

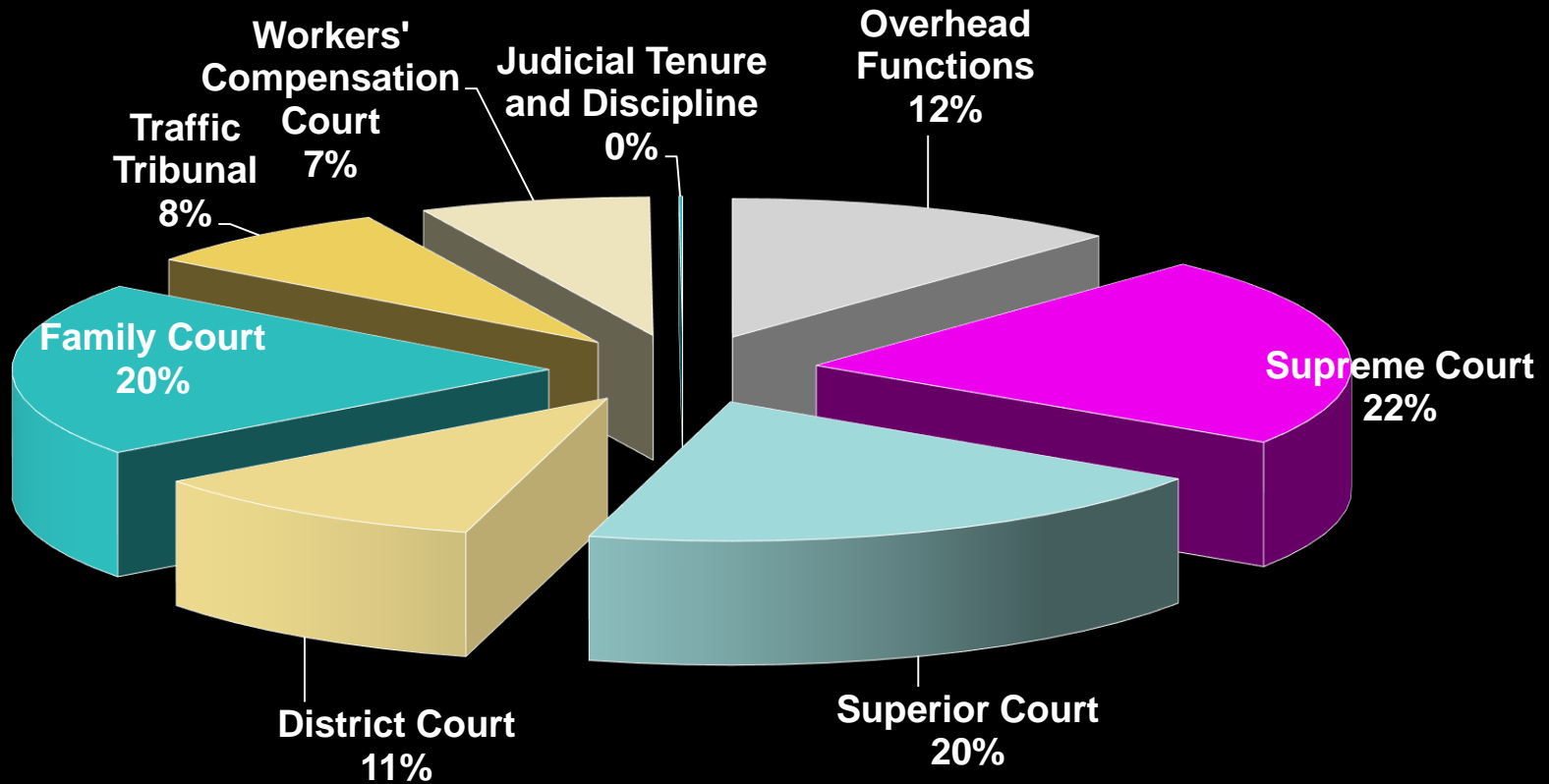
*Rhode Island Judiciary  
FY 2016 Revised and FY 2017, and  
Capital Recommendations*



