 million would be used in the five-year period for the Drinking Water State Revolving Fund. The fund operates and is funded by Section 1452 of the Federal Safe Drinking Water Act Amendments of 1996. Federal Capitalization Grants are awarded to the state, and the state contributes a 20.0 percent match. Loans are available to eligible water suppliers for planning, design and construction of safe water supply, treatment and transmission infrastructure projects. The request is \$73.8 million more than the approved plan due to an anticipated increase in revenue bond sales, which reflects a higher than anticipated demand for the loans, as well as an anticipated increase in federal funds.

*The Governor recommended \$3.0 million less than requested to reflect actual expenditures in the pre-FY 2013 period. **The Assembly concurred.***

**Clean Water Fund.** The Agency requested \$247.3 million to be used in the five-year period for a total project cost of \$1,411.6 million for the Clean Water State Revolving Fund, a subsidized loan

program for local governmental units to finance wastewater infrastructure projects. The loans are repaid over 20 years and have a subsidy of at least one-third off the market rate. Funding in the five-year period includes \$10.1 million from authorized general obligation bond proceeds, \$47.3 million from federal funds, \$74.4 million from revolved capital and \$115.5 million from agency revenue bonds. The request is \$64.2 million more than the approved plan due to an anticipated increase in revenue bond sales, which reflects a higher than anticipated demand for the loans, as well as an increase in the Agency's revolved capital account.

*The Governor recommended \$3.7 million less than requested to reflect actual expenditures in the pre-FY 2013 period. **The Assembly concurred.***

**Water Pollution Fund.** Consistent with the approved plan, the Agency requested a total project cost of \$60.8 million, of which \$0.6 million from the Agency's revolved capital account would be spent in the five-year period from the Water Pollution Control State Revolving Fund. This fund receives capital funding from general obligation bond proceeds and transfers the appropriate state match to the Drinking Water State Revolving Fund. The request includes \$20.0 million from new general obligation bond proceeds from a referendum subsequently approved by the voters on the November 2012 ballot.

*The Governor recommended \$4.0 million more than requested to reflect actual expenditures in the pre-FY 2013 period and interest earnings. The recommendation includes the new general obligation bonds approved in November 2012. **The Assembly concurred.***

**Municipal Road and Bridge Revolving Fund.** The Assembly established a revolving fund to be administered by the Clean Water Finance Agency for municipalities to borrow from to complete large scale road and bridge projects at a lower borrowing cost than could be achieved by the municipalities on the open market. The Municipal Road and Bridge Revolving Fund is to be initially capitalized using \$7.0 million of bond premium proceeds transferred from the Rhode Island Capital Plan fund with the intent of dedicating future premiums and other resources that may be appropriated to the fund; it will operate similarly to the Clean Water and Drinking Water state revolving funds. Annual disbursements from the fund cannot exceed \$20.0 million, and no more than 50 percent of available funding in any calendar year shall be dedicated to any one city or town, unless there are no remaining eligible projects.

## Narragansett Bay Commission

Projects	Status	5 Year Total	Project Total
Interceptor Maintenance & Construction	Revised	\$ 26,100,000	\$ 33,514,000
Phase II Combined Sewer Overflow Facilities	Revised	140,317,000	254,540,000
Wastewater Treatment Facility Improvements	Revised	44,906,000	167,418,000
Sewer System Improvements	Revised	1,582,000	7,191,000
Phase III Combined Sewer Overflow Facilities	Ongoing	76,737,000	602,962,000
<b>Total</b>		<b>\$ 289,642,000</b>	<b>\$ 1,065,625,000</b>
<b>Sources of Funds</b>			
Other (Revenue Bonds, State Revolving Fund)		\$ 289,642,000	\$ 1,065,625,000
<b>Total</b>		<b>\$ 289,642,000</b>	<b>\$ 1,065,625,000</b>

**Summary.** The Narragansett Bay Commission indicated that the Clean Water Finance Agency, which issues loans to the Commission and individual communities for wastewater infrastructure improvements, does not have sufficient capacity to finance the Commission's capital plan. The FY 2014 through FY 2018 request includes \$289.6 million from other funds, which the Commission indicated consists of State Revolving funds, revenue bonds and other capital funds. The exact amounts from the aforementioned are not yet known. The request includes five projects.

