

# Rhode Island Ethics Commission

FY 2014 Revised  
FY 2015 Recommended  
Staff Presentation  
March 5, 2014

1

## Background

- Tasked with the prescription and publication of rules and regulations associated with the Code of Ethics
- Jurisdiction over the three branches of Rhode Island state government and over municipal and local government bodies
- Responsible for the maintenance of all required filings, including Financial Disclosure Statements, made by elected and appointed officials

2

## Summary by Category

<b>General Revenues</b>	<b>FY 2014 Enacted</b>	<b>FY 2014 Revised</b>	<b>FY 2015 Governor</b>	<b>FY 2015 Change to Enacted</b>
Salaries & Benefits	\$ 1,377,281	\$ 1,366,726	\$ 1,378,103	\$ 794
Contracted Services	31,500	31,500	31,500	-
Operations	162,305	171,596	165,512	3,207
Capital	6,118	6,118	6,118	-
<b>Total</b>	<b>\$ 1,577,204</b>	<b>\$ 1,575,940</b>	<b>\$ 1,581,205</b>	<b>\$ 4,001</b>

3

## Target Issues

- Budget Office provided a general revenue target of \$1.5 million
  - Current service adjustments of \$11,029
  - 7% reduction of \$102,676
- Constrained request \$443 less than the target
  - Layoff of 1.75 full-time positions

4

## Reappropriation

### FY 2014 Revised

- Includes \$5,762 reappropriation
  - Upgraded software

5

## Personnel

Full-Time Positions	FTEs	Change to Enacted
Enacted Authorized	12.0	-
FY 2014 Request	12.0	-
FY 2015 Request	12.0	-
FY 2015 Governor	12.0	-
FY 2013 Average Filled	11.7	(0.3)
Filled as of February 22 <sup>nd</sup>	12.0	-

6

## Personnel

### FY 2014 Revised

- \$1,366,726 from general revenues
  - \$10,555 less than enacted
  - Includes medical benefit savings

### FY 2015

- \$1,378,075 from general revenues
  - \$794 more than enacted
  - Includes statewide benefit savings and other salary and benefit adjustments

7

## Operations

### FY 2014 Revised and FY 2015: \$0.2 million

- Lease of Commission office space
  - No change from budget as enacted - \$107,000
- Increase in electricity costs
  - \$2,000 more per year
- Reductions in office expenses
  - Postage and printing
  - \$1,186 less for these items for FY 2015

8

## Online Financial Disclosures

- Online financial disclosure filing began in 2012 for the 2011 financial disclosure forms
  - Implemented for cost savings and greater efficiency
- 58% of the 3,822 2011 financial disclosure forms were filed online
- 66% of the 3,120 2012 financial disclosure forms were filed online as of June 30, 2013

9

## Expenditure History

Total	FY 2010	FY 2011	FY 2012	FY 2013
Initial Request	\$1,445,830	\$1,506,582	\$1,537,043	\$1,686,950
Enacted	\$1,437,730	\$1,482,659	\$1,560,008	\$1,557,881
Revised Request	\$1,461,423	\$1,456,670	\$1,525,580	\$1,553,890
Final	\$1,412,657	\$1,454,869	\$1,462,946	\$1,530,853
Actual	\$1,366,012	\$1,419,804	\$1,410,814	\$1,500,568
Difference	\$(46,645)	\$(35,065)	\$(52,132)	\$(30,285)

10

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