Staff Presentation to the House  
Finance Committee

April 5, 2016

# FY 2017 Governor Recommended



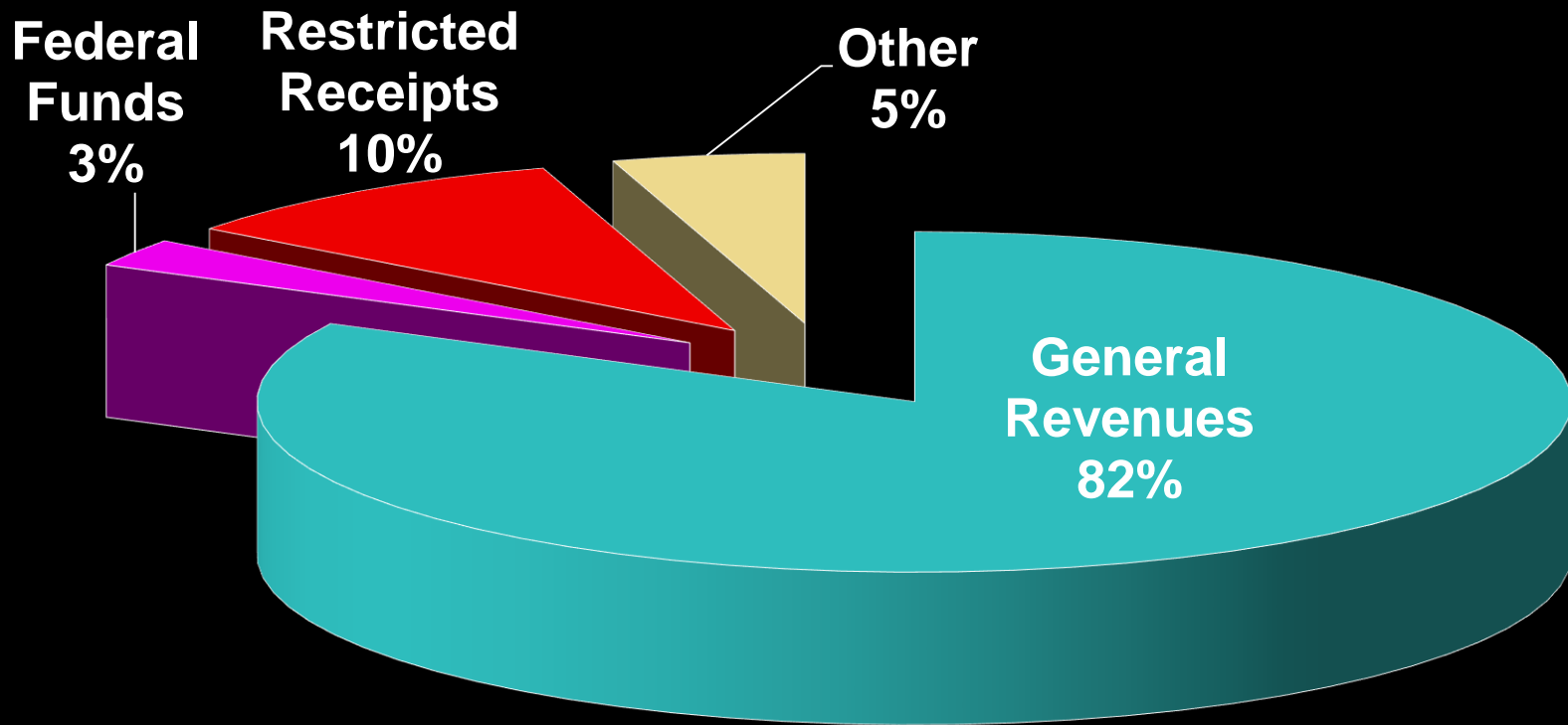
# Summary By Fund Source

<b>(In millions)</b>	<b>Enacted</b>	<b>FY 2016 Gov. Rev.</b>	<b>FY 2017 Governor</b>
General Revenues	\$96.0	\$95.6	\$96.3
Federal Funds	3.4	3.4	3.1
Restricted Receipts	11.3	11.4	11.7
Other Funds	5.5	5.6	5.5
<b><i>Total</i></b>	<b>\$116.3</b>	<b>\$116.0</b>	<b>\$116.7</b>