*The Governor recommended total funding as requested. He included \$51,000 more for the five-year period to reflect a revised construction schedule for Phase II of the Combined Sewer Overflow facilities project. The Assembly concurred.*

**Interceptor Maintenance & Construction.** The Commission requested \$33.5 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds to be used into the post-FY 2018 period for ten projects, one of which is new. A number of these projects involve television inspection to indicate if there is any damage to interceptors that might need to be repaired or replaced, as well as the removal of grit from the interceptors to increase sewer capacity. The Commission plans to inspect and clean all of its interceptors in a ten-year period. The request is \$1.0 million more than the approved plan primarily due to increased construction cost estimates and the need for additional interceptor improvements. *The Governor recommended funding as requested. The Assembly concurred.*

**Phase II Combined Sewer Overflow Facilities.** The Commission requested a total of \$254.5 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds for Phase II of the Combined Sewer Overflow facilities project, which consists of 15 individual projects, one of which is new. This is the second of three phases for the Narragansett Bay Commission's Combined Sewer Overflow project. Phase II involves the construction of a 19,150 foot tunnel along the Woonasquatucket River and an 8,000 foot tunnel along the Seekonk River. These two interceptors will convey flow from combined sewer overflows to the Main Spine Tunnel constructed in Phase I. The request is \$108.3 million less than the approved plan, which reflects \$3.2 million in new projects and an overall reduction of \$111.5 million in existing projects primarily because the actual construction bids were lower than the estimated bids for the Woonasquatucket, Seekonk and North Main Street interceptors. *The Governor recommended total funding as requested, but shifted \$3.1*

million from the pre-FY 2013 period to FY 2013 and FY 2014 to reflect a revised construction schedule. **The Assembly concurred.**

**Wastewater Treatment Facility Improvements.** The Commission requested total project costs of \$167.4 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds, of which \$44.9 million will be used from FY 2014 through FY 2018 for various wastewater treatment improvement projects, including effluent discharge removal of nitrogen and ammonia at the Field's Point facility. The upgrades are required to comply with discharge limits mandated by the Department of Environmental Management. The request includes a total of nine projects, two of which are new. Also, the request is \$7.3 million less than the approved plan primarily because the actual construction bid was lower than the estimated bid for the Bucklin Point nitrogen removal facilities project. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Sewer System Improvements.** The Commission requested a total project cost of \$7.2 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds, of which \$1.6 million will be used from FY 2014 through FY 2018 to improve its sewer system by connecting the wastewater treatment plants in the entire service area. The request is for three ongoing projects. The request is \$1.3 million more than the approved plan primarily because the actual construction bid was higher than the estimated bid for the floatables control facilities project. The Commission indicated that the original costs were based on the engineer's design estimates, while the revised costs were a result of the actual bids received, the cost of constructing a particular project and the current bidding environment. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Phase III Combined Sewer Overflow Facilities.** Consistent with the approved plan, the Commission requested total project costs of \$603.0 million from the state Clean Water Finance Agency's revolving funds and the Commission's revenue bonds to be used through the post-FY 2018 period for design and construction of Phase III, the final phase for the Narragansett Bay Commission's Combined Sewer Overflow project. Phase III involves the construction of a 13,000 foot tunnel in Pawtucket which will convey flows from combined sewer overflows to the Main Spine Tunnel constructed in Phase I. *The Governor recommended funding as requested.* **The Assembly concurred.**

## Rhode Island Resource Recovery Corporation

Projects	Status	5 Year Total	Project Total
MRF Fire Alarm System and Wiring	New	\$ 250,000	\$ 250,000
NBC Sewer Line Connection	New	-	3,835,893
RIPDES Treatment System	New	-	1,202,593
Landfill Phase VI Design & Construction	Revised	28,617,012	38,405,277
Leachate Pretreatment Facility	Revised	225,000	757,464
Materials Recycling Facility Enhancement	Ongoing	-	16,569,496
<b>Total</b>		<b>\$ 29,092,012</b>	<b>\$ 61,020,723</b>
<b>Sources of Funds</b>			
Resource Recovery Corporation General Revenue		\$ 29,092,012	\$ 61,020,723
<b>Total</b>		<b>\$ 29,092,012</b>	<b>\$ 61,020,723</b>

**Summary.** The Corporation requested \$61.0 million from its general revenues, of which \$29.1 million will be used in the FY 2014 through FY 2018 period. The request is for six projects, three of which are new. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Materials Recycling Facility Fire Alarm System and Wiring.** The Corporation requested \$0.3 million from its general revenues for a new project to replace the fire alarm panel which provides fire alarm protection for the entire Materials Recycling Facility and both of the facility's scale houses. The replacement system will incorporate new technology with an addressable system. Also, the alarm system will be rewired to provide a supervisory safety alert to signal problems in the wiring circuits and the devices in the loop. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Narragansett Bay Commission Sewer Line Connection.** The Corporation requested \$3.8 million from its general revenues for a new project to install a sewer line that will connect to the Narragansett Bay Commission's sewer collection system at Atwood Avenue, make modifications to an existing pump station and install a new package pump station. This project will allow the Corporation to more effectively manage the additional leachate generated from the Phase VI expansion of the landfill, resulting in substantial cost savings. *The Governor recommended funding as requested.* **The Assembly concurred.**