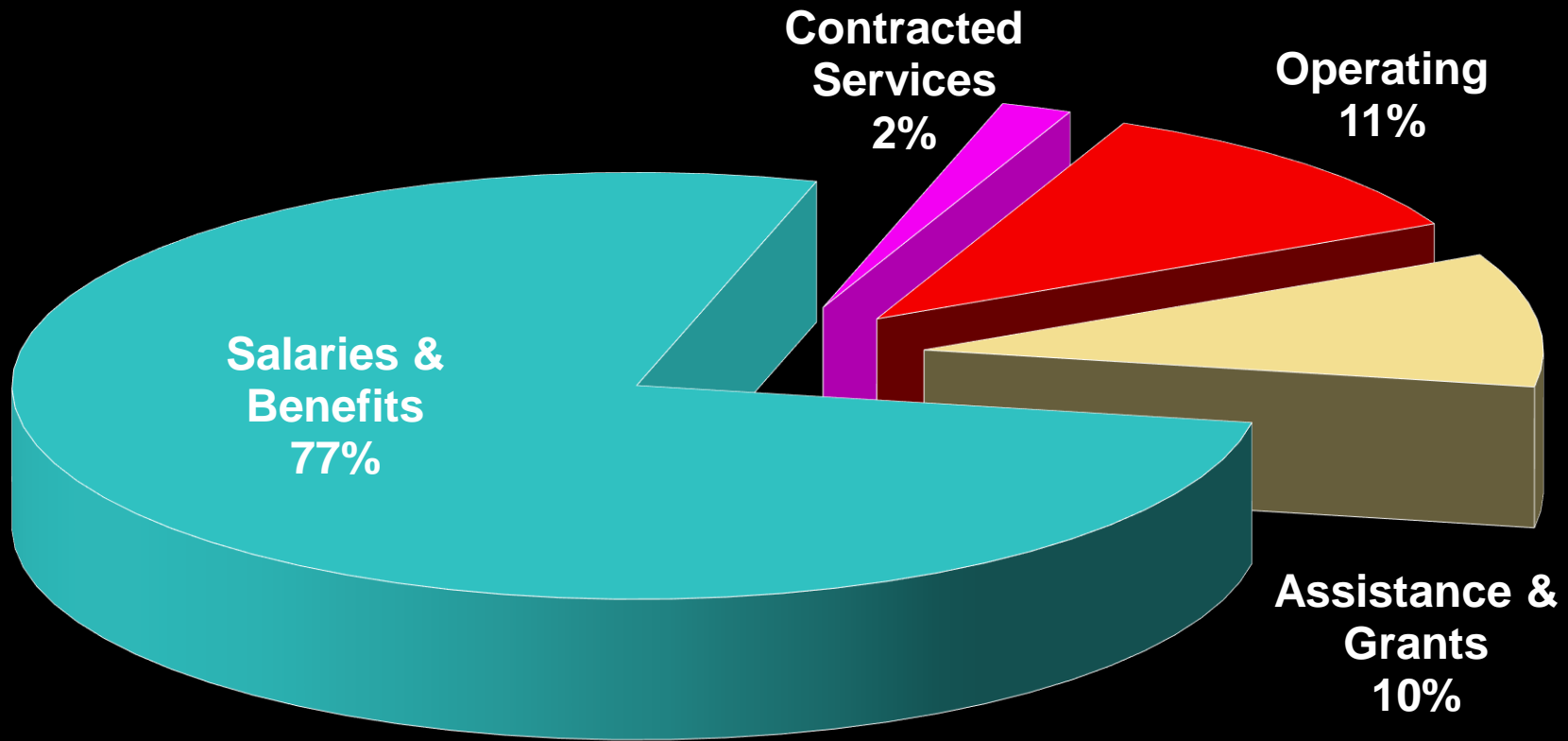
# Summary By Fund Source

<b>Change to Enacted (In millions)</b>	<b>FY 2016 Gov. Rev.</b>	<b>FY 2017 Governor</b>
General Revenues	\$(0.4)	\$0.3
Federal Funds	-	(0.3)
Restricted Receipts	0.1	0.3
Other Funds	0.1	-
<b>Total</b>	<b>\$(0.3)</b>	<b>\$0.4</b>

# *FY 2017 Governor by Source*



# *FY 2017 Governor by Category*



# *Full-Time Equivalent Positions*

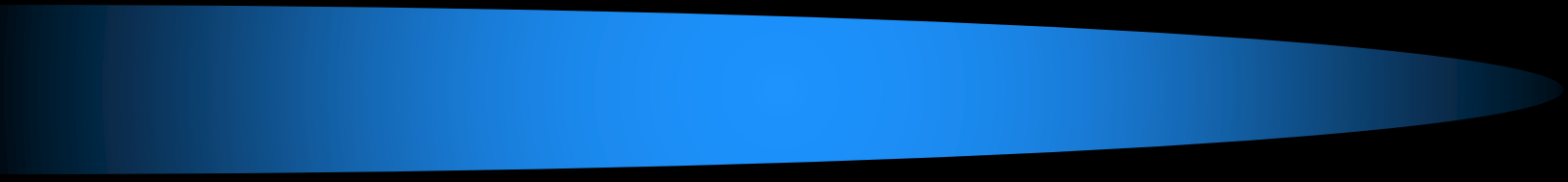
<b>Full-Time Positions</b>	<b>FTEs</b>	<b>Chg. To Enacted</b>
FY 2016 Enacted	724.3	-
FY 2016 Rev. Req.	724.3	-
FY 2017 Request	724.3	-
FY 2017 Governor	724.3	-
FY 2015 Average Filled	683.0	(41.3)
Filled as of March 21 <sup>st</sup>	663.7	(60.6)

# *Vacant Judge & Magistrate Positions By Court*

<i>Court</i>	<i>Judicial Vacancies</i>
Supreme Court	-
Superior Court	(1.0)
District Court	(2.0)
Family Court	(4.0)
Traffic Tribunal	(1.0)
Workers' Comp. Court	(3.0)
<b><i>Total</i></b>	<b>(11.0)</b>



# *FY 2016 Revisions*



# *FY 2016 Revised Summary: All Funds*

<i>Item</i>	<i>Request</i>	<i>Gov. Rec.</i>	<i>Difference</i>
Staffing Costs	\$400,015	(1,477,974)	(\$1,877,989)
Interpreters	132,554	132,554	-
Postage	154,000	154,000	-
Court Technology	970,375	970,375	-
Case Mgmt. System	589,000	589,000	-
Other – Current Srv.	336,826	355,061	18,235
Indirect Cost Change (Technical)	(1,050,000)	(1,050,000)	-
<b>Total</b>	<b>\$1,532,770</b>	<b>(\$326,984)</b>	<b>(\$1,859,754)</b>

# Quarterly Reports

- First Quarter Report & Corrective Action Plan
  - ◆ \$1.0 million more than enacted
  - ◆ \$1.1 million less than revised request:
    - Hold positions vacant - \$0.8 million
    - Operating reductions - \$0.3 million
- Second quarter report
  - ◆ \$0.4 million less than enacted
  - ◆ \$0.1 million more than Gov. Rec.

# *FY 2016 Revised Summary: General Revenues*

<i>Item</i>	<i>Request</i>	<i>Gov. Rec.</i>	<i>Difference</i>
Staffing Costs	\$1,135,545	(1,332,444)	(\$2,467,989)
Interpreters & Translators	126,554	126,554	-
Postage for Notifications	154,000	154,000	-
Court Technology	485,362	485,362	-
Current Services - Other	184,599	116,599	(68,000)
<b><i>Total</i></b>	<b><i>\$2,086,060</i></b>	<b><i>(\$449,929)</i></b>	<b><i>(\$2,535,989)</i></b>

# Personnel

- \$1.8 million less than enacted, \$1.9 million less than requested
  - ◆ \$1.3 million less than enacted from general revenues; \$2.5 million less than requested
- Corrective action plan reductions
  - ◆ Additional turnover

# *Interpreters & Translators*

- \$132,554 more than enacted
  - ◆ Mostly from general revenues
- Primarily reflected estimates for one trial
  - ◆ 3 American Sign Language interpreters
  - ◆ 3 Certified Deaf interpreters