**RIPDES Treatment System.** The Corporation requested \$1.2 million from its general revenues for a new project to construct a groundwater treatment system, which will include an iron settling basin and recirculating sand filter to remove iron and ammonia from the Phase V underdrain. The Corporation indicated that this project is required as part of its Rhode Island Pollutant Discharge Elimination System general stormwater discharge permit and Consent Agreement RIA-400, which requires the Corporation to comply with the Department of Environmental Management's surface water standards since the Corporation is discharging groundwater to surface water. The Corporation noted that the project is currently in the planning and design phase. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Landfill Phase VI Design & Construction.** The Corporation requested a total project cost of \$38.4 million from its general revenues, of which \$28.6 million will be used in the five-year period to design

and construct another series of landfill cells, which must be in service before Phase V reaches its design capacity. The Corporation noted that Phase VI will be ready by 2014, which is when Phase V is projected to reach full capacity. The request is \$5.1 million less than the approved plan primarily in the pre-FY 2013 period to reflect actual expenditures. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Leachate Pretreatment Facility.** The Corporation requested a total project cost of \$0.8 million to design a new leachate pretreatment facility that is capable of treating leachate in a manner consistent with new nitrogen standards established by the Narragansett Bay Commission and the Department of Environmental Management. The current facility is sized to process 400,000 gallons per day of raw landfill leachate, and the Corporation is requesting a new facility in order to meet discharge limits as well as to increase the facility's capacity to 650,000 gallons per day. The Corporation noted that it is in the design phase and responses to the Request for Proposals for the construction of the facility were due in November 2012, with construction expected to begin in FY 2013. The request is \$40.4 million less than the approved plan due to the removal of the construction expenditures from the project costs. The Corporation indicated that it removed these construction costs pursuant to the Capital Budget Instructions directive that agencies should not attempt to estimate the cost of new construction. The Corporation further indicated that next year's capital request will include the construction expenditures, which it will fund by issuing revenue bonds for this purpose, as authorized by legislation contained in 2012-H 7323 Substitute A, as amended.

*The Governor recommended funding as requested.* **The Assembly concurred.**

**Materials Recycling Facility Enhancement.** Consistent with the approved plan, the Corporation requested a total project cost of \$16.6 million from its general revenues for capital equipment and machinery component replacements at the Materials Recycling Facility. Funding was used to retrofit the facility in order to recycle a single-stream of waste, which allows the Corporation to recycle all plastics labeled one through seven at the facility. The previous facility was only able to recycle plastics labeled one and two. The request includes only the costs that relate to the retrofit to a single-stream recycling system. The Corporation indicated that the Material Recycling Facility was completed and became operational in May 2012. The Corporation further indicated that the project was included in this year's capital request in order to report total actual expenditures attributable to the project, and it will be removed from next year's request.

*The Governor recommended funding as requested.* **The Assembly concurred.**

## Department of Transportation

Projects	Status	5 Year Total	Project Total
Highway Improvement Program	Revised	\$ 1,507,496,323	\$ 2,496,000,881
Cooperative Maintenance Facility	Revised	5,000,000	5,000,000
Capital Equipment Replacement	Revised	5,000,000	27,360,728
Portsmouth Facility	Revised	4,300,000	5,500,000
Train Station Repairs	Revised	900,000	900,000
Salt Storage Facilities	Revised	7,500,000	17,248,101
Maintenance Facilities Asset Protection	Ongoing	2,676,210	4,446,394
I-195 Relocation	Ongoing	34,338,341	425,359,180
Cherry Hill/Lincoln Facility	Ongoing	-	4,069,050
Maintenance Facilities Fire Alarms	Ongoing	-	200,000
East Providence Facility Relocation	Ongoing	-	5,111,449
Sakonnet River Bridge	Ongoing	-	142,516,518
Commuter Rail	Ongoing	73,845,559	252,475,752
Quonset Access Road-Route 403	Ongoing	-	116,474,224
Freight Rail Improvement Plan	Ongoing	-	147,563,643
Washington Bridge Repairs	Ongoing	8,389,773	68,496,687
Pawtucket-Central Falls Train Station Study	Ongoing	2,400,000	3,373,827
<b>Total</b>		<b>\$ 1,651,846,206</b>	<b>\$ 3,722,096,434</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 1,337,783,818	\$ 2,101,382,695
Federal GARVEE Bonds		16,211,904	622,778,114
Federal Stimulus Funds		23,484,621	170,813,273
General Obligation Bonds		21,781,263	231,514,148
General Revenue		-	3,000,000
Land Sales		30,554,946	56,106,435
Other		3,672,000	161,736,010
Rhode Island Capital Funds		137,848,310	171,010,142
State Motor Fuel Tax Revenue Bonds		4,461,264	127,707,537
Vehicle Registration Fees		76,048,080	76,048,080
<b>Total</b>		<b>\$ 1,651,846,206</b>	<b>\$ 3,722,096,434</b>

**Summary.** The Department requested \$1,952.8 million from FY 2014 through FY 2018 for projects totaling \$4,017.5 million. Total funding would require \$229.5 million from authorized bond proceeds, \$2,272.2 million from federal sources, \$622.8 million from federal Grant Anticipation Revenue Vehicle (GARVEE) Bond proceeds, \$54.2 million from the sale of Department owned land and \$187.4 million from Rhode Island Capital Plan funds. The request also includes \$275.0 million in expenditures for which the funding source was not identified.

*The Governor recommended \$1,658.2 million for projects totaling \$3,728.9 million. Consistent with other transportation finance reform initiatives, the request does not include any new debt obligations for the Department partially due to an increase above the approved plan of \$41.7 million from Rhode Island Capital Plan funds. The Assembly provided \$1,651.8 million in the five year period for*

**projects totaling \$3,722.1 million. The Assembly concurred essentially with the recommendation, but made minor adjustments to reflect revised project schedules.**

**Highway Improvement Plan.** The Department of Transportation requested \$1,503.1 million from all funds for highway improvement program expenditures to be used in the five-year period, for total project costs of \$2,491.4 million. For the first time in many years the request excludes any new bonding authority for state matching funds, which are historically presented to the voters on the November ballot. This reflects an initiative by the 2011 Assembly to replace borrowing with pay-go sources, which would be accomplished by eliminating new general obligation bonds for the state match, and replacing them with transportation related fees and Rhode Island Capital Plan funds. The request is \$261.6 million more than the approved plan, primarily to reflect revised award projections from the Federal Highway Administration. *The Governor recommended funding essentially as requested, but made minor adjustments to pre-FY 2013 expenditures.* **The Assembly reduced motor vehicle surcharge receipts consistent with Article 6 of 2013-H 5127, Substitute A, as amended, which used updated registration data which ultimately decreased the amount of available proceeds for the overall plan. The Assembly offset this reduction with additional Rhode Island Capital Plan funds.**

**Cooperative Maintenance Facility.** The Department requested total project costs of \$5.0 million from Rhode Island Capital Plan funds to convert the Rhode Island Public Transit Authority's former maintenance facility on Elmwood Avenue to a cooperative maintenance facility for the Department's heavy fleet and the Authority's buses. The Elmwood facility is currently obsolete, as maintenance has been shifted to the Authority's new headquarters. Funding would be used for architectural and engineering services to design the facility, as well as construction costs for the conversion. Total costs are consistent with the approved plan; however, the request advances the start of the project from FY 2017 to FY 2014. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Capital Equipment Replacement.** The Department requested total project costs of \$46.9 million from all funds to replace capital equipment. This project involves the purchase of heavy trucks, sweepers, loaders, backhoes and tractors to replace the Maintenance Division's existing fleet. The request includes \$21.4 million of pre-FY 2013 expenditures incorrectly identified as Rhode Island Capital Plan funds. The funding was provided from the State Revolving fund. Funding programmed in the five year period includes \$25.5 million from Rhode Island Capital Plan funds, with \$5.5 million for FY 2014 and \$5.0 million each year of the five-year period. Although total costs are consistent with the approved plan, the request replaces State Revolving funds with Rhode Island Capital Plan funds for the five-year period. *The Governor's recommendation includes the use of Rhode Island Capital Plan funds, including \$5.0 million for FY 2014 through FY 2018, including \$1.0 million annually in FY 2013 and each year of the five-year period.* **The Assembly concurred.**

**Portsmouth Facility.** Consistent with the approved plan, the Department requested total project costs of \$5.5 million from authorized general obligation bonds and Rhode Island Capital Plan funds to be used through FY 2015 for the relocation of the Portsmouth maintenance facility to state owned land in an area between Boyd's Lane and the ramp to Route 24 Northbound. *The Governor recommended funding as requested.* **The Assembly shifted \$500,000 from FY 2013 to FY 2015 to reflect a project delay.**

**Train Station Repairs.** Consistent with the approved plan, the Department requested total project costs of \$2.2 million from Rhode Island Capital Plan funds for repairs at three train stations: Woonsocket, Kingston and Westerly. The Kingston and Westerly stations are currently active, while the Woonsocket station serves as a bus depot. The Department's maintenance division is currently

responsible for these facilities; however, the Department indicated that the gas tax funds used for the stations are insufficient. Funding would be used for larger scale asset protection projects, such as roof and window repairs, painting and HVAC upgrades. *The Governor recommended funding as requested.* **The Assembly removed \$1.3 million of expenditures that were spread over the FY 2014 through FY 2017 period to reflect a lower priority for the project.**