# Postage – Notices to Collect Unpaid Court Fines & Fees

- Postage - \$154,000 gen. rev. increase
  - ◆ Notices to last known address of individuals who owe court fines & fees
    - Mailings sent on March 1 - cost was \$142,500
  - ◆ Tax intercept notices
- Notices required by RI General Laws
  - ◆ Mailings not previously sent
  - ◆ Judiciary has proposed legislation in this and prior sessions to eliminate requirement

# Case Management System

- Electronic filing for all courts
  - ◆ Computers, servers, software
  - ◆ Increased efficiency for data management
- Governor includes total of \$1.5 million
  - ◆ \$0.9 million from IT funds in DOA's budget
    - Consistent with enacted
  - ◆ \$0.6 million from restricted receipts
    - Judiciary request; not in enacted
    - Programmer report writer, change orders & hardware



# *Court Technology*

- \$6.7 million total
  - ◆ Unrelated to Case Management System
- \$1.0 million more than enacted for projects:
  - ◆ Software Maintenance - \$0.3 million gen. rev.
  - ◆ Copier Replacements - \$0.1 million gen. rev.
  - ◆ Video Conferencing - \$0.3 million gen. rev.
  - ◆ Network Routers, Digital Displays & Wireless Routers - \$0.2 million restricted receipts

# *FY 2017 Summary: All Funds*

<i>Item</i>	<i>Request</i>	<i>Gov. Rec.</i>	<i>Difference</i>
Pay Raises	\$716,421	-	(\$716,421)
Current Services - Personnel	1,004,528	221,225	(783,303)
Current Services - Other	693,704	407,264	(286,440)
Vacancies	987,231	(142,354)	(1,129,585)
Court Technology	904,701	904,701	-
Capital Projects	3,595,000	25,000	(3,570,000)
Indirect Cost Accounting Change	(1,050,000)	(1,050,000)	-
<b>Total</b>	<b>\$6,581,585</b>	<b>\$365,836</b>	<b>(\$6,485,749)</b>

# *FY 2017 Summary: General Revenues*

<i>Item</i>	<i>Request</i>	<i>Gov. Rec.</i>	<i>Difference</i>
Pay Raises	\$634,427	-	(\$634,427)
Current Services - Personnel	894,030	110,727	(783,303)
Current Services - Other	685,724	317,290	(368,434)
Vacancies	987,231	(642,354)	(1,629,585)
Court Technology	524,701	524,701	-
<b><i>Total</i></b>	<b>\$3,726,113</b>	<b>\$310,364</b>	<b>(\$3,415,749)</b>

# *FY 2017 Pay Classification – Judiciary Request*

- New Pay Schedule for Judges and Employees
  - ◆ Objective: pay equity for judges and employees performing like functions
  - ◆ Judges – 10-step schedule over 9 years
  - ◆ Employees – Increased steps over 15.5 or 16.5 years depending on pay grade

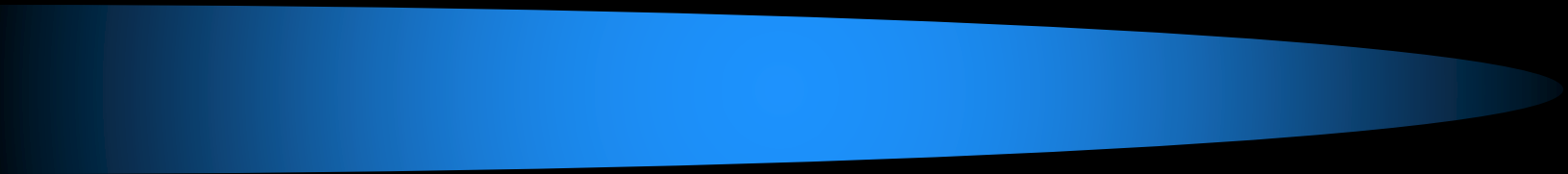
# *FY 2017 Pay Classification – Judiciary Request*