**Salt Storage Facilities.** Consistent with the approved plan, the Department requested \$9.0 million from Rhode Island Capital Plan funds and general obligation bond proceeds to be used in the five-year period for the construction of salt storage facilities at various locations statewide where salt is currently stored uncovered. *The Governor recommended \$1.5 million less for the five-year period, which reflects \$0.5 million less each year for the FY 2016 through FY 2018 period to reflect historical spending patterns.* **The Assembly removed \$0.7 million from FY 2013 to reflect actual expenditures and projected need for the facilities.**

**Maintenance Facilities Asset Protection.** Consistent with the approved plan, the Department requested total project costs of \$4.7 million from Rhode Island Capital Plan funds to be used through FY 2018 to make repairs at its seven district maintenance facilities throughout the state, and its headquarters facility located in Warwick. Improvements would include pavement repair at select facilities, replacement and repairs of the HVAC systems and roof repairs to several limited-use facilities. *The Governor recommended \$0.3 million less than requested to reflect the completion of a portion of the projects.* **The Assembly concurred.**

**I-195 Relocation.** Consistent with the approved plan, the Department requested total project costs of \$425.4 million, of which \$32.4 million from State Motor Fuel Revenue Bonds, Grant Anticipation Revenue Vehicle bonds, Federal Highway Improvement Funds and proceeds from land sales would be used through FY 2016 for the Interstate 195 relocation project. The project is underway, and the mainline was opened in 2009 with significant completion, including demolition of the existing facility and reconnection of city streets by 2013. Projects such as final landscaping and the pedestrian bridge will be under construction through FY 2015, with final contract payments made in FY 2016. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Cherry Hill/Lincoln Facility.** Consistent with the approved plan, the Department requested a total project cost of \$4.1 million, of which \$3.0 million would come from previously authorized general obligation bonds and \$1.1 million would come from Rhode Island Capital Plan funds to build a new maintenance facility with salt storage. This facility would consolidate the two maintenance facilities located on Cherry Hill Road in Johnston and Douglas Pike in Smithfield to the former National Guard headquarters in Lincoln. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Maintenance Facility Fire Alarms.** The Department requested total project costs of \$0.2 million from Rhode Island Capital Plan funds to be used through FY 2013 to install fire alarms at the Department's maintenance facilities to comply with updated fire code regulations. *The Governor recommended funding as requested.* **The Assembly concurred.**

**East Providence Facility Relocation.** The Department requested a total project cost of \$5.1 million from authorized general obligation bonds and Rhode Island Capital Plan funds for the relocation of the East Providence maintenance facility from Sachem Road to state property on the East Shore Expressway on Route 114 and Route 6. Project completion is scheduled for FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Sakonnet River Bridge.** Consistent with the approved plan, the Department requested total project costs of \$142.5 million from GARVEE Bond proceeds, Federal Highway Funds and State Motor Fuel Tax Revenue Bonds, of which \$12.1 million will be used in FY 2013 to complete the Sakonnet River Bridge project. The new Sakonnet River Bridge consists of replacing the existing Sakonnet River Bridge on a new alignment immediately south of the existing structure. The contract was awarded in April 2009 and construction is underway, with completion scheduled for the summer of 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Commuter Rail.** Consistent with the approved plan, the Department requested \$73.8 million for the five-year period for the Commuter Rail Project. Funding includes \$3.6 million of general obligation bond authority approved by the voters on the November 2008 ballot. Phase I of the project involved the extension of commuter rail service south from Providence to T.F. Green Airport in Warwick, and has been completed. Phase II of the project extended commuter rail service 25 miles to Wickford Junction. The current request also includes plans for intermediate stops at Cranston and East Greenwich. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Quonset Access Road-Route 403.** Consistent with the approved plan, the Department requested \$116.5 million in total project costs from GARVEE bond proceeds, Federal Highway Improvement Funds and State Motor Fuel Tax Revenue Bonds, to complete Phase II of the Quonset Access Road project. The second phase connected Route 4 with the Quonset Industrial Park. The freeway is open to traffic and the project is essentially complete. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Freight Rail Improvement Plan.** Consistent with the approved plan, the Department of Transportation requested \$147.6 million in total project costs for the Freight Rail Improvement plan, of which \$0.6 million from GARVEE bond funding and State Motor Fuel Tax bonds will be spent in FY 2013 for final environmental remediation work along the recently completed 22 miles of new and upgraded track. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Washington Bridge Repairs.** Consistent with the approved plan, the Department requested total project costs of \$68.5 million from GARVEE bond proceeds for the construction of a new Washington Bridge that connects Providence to Interstate 195 East. Construction is underway and the highway portion of the bridge has been completed, with the pedestrian bridge portion of the project scheduled for completion in 2015. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Pawtucket/Central Falls Train Station Study.** Consistent with the approved plan, the Department requested total project costs of \$3.4 million, including \$2.7 million from federal funds, \$0.5 million from the City of Pawtucket and \$0.1 million from Rhode Island Capital Plan funds, of which \$2.4 million will be spent in the five-year period to study the feasibility of operating a commuter train station in the Pawtucket-Central Falls area. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Local Road Program.** The Department's request does not include funding for pass-through to municipalities for road improvements. *The Governor added \$10.0 million from Rhode Island Capital Plan funds, and assumed it can distribute it to municipalities for a new project to fund infrastructure improvements based on the mileage in each community, as well as other criteria. These funds are not historically provided as grants to municipalities. The authorization and methodology for this proposal are contained in Article 1 of the appropriations act.* **The Assembly did not concur and did not include the funding or authorization for the plan.**

## Rhode Island Public Transit Authority

Projects	Status	5 Year Total	Project Total
Enterprise Software	New	\$ 300,000	\$ 2,175,000
Solar Technologies	Revised	-	3,526,595
Bus Rapid Transit Corridor	Revised	-	2,050,000
Land and Buildings	Revised	6,290,000	9,190,000
Fixed Route/Paratransit Cameras	Revised	-	2,431,500
High Speed Fueling and Fluid Monitoring	Revised	-	1,130,000
Newport Heating Units and Roof Replacement	Revised	-	411,200
Bus Purchases	Ongoing	42,978,602	142,706,757
Paratransit Vehicles	Ongoing	-	19,284,123
Providence Core Connector	Ongoing	-	979,931
Intelligent Transportation Systems	Ongoing	4,486,825	10,799,857
Elmwood Bus Complex Expansion	Ongoing	-	41,402,577
Radio Interoperability	Ongoing	-	4,267,600
<b>Total</b>		<b>\$ 54,055,427</b>	<b>\$ 240,355,140</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 43,149,461	\$ 179,718,915
Federal Stimulus Funds		-	24,377,325
General Obligation Bonds		150,000	8,409,707
Other (Cities and Towns)		910,000	1,734,205
Rhode Island Capital Funds		70,000	8,807,872
RIPTA Operating Funds		338,000	1,008,388
RIPTA Revolving Loan Funds		897,364	7,758,126
State Fleet Replacement Revolving Loan Fund		8,540,602	8,540,602
<b>Total</b>		<b>\$ 54,055,427</b>	<b>\$ 240,355,140</b>

**Summary.** The Rhode Island Public Transit Authority requested \$145.2 million for the five-year period for total project costs of \$336.8 million. Funding includes \$15.6 million from approved general obligation bond proceeds, \$251.1 million from federal funds, \$8.5 million from Rhode Island Capital Plan funds, \$22.9 million from other funds, \$10.2 million from Authority sources and \$20.0 million of revenue bonds for which the source of revenue has yet to be identified. Funding also includes \$8.4 million from the state's Fleet Replacement Fund provided by the 2012 Assembly as part of an initiative to lower debt service costs associated with transportation agencies.

*The Governor recommended \$54.1 million for the five-year period for total project costs of \$241.1 million. This is \$91.1 million less than requested to reflect the removal of funding for paratransit vehicles and the Providence Core Connector project. The recommendation includes one new project requested by the Authority, along with four new projects included by the Governor. Portions of funding for the new projects are from Rhode Island Capital Plan funds and are beyond the traditional use of these sources. **The Assembly included \$240.4 million, and did not provide funding from Rhode Island Capital Plan funds for four of the new projects. It did concur with the remainder of the recommendation.***