- Includes union and non-union employees
  - ◆ 724.3 employees: 434.3 Union, 85 Judges/Magistrates, 205 Non-Union
- \$0.7 million all funds, \$0.6 million general revenues
  - ◆ Funding for salaries & benefits in the various courts
- Governor does not include the proposal
- Out-year impact not quantified

# *FY 2017 Summary: By Program*

<i><b>Change to Enacted</b></i>	<i><b>Gen. Rev.</b></i>	<i><b>All Funds</b></i>
Overhead	\$1,383,460	\$2,420,458
Supreme Court	(9,337)	(1,046,715)
Superior Court	(529,144)	(456,524)
District Court	(772,485)	(881,679)
Family Court	(275,566)	(500,155)
Traffic Tribunal	510,103	510,103
Workers' Comp. Court	-	317,010
Judicial Tenure & Disc.	3,338	3,338
<i><b>Total</b></i>	<i><b>\$310,364</b></i>	<i><b>\$365,836</b></i>

# *Overhead Functions*



# *Pay-Go Pensions*

- 2 judges retired in FY 2016
- 6 judges still working that are not part of the pension system
  - ◆ All are eligible to retire
- FY 2017 total funding - \$5.9 million
  - ◆ \$0.4 million more than enacted
  - ◆ \$0.3 million less than requested
    - Does not assume additional retirements



# *FY 2017 Case Management System and Court Technology*

- Case Management System - \$1.5 million
  - ◆ IT Funds –\$0.9 million as enacted in DOA budget
  - ◆ Restricted Receipts – \$0.6 million
    - Not included in enacted budget
    - Include Juvenile Justice Court as part of system
- Court Technology
  - ◆ \$0.9 million more: software maintenance agreements, copier replacements, video upgrades, and routers & displays

# Overhead Functions

- Overhead functions
  - ◆ Law Library
  - ◆ Board of Bar Examiners
  - ◆ Mandatory Continuing Legal Education
  - ◆ Appellate Screening Unit
  - ◆ Disciplinary Board/Counsel
  - ◆ Finance, Budget, & Employee Relations
  - ◆ Facilities & Operations
  - ◆ Planning
  - ◆ Judicial Records Center

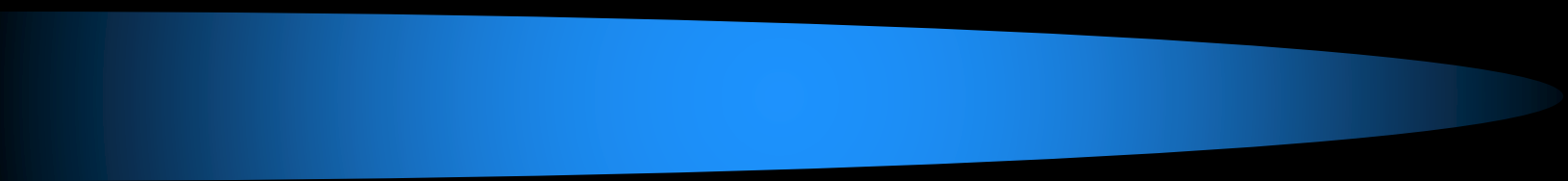
# Overhead Functions

- \$14.0 million all funds
  - ◆ \$12.7 million general revenues, \$1.3 million restricted receipts
  - ◆ \$0.2 million more than enacted, mostly from general revenues
    - Anticipated staffing costs
    - Law Library subscription purchases based on FY 2015 expenses
  - ◆ \$21,555 less than requested
    - Statewide medical benefit savings

# *FY 2017 Indigent Defense*

- \$3.8 million from general revenues
  - ◆ \$0.2 million more than enacted
  - ◆ Court appoints legal representation when Public Defender has a conflict of interest
- Actual expenditures
  - ◆ FY 2013 - \$3.4 million
  - ◆ FY 2014 - \$3.6 million
  - ◆ FY 2015 - \$3.9 million

*Individual Courts*  
*FY 2017 Recommendation*

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# *Supreme Court*

- Final court of review
- Only constitutionally established court
- \$40.0 million for program and 161.7 positions
  - ◆ 133.9 associated with some degree of overhead
  - ◆ 27.8 strictly for Supreme Court operations