**Enterprise Software.** The Authority requested \$2.2 million for a new project to replace its current financial tracking system in order to address shortfalls in financial data quality, processing and monitoring. Funding is programmed at \$1.9 million in FY 2013 and \$0.3 million in FY 2014, with 80 percent provided from Federal Transit Administration funds. The remaining amount of \$0.4 million will come from Authority operating funds, including \$375,000 for FY 2013. It should be noted that the Authority estimated an operating deficit between \$8.0 million and \$10.0 million for the current year, and it appears this project could be postponed or eliminated to lower the deficit. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Solar Technologies.** The Authority requested \$3.5 million to replace the deteriorating roof of its transportation building with a new roof equipped with solar technology. The project will accomplish the roof replacement while lowering the Authority's operating cost by generating energy with the solar panels. The request is \$1.1 million more than the approved plan to reflect revised project costs. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Bus Rapid Transit Corridor.** The Authority requested \$2.1 million to develop more efficient bus service on the Authority's busiest fixed routes; the number 11 Broad Street bus route and the number 99 Pawtucket bus route. The funding will be used for the planning and development of traffic signal priority, the integration of Intelligent Transportation Systems with hybrid buses as well as improvements to bus stops such as additional lighting and customer information. The request is \$1.6 million less than the approved plan to reflect actual project costs, which were lower than originally anticipated when the Authority began the project in the previous year. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Land and Buildings.** The Authority requested total project costs of \$9.2 million to improve security at its Elmwood facilities, provide upgrades to various transit hubs and park and ride facilities. These upgrades would include security improvements as well as repairs to park and ride facilities. The request is \$4.1 million more than the approved plan to reflect a more refined improvement plan. The approved plan appears to be more of a place holder, and as actual plans were finalized, overall costs have increased. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Fixed Route/Paratransit Cameras.** The Authority's request did not include a project for security cameras on either its fixed route or paratransit vehicles. *The Governor recommended \$2.6 million for a complete digital security camera system on its 223 fixed route and 130 paratransit vehicles to improve employee and public safety and reduce insurance claims due to injury and accidents. The system will include a minimum of six cameras on each fixed route vehicle, five cameras on paratransit vehicles, a wireless LAN network in the garage and a database server large enough for 2,000 hours of video storage. The recommendation includes \$2.1 million from federal funds, \$0.2 million from Rhode Island Capital Plan funds and \$0.4 million from the Authority's revolving loan fund to be expended in FY 2013. It should be noted this use of Rhode Island Capital Plan funds is inconsistent with past practices.* **The Assembly did not concur with the use of Rhode Island Capital Plan funds.**

**High Speed Fueling and Fluid Monitoring.** The Authority's request does not include funding for fueling or fluid monitoring projects. *The Governor recommended \$1.4 million, including \$0.2 million from Rhode Island Capital Plan funds and \$1.1 million from federal funds in FY 2013 for a new project considered "shovel ready". Funding would be used to retrofit all fixed route buses with fast fuel necks that will allow the new dispenser nozzles to lock onto the bus during fueling. It will also provide for the installation of onboard receivers, sensors on overhead reels in all transportation and maintenance facilities. The intent of the project is to make the fueling operation safer and via the installation of new equipment move the collection of the bus data collected during fueling from a*

manual process to an electronic process. It should be noted this use of Rhode Island Capital Plan funds is inconsistent with past practices. **The Assembly did not concur with the use of Rhode Island Capital Plan funds.**

**Newport Heating Units and Roof Replacement.** The Authority's request does not include funding for its Newport facility. *The Governor recommended \$0.4 million from federal funds and \$0.1 million from Rhode Island Capital Plan funds in FY 2013 for a new project to complete upgrades at its Newport location. Funding would be used for new HVAC units and a new roof. It should be noted this use of Rhode Island Capital Plan funds for the Authority is inconsistent with past practices.* **The Assembly did not concur with the use of Rhode Island Capital Plan funds.**

**Bus Purchases.** Consistent with the approved plan, the Authority requested total project cost of \$149.8 million to replace 84 buses, trolleys and flex vehicles. Flex vehicles are vans that supply service to low density areas. The Authority indicated that these vehicles have or will have exceeded their useful lives. Funding includes \$8.4 million from the state's Fleet Replacement Fund, including \$4.2 million provided by the 2012 Assembly that does not require payback into the fund as part of an initiative to lower debt service costs associated with transportation agencies. *The Governor recommended funding essentially as requested, but made adjustments to pre-FY 2013 expenditures.* **The Assembly concurred.**

**Paratransit Vehicles.** Consistent with the approved plan, the Authority requested \$5.7 million to be used in the five-year period for the replacement of paratransit vehicles, upgrades to paratransit software, and the installation of surveillance equipment on the vehicles. The request includes total project costs of \$26.8 million, consisting of \$21.4 million from federal funds and \$5.4 million from the Authority's paratransit revolving funds. *The Governor did not recommend any funding in the five-year period.* **The Assembly concurred.**

**Providence Core Connector.** Consistent with the approved plan, the Authority requested total project costs of \$86.8 million to design and construct an urban circulator system which will connect the university and medical districts in Providence, specifically, College Hill, Downtown and South Providence. The current request includes an estimate of funding the Authority believes would be necessary to complete the project. It should be noted that the request includes \$81.4 million from fund sources that are not guaranteed because they would be from local authorities or competitive federal grants. *The Governor did not recommend any funding in the five-year period.* **The Assembly concurred.**