# Supreme Court

- \$26.1 million excluding overhead previously noted
  - ◆ \$0.8 million less than requested all funds, \$0.3 million less from general revenues
    - Restricted receipts for personnel – consistent with enacted
    - Reflects filled positions & revised benefit rates

# *Superior Court*

- Jurisdiction over all felony and misdemeanor cases appealed or waived from District Court
- \$20.7 million to support operations and 165.7 positions
- \$0.4 million less than enacted
- \$0.5 million less than requested
  - ◆ Primarily reflects turnover savings



# *District Court*

- \$13.0 million for operations and 96.0 positions
  - ◆ Small claims
  - ◆ Violations of municipal ordinances & regs.
  - ◆ Misdemeanors when right to jury trial is waived
- \$0.9 million less than enacted
- \$0.5 million less than requested
  - ◆ Primarily reflects turnover savings

# *District Court*

- Veterans' Court

- ◆ April of 2011

- ◆ “Successfully rehabilitate participants, on a case-by-case basis, by providing the tools and skills necessary to address veterans’ unique challenges to reintegrate successfully into society and to maintain a productive and law abiding lifestyle within the community”

# *District Court*

- Veterans' Court

- ◆ 4.0 FTEs

- ◆ 2015 Assembly added new District Court Judge – Veterans' Treatment Calendar

- ◆ Judiciary assumes this new judge position will be filled in final quarter of FY 2016

# *Family Court*

- Cases involving:
  - ◆ Juveniles
  - ◆ Parental neglect or abuse
  - ◆ Paternity issues & divorces
- \$24.4 million: operations & 173.7 FTEs
  - ◆ \$0.5 million less than enacted
  - ◆ \$0.4 million less than requested
    - Mainly turnover savings

# *Traffic Tribunal*

- Civil traffic violations
  - ◆ License suspensions
  - ◆ DOT and DEM violations
- \$9.0 million: operations & 78.2 FTEs
  - ◆ \$0.5 million more than enacted
    - Personnel – fill vacancies, annualized COLA & revised benefit rates
  - ◆ \$0.2 million less than requested
    - Turnover & statewide medical benefits savings

# *Workers' Compensation Court*

- Adjudicates all workers' compensation cases
  - ◆ Including rehabilitation of injured employees
- \$8.1 million from restricted receipts to support operations and 50.0 positions
  - ◆ \$0.3 million more than enacted, \$0.1 million more than requested
    - Personnel – funding restored for 2.0 positions
    - Small scale repairs, utilities & office equipment

# *Judicial Tenure and Discipline*

- Judicial Tenure and Discipline Commission
  - ◆ Conducts investigations of judges suspected or charged with misconduct
- 14 member commission serves without compensation
- Funding essentially as requested for 1.0 position and operations

# *FY 2017 – 2021 Capital Plan*

<i>Project</i>	<i>Status</i>	<i>Cost</i>	<i>Financing</i>	<i>End Date</i>
Licht Chillers Replacement	New	\$1.1 M	RICAP	FY 2020
Licht Window /Exterior Rest.	New	\$1.0 M	RICAP	FY 2019
Asset Protection	Revised	\$11.7 M	RICAP	Perpetual
System-wide HVAC	Revised	\$9.3 M	RICAP	FY 2020



# *FY 2017 – 2021 Capital Plan*

<i>Project</i>	<i>Status</i>	<i>Cost</i>	<i>Financing</i>	<i>End Date</i>
Judicial Complexes IT	Ongoing	\$2.4 M	IT Invest. Fund	FY 2016
Licht Complex Restoration	Ongoing	\$4.3 M	RICAP	FY 2020
Noel Shelled Courtroom	Ongoing	\$10.0 M	RICAP	FY 2018

# *FY 2017 – 2021 Capital Plan*

- Licht Chillers Replacement
  - ◆ \$1.1 million in FY 2020
  - ◆ Work in approved plan as part of HVAC project
- Licht Window/Exterior Restoration
  - ◆ \$1.0 million in FY 2018 & FY 2019
  - ◆ In approved plan as part of Asset Protection project

*Rhode Island Judiciary  
FY 2016 and FY 2017, and  
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April 5, 2016