**Intelligent Transportation Systems.** Consistent with the approved plan, the Authority requested a total project cost of \$10.8 million from all funds, of which \$4.5 million will be spent in FY 2014 and FY 2015 for the implementation of an Intelligent Transportation System. When fully deployed, the system will integrate real time bus data with the Authority's fleet supervisors and customer service kiosks, using computer aided dispatch and automatic vehicle location technologies. These technologies will allow the phone and web-based systems to accommodate real time arrival information. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Elmwood Bus Complex Expansion.** Consistent with the approved plan, the Authority requested total project costs of \$41.4 million for the expansion of its Elmwood facilities to include a centralized maintenance and administrative operations building, increased bus storage and parking capacity, additional fuel/wash station capacity as well as a new customer service and administrative operations office building. The new complex is complete and was opened on July 26, 2010. The project is included in the request to show \$0.3 million of expenditures programmed in FY 2013 to complete

smaller aspects of the project, as well as to complete payments to vendors. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Radio Interoperability.** Consistent with the approved plan, the Authority requested total project costs of \$4.3 million to integrate the Authority's communication system into the Rhode Island Statewide Communications Network (RISCON), enabling full interoperability with state communications. The Authority's fleet currently operates on an analog signal, and is not compatible with other agencies on the statewide network. The project is federally funded, and completion is scheduled for FY 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Cash Room Security.** The Authority's request does not include funding for its cash room. *The Governor recommended \$216,700 from Rhode Island Capital Plan funds in FY 2013 for security improvements to the current cash room. Due to recent security issues which triggered the need for State Police control of the Authority, funding would be used to build a room that is more in-line with other state transit cash rooms, which should prevent security breaches in the future. It should be noted this use of Rhode Island Capital Plan funds for the Authority is inconsistent with past practices.* **The Assembly did not concur with the use of Rhode Island Capital Plan funds. Until an approved fund source is provided, the project will not be included in the approved capital plan.**

## Rhode Island Airport Corporation

Projects	Status	5 Year Total	Project Total
Outlying Airports General Improvements	Revised	\$ 13,649,778	\$ 23,372,518
T.F. Green Airport General Improvements	Revised	126,149,376	127,883,373
T.F. Green Noise Mitigation & Land Acquisition	Revised	42,143,488	57,386,751
Environmental Compliance	Ongoing	20,070,000	25,254,907
<b>Total</b>		<b>\$ 202,012,642</b>	<b>\$ 233,897,549</b>
<b>Sources of Funds</b>			
Airport Bonds		\$ 52,474,416	\$ 56,172,755
Airport Operating Funds		1,196,053	2,425,012
Federal Funds		126,579,636	150,275,950
Passenger Facility Charges		21,762,537	25,023,832
<b>Total</b>		<b>\$ 202,012,642</b>	<b>\$ 233,897,549</b>

**Summary.** The Airport Corporation requested total capital expenditures of \$233.9 million, including \$202.0 million during the FY 2014 through FY 2018 period. The request is for four projects, and assumes the issuance of \$56.2 million of future airport corporation bonds. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Outlying Airports General Improvements.** The Corporation requested total project costs of \$23.4 million for 16 projects to make improvements at the Corporation's five general aviation airports, including \$13.6 million for the FY 2014 through FY 2018 period. Projects include construction of maintenance facilities, easement acquisition, drainage improvement projects and the rehabilitation of runways. The request is \$6.8 million less than the approved plan due to the completion of eight projects offset by the addition of two new projects. Funding requested in the five-year period includes \$10.2 million from federal funds and \$3.4 million from the Corporation's revenue bonds. *The Governor recommended funding as requested.* **The Assembly concurred.**

**T.F. Green Airport General Improvements.** The Airport Corporation requested total expenditures of \$127.9 million for five individual general improvement projects at T.F. Green Airport. Funding in the five-year period consists of \$82.7 million from federal funds and \$43.5 million from airport revenue bonds. The request is \$77.6 million more than the approved plan for this project, due to the Corporation's decision to include the expansion of the main runway into the General Improvement project. The approved plan includes that project separately under the T.F. Green Airport Expansion and Improvements project, which is not part of the current request. The runway expansion has received final federal approval and construction is projected to begin in the spring of 2013. *The Governor recommended funding as requested.* **The Assembly concurred.**

**T.F. Green Noise Mitigation & Land Acquisition.** The Corporation requested a total project cost of \$57.4 million for noise mitigation projects at T. F. Green Airport, including \$42.1 million to be spent during the FY 2014 through FY 2018 period. These projects involve land acquisition, which was being carried out in two individual projects. The first project consisted of seven phases of land acquisition, which has been completed. The approved plan included funding as a place-holder, but the current request has narrowed the scope of the project and is \$17.7 million less than the approved plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

**Environmental Compliance.** Consistent with the approved plan, the Corporation requested total project costs of \$25.3 million for environmental compliance projects at T.F. Green Airport. The project involves the identification of a long-term strategy to manage the reclamation of glycol, which is used to de-ice planes at the airport. The Corporation has established an environmental management system that will be able to collect, transfer, store, treat and discharge glycol impacted runoff. Project funding includes \$2.0 million from federal funds and \$23.2 million from Corporation revenue bonds. *The Governor recommended funding as requested.* **The Assembly concurred.**